

# Newtonian and Single Layer Potentials for the Stokes System with $L^\infty$ Coefficients and the Exterior Dirichlet Problem



Mirela Kohr, Sergey E. Mikhailov, and Wolfgang L. Wendland

*Dedicated to Professor H. Begehr on the occasion of his 80th birthday*

**Abstract** A mixed variational formulation of some problems in  $L^2$ -based Sobolev spaces is used to define the Newtonian and layer potentials for the Stokes system with  $L^\infty$  coefficients on Lipschitz domains in  $\mathbb{R}^3$ . Then the solution of the exterior Dirichlet problem for the Stokes system with  $L^\infty$  coefficients is presented in terms of these potentials and the inverse of the corresponding single layer operator.

**Keywords** Stokes system with  $L^\infty$  coefficients · Newtonian and layer potentials · Variational approach · Inf-sup condition · Sobolev spaces

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## 1 Introduction

Let  $\mathbf{u}$  be an unknown vector field,  $\pi$  be an unknown scalar field, and  $\mathbf{f}$  be a given vector field defined on an exterior Lipschitz domain  $\Omega_- \subset \mathbb{R}^3$ . Let also  $\mathbb{E}(\mathbf{u})$  be the symmetric part of the gradient of  $\mathbf{u}$ ,  $\nabla \mathbf{u}$ . Then the equations

$$\mathcal{L}_\mu(\mathbf{u}, \pi) := \operatorname{div}(2\mu\mathbb{E}(\mathbf{u})) - \nabla\pi = \mathbf{f}, \operatorname{div} \mathbf{u} = 0 \text{ in } \Omega_- \quad (1.1)$$

determine the *Stokes system* with a known viscosity coefficient  $\mu \in L^\infty(\Omega_-)$ . This linear PDE system describes the flows of viscous incompressible fluids, when the inertia of such a fluid can be neglected. The coefficient  $\mu$  is related to the physical properties of the fluid (for further details we refer the reader to the books [45] and [23] and the references therein).

The methods of layer potential theory have a main role in the analysis of boundary value problems for elliptic partial differential equations (see, e.g., [13, 17, 30, 32, 39, 42, 48]). Fabes, Kenig and Verchota [21] obtained mapping properties of layer potentials for the constant coefficient Stokes system in  $L^p$  spaces. Mitrea and Wright [42] have used various methods of layer potentials in the analysis of the main boundary problems for the Stokes system with constant coefficients in arbitrary Lipschitz domains in  $\mathbb{R}^n$ . The authors in [34] have obtained mapping properties of the constant coefficient Stokes layer potential operators in standard and weighted Sobolev spaces by exploiting results of singular integral operators. Gatica and Wendland [24] used the coupling of mixed finite element and boundary integral methods for solving a class of linear and nonlinear elliptic boundary value problems. The authors in [33] used the Stokes and Brinkman integral layer potentials and a fixed point theorem to show an existence result for a nonlinear Neumann-transmission problem for the Stokes and Brinkman systems with data in  $L^p$ , Sobolev, and Besov spaces (see also [35, 36]). All above results are devoted to elliptic boundary value problems with constant coefficients.

Potential theory plays also a main role in the study of elliptic boundary value problems with variable coefficients. Dindoš and Mitrea [19] have obtained well-posedness results in Sobolev spaces for Poisson problems for the Stokes and Navier-Stokes systems with Dirichlet condition on  $C^1$  and Lipschitz domains in compact Riemannian manifolds by using mapping properties of Stokes layer potentials in Sobolev and Besov spaces. Chkadua, Mikhailov and Natroshvili [14] obtained direct segregated systems of boundary-domain integral equations for a mixed boundary value problem of Dirichlet-Neumann type for a scalar second-order divergent elliptic partial differential equation with a variable coefficient in an exterior domain of  $\mathbb{R}^3$  (see also [13]). Hofmann, Mitrea and Morris [29] considered layer potentials in  $L^p$  spaces for elliptic operators of the form  $L = -\operatorname{div}(A\nabla u)$  acting in the upper half-space  $\mathbb{R}_+^n$ ,  $n \geq 3$ , or in more general Lipschitz graph domains, with an  $L^\infty$  coefficient matrix  $A$ , which is  $t$ -independent, and solutions of the equation  $Lu = 0$  satisfy interior De Giorgi-Nash-Moser estimates. They obtained a Calderón-Zygmund type theory associated to the layer potentials, and

well-posedness results of boundary problems for the operator  $L$  in  $L^p$  and endpoint spaces.

Our variational approach is inspired by that developed by Sayas and Selgas in [46] for the constant coefficient Stokes layer potentials on Lipschitz boundaries, and is based on the technique of Nédélec [44]. Girault and Sequeira [26] obtained a well-posed result in weighted Sobolev spaces for the Dirichlet problem for the standard Stokes system in exterior Lipschitz domains of  $\mathbb{R}^n$ ,  $n = 2, 3$ . Băcuță, Hassell and Hsiao [7] developed a variational approach for the standard Brinkman single layer potential and used it in the analysis of the time dependent exterior Stokes problem with Dirichlet boundary condition in  $\mathbb{R}^n$ ,  $n = 2, 3$ . Barton [8] constructed layer potentials for strongly elliptic differential operators in general settings by using the Lax-Milgram theorem, and generalized various properties of layer potentials for harmonic and second order elliptic equations. Brewster et al. in [9] have used a variational approach and a deep analysis to obtain well-posedness results for boundary problems of Dirichlet, Neumann and mixed type for higher order divergence-form elliptic equations with  $L^\infty$  coefficients in locally  $(\epsilon, \delta)$ -domains and in Besov and Bessel potential spaces. Choi and Lee [15] have studied the Dirichlet problem for the Stokes system with nonsmooth coefficients, and proved the unique solvability of the problem in Sobolev spaces on a bounded Lipschitz domain  $\Omega \subset \mathbb{R}^n$  ( $n \geq 3$ ) with a small Lipschitz constant when the coefficients have vanishing mean oscillations with respect to all variables. Choi and Yang [16] obtained the existence and pointwise bound of the fundamental solution for the Stokes system with measurable coefficients in  $\mathbb{R}^n$ ,  $n \geq 3$ , whenever the weak solutions of the system are locally Hölder continuous. Alliot and Amrouche [3] have used a variational approach to obtain weak solutions for the exterior Stokes problem in weighted Sobolev spaces. Also, Amrouche and Nguyen [5] proved existence and uniqueness results in weighted Sobolev spaces for the Poisson problem with Dirichlet boundary condition for the Navier-Stokes system in exterior Lipschitz domains in  $\mathbb{R}^3$ .

The purpose of this work is to show the well-posedness result of the Poisson problem of Dirichlet type for the Stokes system with  $L^\infty$  coefficients in  $L^2$ -based Sobolev spaces on an exterior Lipschitz domain in  $\mathbb{R}^3$ . We use a variational approach that reduces this boundary value problem to a mixed variational formulation. A similar variational approach is used to define the Newtonian and layer potentials for the Stokes system with  $L^\infty$  coefficients on Lipschitz surfaces in  $\mathbb{R}^3$ , by using the weak solutions of some transmission problems in  $L^2$ -based Sobolev spaces. Finally, the mapping properties of these layer potentials are used to construct explicitly the solution of the exterior Dirichlet problem for the Stokes system with  $L^\infty$  coefficients. The analysis developed in this paper confines to the case  $n = 3$ , due to its practical interest, but the extension to the case  $n \geq 3$  can be done with similar arguments.

## 2 Functional Setting and Useful Results

Let  $\Omega_+ := \Omega \subset \mathbb{R}^3$  be a bounded Lipschitz domain, i.e., an open connected set whose boundary  $\partial\Omega$  is locally the graph of a Lipschitz function. Assume that  $\partial\Omega$  is connected. Let  $\Omega_- := \mathbb{R}^3 \setminus \overline{\Omega}_+$  denote the exterior Lipschitz domain. Let  $\mathring{E}_\pm$  denote the operators of extension by zero outside  $\Omega_\pm$ .

### 2.1 Standard $L^2$ -Based Sobolev Spaces and Related Results

Let  $\mathcal{F}$  and  $\mathcal{F}^{-1}$  denote the Fourier transform and its inverse defined on the space of tempered distributions  $\mathcal{S}^*(\mathbb{R}^3)$  (i.e., the topological dual of the space  $\mathcal{S}(\mathbb{R}^3)$  of all rapidly decreasing infinitely differentiable functions on  $\mathbb{R}^3$ ). The Lebesgue space of (equivalence classes of) measurable, square integrable functions on  $\mathbb{R}^3$  is denoted by  $L^2(\mathbb{R}^3)$ , and by  $L^\infty(\mathbb{R}^3)$  we denote the space of (equivalence classes of) essentially bounded measurable functions on  $\mathbb{R}^3$ . Let  $H^1(\mathbb{R}^3)$  and  $H^1(\mathbb{R}^3)^3$  denote the  $L^2$ -based Sobolev (Bessel potential) spaces

$$H^1(\mathbb{R}^3) := \{f \in \mathcal{S}^*(\mathbb{R}^3) : \|f\|_{H^1(\mathbb{R}^3)} = \|\mathcal{F}^{-1}[(1+|\xi|^2)^{\frac{1}{2}}\mathcal{F}f]\|_{L^2(\mathbb{R}^3)} < \infty\}, \quad (2.1)$$

$$H^1(\mathbb{R}^3)^3 := \{f = (f_1, f_2, f_3) : f_j \in H^1(\mathbb{R}^3), j = 1, 2, 3\}. \quad (2.2)$$

The topological dual of a linear space  $X$  is denoted by  $X^*$ . Now let  $\Omega'$  be  $\Omega_+$ ,  $\Omega_-$  or  $\mathbb{R}^3$ . We denote by  $\mathcal{D}(\Omega') := C_0^\infty(\Omega')$  the space of infinitely differentiable functions with compact support in  $\Omega'$ , equipped with the inductive limit topology. Let  $\mathcal{D}^*(\Omega')$  denote the corresponding space of distributions on  $\Omega'$ , i.e., the dual space of  $\mathcal{D}(\Omega')$ . Let us consider the space

$$H^1(\Omega') := \{f \in \mathcal{D}^*(\Omega') : \exists F \in H^1(\mathbb{R}^3) \text{ such that } F|_{\Omega'} = f\}, \quad (2.3)$$

where  $\cdot|_{\Omega'}$  is the restriction operator to  $\Omega'$ . The space  $\tilde{H}^1(\Omega')$  is the closure of  $\mathcal{D}(\Omega')$  in  $H^1(\mathbb{R}^3)$ . This space can be also characterized as

$$\tilde{H}^1(\Omega') := \left\{ \tilde{f} \in H^1(\mathbb{R}^3) : \text{supp } \tilde{f} \subseteq \overline{\Omega'} \right\}. \quad (2.4)$$

Similar to definition (2.2),  $H^1(\Omega')^3$  and  $\tilde{H}^1(\Omega')^3$  are the spaces of vector-valued functions whose components belong to the scalar spaces  $H^1(\Omega')$  and  $\tilde{H}^1(\Omega')$ , respectively (see, e.g., [38]). The Sobolev space  $\tilde{H}^1(\Omega')$  can be identified with the closure  $\mathring{H}^1(\Omega')$  of  $\mathcal{D}(\Omega')$  in the norm of  $H^1(\Omega')$  (see, e.g., [43, (3.11), (3.13)], [38, Theorem 3.33]). The space  $\mathcal{D}(\overline{\Omega'})$  is dense in  $H^1(\Omega')$ , and the following spaces can be isomorphically identified (cf., e.g., [38, Theorem 3.14])

$$(H^1(\Omega'))^* = \tilde{H}^{-1}(\Omega'), \quad H^{-1}(\Omega') = (\tilde{H}^1(\Omega'))^*. \quad (2.5)$$

For  $s \in [0, 1]$ , the Sobolev space  $H^s(\partial\Omega)$  on the boundary  $\partial\Omega$  can be defined by using the space  $H^s(\mathbb{R}^2)$ , a partition of unity and the pull-backs of the local parametrization of  $\partial\Omega$ , and  $H^{-s}(\partial\Omega) = (H^s(\partial\Omega))^*$ . All the above spaces are Hilbert spaces. For further properties of Sobolev spaces on bounded Lipschitz domains and Lipschitz boundaries, we refer to [1, 31, 38, 42, 47].

A useful result for the next arguments is given below (see, e.g., [17], [31, Proposition 3.3]).

**Lemma 2.1** *Assume that  $\Omega := \Omega_+ \subset \mathbb{R}^3$  is a bounded Lipschitz domain with connected boundary  $\partial\Omega$  and denote by  $\Omega_- := \mathbb{R}^3 \setminus \Omega$  the corresponding exterior domain. Then there exist linear and bounded trace operators  $\gamma_\pm : H^1(\Omega_\pm) \rightarrow H^{\frac{1}{2}}(\partial\Omega)$  such that  $\gamma_\pm f = f|_{\partial\Omega}$  for any  $f \in C^\infty(\overline{\Omega_\pm})$ . These operators are surjective and have (non-unique) bounded linear right inverse operators  $\gamma_\pm^{-1} : H^{\frac{1}{2}}(\partial\Omega) \rightarrow H^1(\Omega_\pm)$ .*

The jump of a function  $u \in H^1(\mathbb{R}^3 \setminus \partial\Omega)$  across  $\partial\Omega$  is denoted by  $[\gamma(u)] := \gamma_+(u) - \gamma_-(u)$ . For  $u \in H^1_{\text{loc}}(\mathbb{R}^3)$ ,  $[\gamma(u)] = 0$ . The trace operator  $\gamma : H^1(\mathbb{R}^3) \rightarrow H^{\frac{1}{2}}(\partial\Omega)$  can be also considered and is linear and bounded.<sup>1</sup>

If  $X$  is either an open subset or a surface in  $\mathbb{R}^3$ , then we use the notation  $\langle \cdot, \cdot \rangle_X$  for the duality pairing of two dual Sobolev spaces defined on  $X$ .

## 2.2 Some Weighted Sobolev Spaces and Related Results

For a point  $\mathbf{x} = (x_1, x_2, x_3) \in \mathbb{R}^3$ , its distance to the origin is denoted by  $|\mathbf{x}| = (x_1^2 + x_2^2 + x_3^2)^{\frac{1}{2}}$ . Let  $\rho$  denote the weight function

$$\rho(\mathbf{x}) = (1 + |\mathbf{x}|^2)^{\frac{1}{2}}. \tag{2.6}$$

For  $\lambda \in \mathbb{R}$ , we consider the weighted space  $L^2(\rho^\lambda; \mathbb{R}^3)$  given by

$$f \in L^2(\rho^\lambda; \mathbb{R}^3) \iff \rho^\lambda f \in L^2(\mathbb{R}^3), \tag{2.7}$$

which is a Hilbert space when it is endowed with the inner product and the associated norm,

$$(f, g)_{L^2(\rho^\lambda; \mathbb{R}^3)} := \int_{\mathbb{R}^3} fg\rho^{2\lambda} dx, \quad \|f\|_{L^2(\rho^\lambda; \mathbb{R}^3)}^2 := (f, f)_{L^2(\rho^\lambda; \mathbb{R}^3)}. \tag{2.8}$$

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<sup>1</sup>The trace operators defined on Sobolev spaces of vector fields on  $\Omega_\pm$  or  $\mathbb{R}^3$  are also denoted by  $\gamma_\pm$  and  $\gamma$ , respectively.

We also consider the weighted Sobolev space

$$\begin{aligned} \mathcal{H}^1(\mathbb{R}^3) &:= \left\{ f \in \mathcal{D}'(\mathbb{R}^3) : \rho^{-1}f \in L^2(\mathbb{R}^3), \nabla f \in L^2(\mathbb{R}^3)^3 \right\} \\ &= \left\{ f \in L^2(\rho^{-1}; \mathbb{R}^3) : \nabla f \in L^2(\mathbb{R}^3)^3 \right\}, \end{aligned} \tag{2.9}$$

which is a Hilbert space with respect to the inner product

$$(f, g)_{\mathcal{H}^1(\mathbb{R}^3)} := (f, g)_{L^2(\rho^{-1}; \mathbb{R}^3)} + (\nabla f, \nabla g)_{L^2(\mathbb{R}^3)^3} \tag{2.10}$$

and the associated norm

$$\|f\|_{\mathcal{H}^1(\mathbb{R}^3)}^2 := \left\| \rho^{-1}f \right\|_{L^2(\mathbb{R}^3)}^2 + \|\nabla f\|_{L^2(\mathbb{R}^3)^3}^2 \tag{2.11}$$

(cf. [28]; see also [5]). The spaces  $L^2(\rho^\lambda; \Omega_-)$  and  $\mathcal{H}^1(\Omega_-)$  can be similarly defined, and  $\mathcal{D}(\overline{\Omega}_-)$  is dense in  $\mathcal{H}^1(\Omega_-)$  (see, e.g., [28, Theorem I.1], [27, Ch.1, Theorem 2.1]). The seminorm

$$|f|_{\mathcal{H}^1(\Omega_-)} := \|\nabla f\|_{L^2(\Omega_-)^3} \tag{2.12}$$

is equivalent to the norm of  $\mathcal{H}^1(\Omega_-)$  defined as in (2.11), with  $\Omega_-$  in place of  $\mathbb{R}^3$  (see, e.g., [18, Chapter XI, Part B, §1, Theorem 1]). The weighted spaces  $L^2(\rho^{-1}; \Omega_+)$  and  $\mathcal{H}^1(\Omega_+)$  coincide with the standard spaces  $L^2(\Omega_+)$  and  $H^1(\Omega_+)$ , respectively (with equivalent norms).

Note that the result in Lemma 2.1 extends also to the weighted Sobolev space  $\mathcal{H}^1(\Omega_-)$ . Therefore, there exists a linear bounded *exterior trace operator*

$$\gamma_- : \mathcal{H}^1(\Omega_-) \rightarrow H^{\frac{1}{2}}(\partial\Omega), \tag{2.13}$$

which is also surjective (see [46, p. 69]). Moreover, the embedding of the space  $H^1(\Omega_-)$  into  $\mathcal{H}^1(\Omega_-)$  and Lemma 2.1 show the existence of a (non-unique) linear and bounded right inverse  $\gamma_-^{-1} : H^{\frac{1}{2}}(\partial\Omega) \rightarrow \mathcal{H}^1(\Omega_-)$  of operator (2.13) (see [34, Lemma 2.2], [40, Theorem 2.3, Lemma 2.6]).

Let  $\mathring{\mathcal{H}}^1(\Omega_-) \subset \mathcal{H}^1(\Omega_-)$  denote the closure of  $\mathcal{D}(\Omega_-)$  in  $\mathcal{H}^1(\Omega_-)$ . This space can be characterized as

$$\mathring{\mathcal{H}}^1(\Omega_-) = \{v \in \mathcal{H}^1(\Omega_-) : \gamma_- v = 0 \text{ on } \partial\Omega\} \tag{2.14}$$

(cf., e.g., [38, Theorem 3.33]). Also let  $\tilde{\mathcal{H}}^1(\Omega_-) \subset \mathcal{H}^1(\mathbb{R}^3)$  denote the closure of  $\mathcal{D}(\Omega_-)$  in  $\mathcal{H}^1(\mathbb{R}^3)$ . This space can be also characterized as

$$\tilde{\mathcal{H}}^1(\Omega_-) = \{u \in \mathcal{H}^1(\mathbb{R}^3) : \text{supp } u \subseteq \overline{\Omega}_-\}, \tag{2.15}$$

and can be isomorphically identified with the space  $\mathring{\mathcal{H}}^1(\Omega_-)$  via the extension by zero operator  $\mathring{E}_-$ , i.e.,  $\tilde{\mathcal{H}}^1(\Omega_-) = \mathring{E}_- \mathring{\mathcal{H}}^1(\Omega_-)$  (cf., e.g., [38, Theorem 3.29 (ii)]). In addition, consider the spaces (see, e.g., [5, p. 44], [37, Theorem 2.4])

$$\mathcal{H}^{-1}(\mathbb{R}^3) := (\mathcal{H}^1(\mathbb{R}^3))^*, \mathcal{H}^{-1}(\Omega_-) := (\tilde{\mathcal{H}}^1(\Omega_-))^*, \tilde{\mathcal{H}}^{-1}(\Omega_-) := (\mathcal{H}^1(\Omega_-))^*.$$

### 3 The Conormal Derivative Operators for the Stokes System with $L^\infty$ Coefficients

In the sequel we assume that the viscosity coefficient  $\mu$  of the Stokes system (1.1) belongs to  $L^\infty(\mathbb{R}^3)$  and there exists a constant  $c_\mu > 0$ , such that

$$c_\mu^{-1} \leq \mu \leq c_\mu \text{ a.e. in } \mathbb{R}^3. \tag{3.1}$$

Let  $\mathbb{E}(\mathbf{u}) := \frac{1}{2}(\nabla \mathbf{u} + (\nabla \mathbf{u})^\top)$  be the strain rate tensor. If  $(\mathbf{u}, \pi) \in C^1(\overline{\Omega_\pm})^3 \times C^0(\overline{\Omega_\pm})$ , we can define the *classical* interior and exterior conormal derivatives (i.e., *the boundary traction fields*) for the Stokes system (1.1) with continuously differentiable viscosity coefficient  $\mu$  by the well-known formula

$$\mathbf{t}_\mu^{c\pm}(\mathbf{u}, \pi) := \gamma_\pm (-\pi \mathbb{I} + 2\mu \mathbb{E}(\mathbf{u})) \mathbf{v}, \tag{3.2}$$

where  $\mathbf{v}$  is the outward unit normal to  $\Omega_+$ , defined a.e. on  $\partial\Omega$ , and the symbol  $\pm$  refers to the limit and conormal derivative from  $\Omega_\pm$ . Then for any function  $\boldsymbol{\varphi} \in \mathcal{D}(\mathbb{R}^3)^3$  we obtain the first Green identity

$$\pm \langle \mathbf{t}_\mu^{c\pm}(\mathbf{u}, \pi), \boldsymbol{\varphi} \rangle_{\partial\Omega} = 2\langle \mu \mathbb{E}(\mathbf{u}), \mathbb{E}(\boldsymbol{\varphi}) \rangle_{\Omega_\pm} - \langle \pi, \operatorname{div} \boldsymbol{\varphi} \rangle_{\Omega_\pm} + \langle \mathcal{L}_\mu(\mathbf{u}, \pi), \boldsymbol{\varphi} \rangle_{\Omega_\pm}.$$

This formula suggests the following weak definition of the generalized conormal derivative for the Stokes system with  $L^\infty$  coefficients in the setting of  $L^2$ -weighted Sobolev spaces (cf., e.g., [17, Lemma 3.2], [34, Lemma 2.9], [35, Lemma 2.2], [40, Definition 3.1, Theorem 3.2], [42, Theorem 10.4.1]).

**Definition 3.1** Let  $\mu \in L^\infty(\mathbb{R}^3)$  satisfy assumption (3.1). Let

$$\begin{aligned} \mathcal{H}^1(\Omega_\pm, \mathcal{L}_\mu) := & \left\{ (\mathbf{u}_\pm, \pi_\pm, \tilde{\mathbf{f}}_\pm) \in \mathcal{H}^1(\Omega_\pm)^3 \times L^2(\Omega_\pm) \times \tilde{\mathcal{H}}^{-1}(\Omega_\pm)^3 : \right. \\ & \left. \mathcal{L}_\mu(\mathbf{u}_\pm, \pi_\pm) = \tilde{\mathbf{f}}_\pm|_{\Omega_\pm} \text{ and } \operatorname{div} \mathbf{u}_\pm = 0 \text{ in } \Omega_\pm \right\}. \end{aligned} \tag{3.3}$$

Then define the conormal derivative operator  $\mathbf{t}_\mu^\pm : \mathcal{H}^1(\Omega_\pm, \mathcal{L}_\mu) \rightarrow H^{-\frac{1}{2}}(\partial\Omega)^3$ ,

$$\mathcal{H}^1(\Omega_\pm, \mathcal{L}_\mu) \ni (\mathbf{u}_\pm, \pi_\pm, \tilde{\mathbf{f}}_\pm) \longmapsto \mathbf{t}_\mu^\pm(\mathbf{u}_\pm, \pi_\pm; \tilde{\mathbf{f}}_\pm) \in H^{-\frac{1}{2}}(\partial\Omega)^3, \quad (3.4)$$

$$\begin{aligned} \pm \left\langle \mathbf{t}_\mu^\pm(\mathbf{u}_\pm, \pi_\pm; \tilde{\mathbf{f}}_\pm), \Phi \right\rangle_{\partial\Omega} &:= 2\langle \mu \mathbb{E}(\mathbf{u}_\pm), \mathbb{E}(\gamma_\pm^{-1} \Phi) \rangle_{\Omega_\pm} \\ &\quad - \langle \pi_\pm, \operatorname{div}(\gamma_\pm^{-1} \Phi) \rangle_{\Omega_\pm} + \langle \tilde{\mathbf{f}}_\pm, \gamma_\pm^{-1} \Phi \rangle_{\Omega_\pm}, \quad \forall \Phi \in H^{\frac{1}{2}}(\partial\Omega)^3, \end{aligned} \quad (3.5)$$

where  $\gamma_\pm^{-1} : H^{\frac{1}{2}}(\partial\Omega)^3 \rightarrow \mathcal{H}^1(\Omega_\pm)^3$  is a (non-unique) bounded right inverse of the trace operator  $\gamma_\pm : \mathcal{H}^1(\Omega_\pm)^3 \rightarrow H^{\frac{1}{2}}(\partial\Omega)^3$ .

We use the simplified notation  $\mathbf{t}_\mu^\pm(\mathbf{u}_\pm, \pi_\pm)$  for  $\mathbf{t}_\mu^\pm(\mathbf{u}_\pm, \pi_\pm; \mathbf{0})$ . The following assertion can be proved similar to [41, Theorem 5.3], [34, Lemma 2.9].

**Lemma 3.2** *Let  $\mu \in L^\infty(\mathbb{R}^3)$  satisfy assumption (3.1). Then for all  $\mathbf{w}_\pm \in \mathcal{H}^1(\Omega_\pm)^3$  and  $(\mathbf{u}_\pm, \pi_\pm, \tilde{\mathbf{f}}_\pm) \in \mathcal{H}^1(\Omega_\pm, \mathcal{L}_\mu)$  the following identity holds*

$$\begin{aligned} \pm \left\langle \mathbf{t}_\mu^\pm(\mathbf{u}_\pm, \pi_\pm; \tilde{\mathbf{f}}_\pm), \gamma_\pm \mathbf{w}_\pm \right\rangle_{\partial\Omega} &= 2\langle \mu \mathbb{E}(\mathbf{u}_\pm), \mathbb{E}(\mathbf{w}_\pm) \rangle_{\Omega_\pm} - \langle \pi_\pm, \operatorname{div} \mathbf{w}_\pm \rangle_{\Omega_\pm} \\ &\quad + \langle \tilde{\mathbf{f}}_\pm, \mathbf{w}_\pm \rangle_{\Omega_\pm}. \end{aligned} \quad (3.6)$$

Let  $\gamma$  denote the trace operator from  $\mathcal{H}^1(\mathbb{R}^3)^3$  to  $H^{\frac{1}{2}}(\partial\Omega)^3$  (cf., e.g., [40, Theorem 2.3, Lemma 2.6], [7, (2.2)]). For  $(\mathbf{u}_\pm, \pi_\pm, \tilde{\mathbf{f}}_\pm) \in \mathcal{H}^1(\Omega_\pm, \mathcal{L}_\mu)$ , let

$$\mathbf{u} := \mathring{E}_+ \mathbf{u}_+ + \mathring{E}_- \mathbf{u}_-, \quad \pi := \mathring{E}_+ \pi_+ + \mathring{E}_- \pi_-, \quad \mathbf{f} := \tilde{\mathbf{f}}_+ + \tilde{\mathbf{f}}_- \quad (3.7)$$

$$[\mathbf{t}_\mu(\mathbf{u}, \pi; \mathbf{f})] := \mathbf{t}_\mu^+(\mathbf{u}_+, \pi_+; \tilde{\mathbf{f}}_+) - \mathbf{t}_\mu^-(\mathbf{u}_-, \pi_-; \tilde{\mathbf{f}}_-). \quad (3.8)$$

Moreover, if  $\mathbf{f} = \mathbf{0}$ , we define

$$[\mathbf{t}_\mu(\mathbf{u}, \pi)] := [\mathbf{t}_\mu(\mathbf{u}, \pi; \mathbf{0})] = \mathbf{t}_\mu^+(\mathbf{u}_+, \pi_+) - \mathbf{t}_\mu^-(\mathbf{u}_-, \pi_-). \quad (3.9)$$

Then Lemma 3.2 leads to the following result.

**Lemma 3.3** *Let  $\mu \in L^\infty(\mathbb{R}^3)$  satisfy assumption (3.1). Also let  $(\mathbf{u}_\pm, \pi_\pm, \tilde{\mathbf{f}}_\pm) \in \mathcal{H}^1(\Omega_\pm, \mathcal{L}_\mu)$  and let  $(\mathbf{u}, \pi, \mathbf{f})$  be defined as in (3.7). Then for all  $\mathbf{w} \in \mathcal{H}^1(\mathbb{R}^3)^3$ , the following formula holds*

$$\begin{aligned} \left\langle [\mathbf{t}_\mu(\mathbf{u}, \pi; \mathbf{f})], \gamma \mathbf{w} \right\rangle_{\partial\Omega} &= 2\langle \mu \mathbb{E}(\mathbf{u}_+), \mathbb{E}(\mathbf{w}) \rangle_{\Omega_+} + 2\langle \mu \mathbb{E}(\mathbf{u}_-), \mathbb{E}(\mathbf{w}) \rangle_{\Omega_-} \\ &\quad - \langle \pi, \operatorname{div} \mathbf{w} \rangle_{\mathbb{R}^3} + \langle \mathbf{f}, \mathbf{w} \rangle_{\mathbb{R}^3}. \end{aligned} \quad (3.10)$$

We also need the following particular case of Lemma 3.3 when  $\mathbf{f} = \mathbf{0}$ .

**Lemma 3.4** *Let  $\mu \in L^\infty(\mathbb{R}^3)$  satisfy assumption (3.1). Also let  $(\mathbf{u}_\pm, \pi_\pm, \mathbf{0}) \in \mathcal{H}^1(\Omega_\pm, \mathcal{L}_\mu)$ . Let  $\mathbf{u}$  and  $\pi$  defined as in formula (3.7). Then for all  $\mathbf{w} \in \mathcal{H}^1(\mathbb{R}^3)^3$ ,*

$$\begin{aligned} \langle [\mathbf{t}_\mu(\mathbf{u}, \pi)], \gamma \mathbf{w} \rangle_{\partial\Omega} &= 2\langle \mu \mathbb{E}(\mathbf{u}_+), \mathbb{E}(\mathbf{w}) \rangle_{\Omega_+} + 2\langle \mu \mathbb{E}(\mathbf{u}_-), \mathbb{E}(\mathbf{w}) \rangle_{\Omega_-} \\ &\quad - \langle \pi, \operatorname{div} \mathbf{w} \rangle_{\mathbb{R}^3}. \end{aligned} \quad (3.11)$$

## 4 Newtonian and Single Layer Potentials for the Stokes System with $L^\infty$ Coefficients

Recall that the function  $\mu \in L^\infty(\mathbb{R}^3)$  satisfies conditions (3.1). Next, we define the Newtonian and single layer potentials for the  $L^\infty$  coefficient Stokes system (1.1).

### 4.1 Variational Solution of the Variable-Coefficient Stokes System in $\mathbb{R}^3$

First we show the following useful well-posedness result.

**Lemma 4.1** *Let  $a_\mu(\cdot, \cdot) : \mathcal{H}^1(\mathbb{R}^3)^3 \times \mathcal{H}^1(\mathbb{R}^3)^3 \rightarrow \mathbb{R}$  and  $b(\cdot, \cdot) : \mathcal{H}^1(\mathbb{R}^3)^3 \times L^2(\mathbb{R}^3) \rightarrow \mathbb{R}$  be the bilinear forms given by*

$$a_\mu(\mathbf{u}, \mathbf{v}) := 2\langle \mu \mathbb{E}(\mathbf{u}), \mathbb{E}(\mathbf{v}) \rangle_{\mathbb{R}^3}, \quad \forall \mathbf{u}, \mathbf{v} \in \mathcal{H}^1(\mathbb{R}^3)^3, \quad (4.1)$$

$$b(\mathbf{v}, q) := -\langle \operatorname{div} \mathbf{v}, q \rangle_{\mathbb{R}^3}, \quad \forall \mathbf{v} \in \mathcal{H}^1(\mathbb{R}^3)^3, \quad \forall q \in L^2(\mathbb{R}^3). \quad (4.2)$$

Also let  $\ell : \mathcal{H}^1(\mathbb{R}^3)^3 \rightarrow \mathbb{R}$  be a linear and bounded map. Then the mixed variational formulation

$$\begin{cases} a_\mu(\mathbf{u}, \mathbf{v}) + b(\mathbf{v}, p) = \ell(\mathbf{v}), \quad \forall \mathbf{v} \in \mathcal{H}^1(\mathbb{R}^3)^3, \\ b(\mathbf{u}, q) = 0, \quad \forall q \in L^2(\mathbb{R}^3) \end{cases} \quad (4.3)$$

is well-posed. Hence, (4.3) has a unique solution  $(\mathbf{u}, p) \in \mathcal{H}^1(\mathbb{R}^3)^3 \times L^2(\mathbb{R}^3)$  and there exists a constant  $C = C(c_\mu) > 0$  such that

$$\|\mathbf{u}\|_{\mathcal{H}^1(\mathbb{R}^3)^3} + \|p\|_{L^2(\mathbb{R}^3)} \leq C \|\ell\|_{\mathcal{H}^{-1}(\mathbb{R}^3)^3}. \quad (4.4)$$

*Proof* By using conditions (3.1) and definition (2.11) of the norm of the weighted Sobolev space  $\mathcal{H}^1(\mathbb{R}^3)$  we obtain that

$$\begin{aligned} |a_\mu(\mathbf{u}, \mathbf{v})| &\leq 2c_\mu \|\mathbb{E}(\mathbf{u})\|_{L^2(\mathbb{R}^3)^{3 \times 3}} \|\mathbb{E}(\mathbf{v})\|_{L^2(\mathbb{R}^3)^{3 \times 3}} \\ &\leq 2c_\mu \|\mathbf{u}\|_{\mathcal{H}^1(\mathbb{R}^3)^3} \|\mathbf{v}\|_{\mathcal{H}^1(\mathbb{R}^3)^3}, \quad \forall \mathbf{u}, \mathbf{v} \in \mathcal{H}^1(\mathbb{R}^3)^3. \end{aligned} \quad (4.5)$$

Moreover, by using the Korn type inequality for functions in  $\mathcal{H}^1(\mathbb{R}^3)^3$ ,

$$\|\text{grad } \mathbf{v}\|_{L^2(\mathbb{R}^3)^{3 \times 3}} \leq 2^{\frac{1}{2}} \|\mathbb{E}(\mathbf{v})\|_{L^2(\mathbb{R}^3)^{3 \times 3}} \tag{4.6}$$

(cf., e.g., [46, (2.2)]) and since the seminorm

$$|g|_{\mathcal{H}^1(\mathbb{R}^3)} := \|\nabla g\|_{L^2(\mathbb{R}^3)^3} \tag{4.7}$$

is a norm in  $\mathcal{H}^1(\mathbb{R}^3)^3$  equivalent to the norm defined by (2.11) (see, e.g., [18, Chapter XI, Part B, §1, Theorem 1]), there exists a constant  $c_1 > 0$  such that

$$\begin{aligned} a_\mu(\mathbf{u}, \mathbf{u}) &\geq 2c_\mu^{-1} \|\mathbb{E}(\mathbf{u})\|_{L^2(\mathbb{R}^3)^{3 \times 3}}^2 \geq c_\mu^{-1} \|\nabla \mathbf{u}\|_{L^2(\mathbb{R}^3)^{3 \times 3}}^2 \\ &\geq c_\mu^{-1} c_1 \|\mathbf{u}\|_{\mathcal{H}^1(\mathbb{R}^3)^3}^2, \quad \forall \mathbf{u} \in \mathcal{H}^1(\mathbb{R}^3)^3. \end{aligned} \tag{4.8}$$

Inequalities (4.5) and (4.8) show that  $a_\mu(\cdot, \cdot) : \mathcal{H}^1(\mathbb{R}^3)^3 \times \mathcal{H}^1(\mathbb{R}^3)^3 \rightarrow \mathbb{R}$  is a bounded and coercive bilinear form. Moreover, since the divergence operator

$$\text{div} : \mathcal{H}^1(\mathbb{R}^3)^3 \rightarrow L^2(\mathbb{R}^3) \tag{4.9}$$

is bounded, then the bilinear form  $b(\cdot, \cdot) : \mathcal{H}^1(\mathbb{R}^3)^3 \times L^2(\mathbb{R}^3) \rightarrow \mathbb{R}$  is bounded as well. In addition, the operator in (4.9) is surjective (cf. [2, Proposition 2.1], [46, Proposition 2.4]) and also

$$\begin{aligned} \mathcal{H}_{\text{div}}^1(\mathbb{R}^3)^3 &:= \left\{ \mathbf{w} \in \mathcal{H}^1(\mathbb{R}^3)^3 : \text{div } \mathbf{w} = 0 \right\} \\ &= \left\{ \mathbf{w} \in \mathcal{H}^1(\mathbb{R}^3)^3 : b(\mathbf{w}, q) = 0, \quad \forall q \in L^2(\mathbb{R}^3) \right\}. \end{aligned}$$

In addition, the operator in (4.9) is surjective (cf. [2, Proposition 2.1], [46, Proposition 2.4]), and hence the operator

$$-\text{div} : \mathcal{H}^1(\mathbb{R}^3)^3 / \mathcal{H}_{\text{div}}^1(\mathbb{R}^3)^3 \rightarrow L^2(\mathbb{R}^3)$$

is an isomorphism. Then by Lemma 2(ii) the bounded bilinear form  $b(\cdot, \cdot) : \mathcal{H}^1(\mathbb{R}^3)^3 \times L^2(\mathbb{R}^3) \rightarrow \mathbb{R}$  satisfies the inf-sup condition (7). Hence, there exists  $\beta_0 \in (0, \infty)$  such that

$$\inf_{q \in L^2(\mathbb{R}^3) \setminus \{0\}} \sup_{\mathbf{w} \in \mathcal{H}^1(\mathbb{R}^3)^3 \setminus \{0\}} \frac{b(\mathbf{w}, q)}{\|\mathbf{w}\|_{\mathcal{H}^1(\mathbb{R}^3)^3} \|q\|_{L^2(\mathbb{R}^3)}} \geq \beta_0. \tag{4.10}$$

By applying Theorem 4, with  $X = \mathcal{H}^1(\mathbb{R}^3)^3$ ,  $M = L^2(\mathbb{R}^3)$ ,  $V = \mathcal{H}_{\text{div}}^1(\mathbb{R}^3)^3$ , we conclude that the mixed variational formulation (4.3) has a unique solution  $(\mathbf{u}, p) \in \mathcal{H}^1(\mathbb{R}^3)^3 \times L^2(\mathbb{R}^3)$  and there exists a constant  $C = C(c_\mu) > 0$  such that  $(\mathbf{u}, p)$  satisfies inequality (4.4).  $\square$

Next we use the result of Lemma 4.1 in order to show the well-posedness of the  $L^\infty$  coefficient Stokes system in the space  $\mathcal{H}^1(\mathbb{R}^3)^3 \times L^2(\mathbb{R}^3)$  (see also [2, Theorem 3] for the constant-coefficient case).

**Theorem 4.2** *Let  $\mu \in L^\infty(\mathbb{R}^3)$  satisfy conditions (3.1). Then the  $L^\infty$  coefficient Stokes system*

$$\begin{cases} \operatorname{div}(2\mu\mathbb{E}(\mathbf{u})) - \nabla\pi = \boldsymbol{\ell}, & \boldsymbol{\ell} \in \mathcal{H}^{-1}(\mathbb{R}^3)^3, \\ \operatorname{div}\mathbf{u} = 0, & \text{in } \mathbb{R}^3, \end{cases} \quad (4.11)$$

has a unique solution  $(\mathbf{u}, p) \in \mathcal{H}^1(\mathbb{R}^3)^3 \times L^2(\mathbb{R}^3)$ , and there exists a constant  $C_0 = C_0(c_\mu) > 0$  such that

$$\|\mathbf{u}\|_{\mathcal{H}^1(\mathbb{R}^3)^3} + \|p\|_{L^2(\mathbb{R}^3)} \leq C_0\|\boldsymbol{\ell}\|_{\mathcal{H}^{-1}(\mathbb{R}^3)^3}. \quad (4.12)$$

*Proof* Note that the Stokes system (4.11) is equivalent to the variational problem (4.3) as follows from the density of  $\mathcal{D}(\mathbb{R}^3)^3$  in the space  $\mathcal{H}^1(\mathbb{R}^3)^3$  (cf., e.g., [28], [46, Proposition 2.1]). Then the well-posedness result of the Stokes system with  $L^\infty$  coefficients (4.11) follows from Lemma 4.1.  $\square$

## 4.2 Newtonian Potential for the Stokes System with $L^\infty$ Coefficients

The well-posedness of problem (4.11) allows us to define the *Newtonian potential for the Stokes system with  $L^\infty$  coefficients* as follows.

**Definition 4.3** For any  $\boldsymbol{\ell} \in \mathcal{H}^{-1}(\mathbb{R}^3)^3$ , we define the *Newtonian velocity and pressure potentials* for the Stokes system with  $L^\infty$  coefficients as

$$\mathcal{N}_{\mu;\mathbb{R}^3}\boldsymbol{\ell} := \mathbf{u}, \quad \mathcal{Q}_{\mu;\mathbb{R}^3}\boldsymbol{\ell} := \pi, \quad (4.13)$$

where  $(\mathbf{u}, \pi) \in \mathcal{H}^1(\mathbb{R}^3)^3 \times L^2(\mathbb{R}^3)$  is the unique solution of problem (4.11) with the given datum  $\boldsymbol{\ell}$ .

Moreover, the well-posedness of problem (4.11) yields the continuity of the above operators as stated in the following assertion (cf. also [34, Lemma A.3] for  $\mu = 1$ ).

**Lemma 4.4** *The Newtonian velocity and pressure potential operators*

$$\mathcal{N}_{\mu;\mathbb{R}^3} : \mathcal{H}^{-1}(\mathbb{R}^3)^3 \rightarrow \mathcal{H}^1(\mathbb{R}^3)^3, \quad \mathcal{Q}_{\mu;\mathbb{R}^3} : \mathcal{H}^{-1}(\mathbb{R}^3)^3 \rightarrow L^2(\mathbb{R}^3) \quad (4.14)$$

are linear and continuous.

### 4.3 Single Layer Potential for the Stokes System with $L^\infty$ Coefficients

For a given  $\varphi \in H^{-\frac{1}{2}}(\partial\Omega)^3$ , we now consider the following transmission problem for the Stokes system with  $L^\infty$  coefficients

$$\begin{cases} \operatorname{div}(2\mu\mathbb{E}(\mathbf{u}_\varphi)) - \nabla\pi_\varphi = \mathbf{0} & \text{in } \mathbb{R}^3 \setminus \partial\Omega, \\ \operatorname{div} \mathbf{u}_\varphi = 0 & \text{in } \mathbb{R}^3 \setminus \partial\Omega, \\ [\mathbf{t}_\mu(\mathbf{u}_\varphi, \pi_\varphi)] = \varphi & \text{on } \partial\Omega, \end{cases} \quad (4.15)$$

and show that this problem has a unique solution  $(\mathbf{u}_\varphi, \pi_\varphi) \in \mathcal{H}^1(\mathbb{R}^3)^3 \times L^2(\mathbb{R}^3)$  (cf. also [46, Proposition 5.1] for  $\mu = 1$ ). Note that the membership of  $\mathbf{u}_\varphi$  in  $\mathcal{H}^1(\mathbb{R}^3)^3$  implies the transmission condition

$$[\gamma(\mathbf{u}_\varphi)] = \mathbf{0} \text{ on } \partial\Omega, \quad (4.16)$$

and the first equation in (4.15) implies also that the jump  $[\mathbf{t}_\mu(\mathbf{u}_\varphi, \pi_\varphi)]$  is well defined.

**Theorem 4.5** *Let  $\varphi \in H^{-\frac{1}{2}}(\partial\Omega)^3$  be given. Then the transmission problem (4.15) has the following equivalent mixed variational formulation: Find  $(\mathbf{u}_\varphi, \pi_\varphi) \in \mathcal{H}^1(\mathbb{R}^3)^3 \times L^2(\mathbb{R}^3)$  such that*

$$\begin{cases} 2\langle \mu\mathbb{E}(\mathbf{u}_\varphi), \mathbb{E}(\mathbf{v}) \rangle_{\mathbb{R}^3} - \langle \pi_\varphi, \operatorname{div} \mathbf{v} \rangle_{\mathbb{R}^3} = \langle \varphi, \gamma \mathbf{v} \rangle_{\partial\Omega}, \quad \forall \mathbf{v} \in \mathcal{H}^1(\mathbb{R}^3)^3, \\ \langle \operatorname{div} \mathbf{u}_\varphi, q \rangle_{\mathbb{R}^3} = 0, \quad \forall q \in L^2(\mathbb{R}^3). \end{cases} \quad (4.17)$$

Moreover, problem (4.17) is well-posed. Hence (4.17) has a unique solution  $(\mathbf{u}_\varphi, \pi_\varphi) \in \mathcal{H}^1(\mathbb{R}^3)^3 \times L^2(\mathbb{R}^3)$ , and there exists a constant  $C = C(c_\mu)$  such that

$$\|\mathbf{u}_\varphi\|_{\mathcal{H}^1(\mathbb{R}^3)^3} + \|\pi_\varphi\|_{L^2(\mathbb{R}^3)} \leq C\|\varphi\|_{H^{-\frac{1}{2}}(\partial\Omega)^3}. \quad (4.18)$$

*Proof* The equivalence between the transmission problem (4.15) and the variational problem (4.17) follows from the density of the space  $\mathcal{D}(\mathbb{R}^3)^3$  in  $\mathcal{H}^1(\mathbb{R}^3)^3$  and formula (3.11), while the well-posedness of the variational problem (4.17) is an immediate consequence of Lemma 4.1 with the linear and continuous form  $\ell : \mathcal{H}^1(\mathbb{R}^3)^3 \rightarrow \mathbb{R}$  given by

$$\ell(\mathbf{v}) := \langle \varphi, \gamma \mathbf{v} \rangle_{\partial\Omega} = \langle \gamma^* \varphi, \mathbf{v} \rangle_{\mathbb{R}^3}, \quad \forall \mathbf{v} \in \mathcal{H}^1(\mathbb{R}^3)^3,$$

and hence  $\ell = \gamma^* \varphi$ , where  $\gamma^* : H^{-\frac{1}{2}}(\partial\Omega)^3 \rightarrow \mathcal{H}^{-1}(\mathbb{R}^3)^3$  is the adjoint of the trace operator  $\gamma : \mathcal{H}^1(\mathbb{R}^3)^3 \rightarrow H^{\frac{1}{2}}(\partial\Omega)^3$ . □

Theorem 4.5 leads to the following definition (cf. [46, p. 75] for  $\mu = 1$ ).

**Definition 4.6** For any  $\boldsymbol{\varphi} \in H^{-\frac{1}{2}}(\partial\Omega)^3$ , we define the *single layer velocity and pressure potentials* for the Stokes system with  $L^\infty$  coefficients (1.1) as

$$\mathbf{V}_{\mu;\partial\Omega}\boldsymbol{\varphi} := \mathbf{u}_\varphi, \quad \mathcal{Q}_{\mu;\partial\Omega}^s\boldsymbol{\varphi} := \pi_\varphi, \quad (4.19)$$

and the *potential operators*  $\mathcal{V}_{\mu;\partial\Omega} : H^{-\frac{1}{2}}(\partial\Omega)^3 \rightarrow H^{\frac{1}{2}}(\partial\Omega)^3$  and  $\mathbf{K}_{\mu;\partial\Omega}^* : H^{-\frac{1}{2}}(\partial\Omega)^3 \rightarrow H^{-\frac{1}{2}}(\partial\Omega)^3$  as

$$\mathcal{V}_{\mu;\partial\Omega}\boldsymbol{\varphi} := \gamma\mathbf{u}_\varphi, \quad \mathbf{K}_{\mu;\partial\Omega}^*\boldsymbol{\varphi} := \frac{1}{2}(\mathbf{t}_\mu^+(\mathbf{u}_\varphi, \pi_\varphi) + \mathbf{t}_\mu^-(\mathbf{u}_\varphi, \pi_\varphi)), \quad (4.20)$$

where  $(\mathbf{u}_\varphi, \pi_\varphi)$  is the unique solution of problem (4.15) in  $\mathcal{H}^1(\mathbb{R}^3)^3 \times L^2(\mathbb{R}^3)$ .

The next result shows the continuity of single layer velocity and pressure potential operators for the variable coefficient Stokes system (cf. [46, Proposition 5.2], [34, Lemma A.4, (A.10), (A.12)] and [42, Theorem 10.5.3] in the case  $\mu = 1$ ).

**Lemma 4.7** *The following operators are linear and continuous*

$$\mathbf{V}_{\mu;\partial\Omega} : H^{-\frac{1}{2}}(\partial\Omega)^3 \rightarrow \mathcal{H}^1(\mathbb{R}^3)^3, \quad \mathcal{Q}_{\mu;\partial\Omega}^s : H^{-\frac{1}{2}}(\partial\Omega)^3 \rightarrow L^2(\mathbb{R}^3), \quad (4.21)$$

$$\mathcal{V}_{\mu;\partial\Omega} : H^{-\frac{1}{2}}(\partial\Omega)^3 \rightarrow H^{\frac{1}{2}}(\partial\Omega)^3, \quad \mathbf{K}_{\mu;\partial\Omega}^* : H^{-\frac{1}{2}}(\partial\Omega)^3 \rightarrow H^{-\frac{1}{2}}(\partial\Omega)^3. \quad (4.22)$$

*Proof* The continuity of operators (4.21) and (4.22) follows from the well-posedness of the transmission problem (4.15) and Definition 4.6.  $\square$

The next result yields the jump relations of the single layer potential and its conormal derivative across  $\partial\Omega$  (see also [46, Proposition 5.3] for  $\mu = 1$ ).

**Lemma 4.8** *Let  $\boldsymbol{\varphi} \in H^{-\frac{1}{2}}(\partial\Omega)^3$ . Then almost everywhere on  $\partial\Omega$ ,*

$$[\gamma\mathbf{V}_{\mu;\partial\Omega}\boldsymbol{\varphi}] = \mathbf{0}, \quad (4.23)$$

$$\left[ \mathbf{t}_\mu \left( \mathbf{V}_{\mu;\partial\Omega}\boldsymbol{\varphi}, \mathcal{Q}_{\mu;\partial\Omega}^s\boldsymbol{\varphi} \right) \right] = \boldsymbol{\varphi}, \quad \mathbf{t}_\mu^\pm \left( \mathbf{V}_{\mu;\partial\Omega}\boldsymbol{\varphi}, \mathcal{Q}_{\mu;\partial\Omega}^s\boldsymbol{\varphi} \right) = \pm \frac{1}{2}\boldsymbol{\varphi} + \mathbf{K}_{\mu;\partial\Omega}^*\boldsymbol{\varphi}. \quad (4.24)$$

*Proof* Formulas (4.23) and (4.24) follow from Definition 4.6 and the transmission condition in (4.16), as well as the transmission condition in the third line of (4.15).  $\square$

Let  $\mathbb{R}\mathbf{v} = \{c\mathbf{v} : c \in \mathbb{R}\}$ . Let  $\text{Ker}\{T : X \rightarrow Y\} := \{x \in X : T(x) = 0\}$  denote the null space of the map  $T : X \rightarrow Y$ .

We next obtain the main properties of the single layer potential operator (cf., e.g., [42, Theorem 10.5.3], and [7, Proposition 3.3(c)] and [46, Proposition 5.4] for  $\mu = 1$  and  $\alpha \in [0, \infty)$ ).

**Lemma 4.9** *The following properties hold*

$$\mathbf{V}_{\mu; \partial\Omega} \mathbf{v} = \mathbf{0} \text{ in } \mathbb{R}^3, \quad \mathcal{Q}_{\mu; \partial\Omega}^s \mathbf{v} = -\chi_{\Omega_+} \quad (4.25)$$

$$\text{Ker} \left\{ \mathcal{V}_{\mu; \partial\Omega} : H^{-\frac{1}{2}}(\partial\Omega)^3 \rightarrow H^{\frac{1}{2}}(\partial\Omega)^3 \right\} = \mathbb{R} \mathbf{v}, \quad (4.26)$$

$$\mathcal{V}_{\mu; \partial\Omega} \boldsymbol{\varphi} \in H_v^{\frac{1}{2}}(\partial\Omega)^3, \quad \forall \boldsymbol{\varphi} \in H^{-\frac{1}{2}}(\partial\Omega)^3, \quad (4.27)$$

where  $\chi_{\Omega_+} = 1$  in  $\Omega_+$ ,  $\chi_{\Omega_+} = 0$  in  $\Omega_-$ , and

$$H_v^{\frac{1}{2}}(\partial\Omega)^3 := \left\{ \boldsymbol{\phi} \in H^{\frac{1}{2}}(\partial\Omega)^3 : \langle \mathbf{v}, \boldsymbol{\phi} \rangle_{\partial\Omega} = 0 \right\}. \quad (4.28)$$

*Proof* First, we consider the transmission problem (4.15) with the datum  $\boldsymbol{\varphi} = \mathbf{v} \in H^{-\frac{1}{2}}(\partial\Omega)^3$ . Then the solution of this problem is given by

$$(\mathbf{u}_v, \pi_v) = (\mathbf{0}, -\chi_{\Omega_+}) \in \mathcal{H}^1(\mathbb{R}^3)^3 \times L^2(\mathbb{R}^3). \quad (4.29)$$

Indeed, the pair  $(\mathbf{u}_v, \pi_v)$  satisfies the equations and the transmission condition in (4.15), as well as the transmission condition (4.16), and, in view of formula (3.11) and the divergence theorem,

$$\langle [\mathbf{t}_\mu(\mathbf{u}_v, \pi_v)], \gamma \mathbf{v} \rangle_{\partial\Omega} = -\langle \pi_v, \text{div } \mathbf{v} \rangle_{\mathbb{R}^3} = \langle \mathbf{v}, \gamma \mathbf{v} \rangle_{\partial\Omega}, \quad \forall \mathbf{v} \in \mathcal{D}(\mathbb{R}^3)^3. \quad (4.30)$$

Then by formula (2.3), Lemma 2.1, the dense embedding of the space  $\mathcal{D}(\mathbb{R}^3)^3$  in  $H^1(\mathbb{R}^3)^3$ , and the above equality, we obtain that  $\langle [\mathbf{t}_\mu(\mathbf{u}_v, \pi_v)], \Phi \rangle_{\partial\Omega} = \langle \mathbf{v}, \Phi \rangle_{\partial\Omega}$  for any  $\Phi \in H^{\frac{1}{2}}(\partial\Omega)^3$ . Hence,  $[\mathbf{t}_\mu(\mathbf{u}_v, \pi_v)] = \mathbf{v}$ , as asserted. Then Definition 4.6 implies relations (4.25). Moreover,  $\mathcal{V}_{\mu; \partial\Omega} \mathbf{v} = \mathbf{0}$ , i.e.,  $\mathbb{R} \mathbf{v} \subseteq \text{Ker} \left\{ \mathcal{V}_{\mu; \partial\Omega} : H^{-\frac{1}{2}}(\partial\Omega)^3 \rightarrow H^{\frac{1}{2}}(\partial\Omega)^3 \right\}$ .

Now let  $\boldsymbol{\varphi}_0 \in \text{Ker} \left\{ \mathcal{V}_{\mu; \partial\Omega} : H^{-\frac{1}{2}}(\partial\Omega)^3 \rightarrow H^{\frac{1}{2}}(\partial\Omega)^3 \right\}$ . Let  $(\mathbf{u}_{\boldsymbol{\varphi}_0}, \pi_{\boldsymbol{\varphi}_0}) = (\mathbf{V}_{\mu; \partial\Omega} \boldsymbol{\varphi}_0, \mathcal{Q}_{\mu; \partial\Omega}^s \boldsymbol{\varphi}_0) \in \mathcal{H}^1(\mathbb{R}^3)^3 \times L^2(\mathbb{R}^3)$  be the unique solution of problem (4.15) with datum  $\boldsymbol{\varphi}_0$ . Since  $\gamma \mathbf{u}_{\boldsymbol{\varphi}_0} = \mathbf{0}$  on  $\partial\Omega$ , formula (3.11) yields that

$$0 = \langle [\mathbf{t}_\mu(\mathbf{u}_{\boldsymbol{\varphi}_0}, \pi_{\boldsymbol{\varphi}_0})], \gamma \mathbf{u}_{\boldsymbol{\varphi}_0} \rangle_{\partial\Omega} = a_\mu(\mathbf{u}_{\boldsymbol{\varphi}_0}, \mathbf{u}_{\boldsymbol{\varphi}_0}), \quad (4.31)$$

and hence  $\mathbf{u}_{\boldsymbol{\varphi}_0} = \mathbf{0}$ ,  $\pi_{\boldsymbol{\varphi}_0} = c \chi_{\Omega_+}$  in  $\mathbb{R}^3$ , where  $c \in \mathbb{R}$ . In view of formula (3.11),

$$\langle [\mathbf{t}_\mu(\mathbf{u}_{\boldsymbol{\varphi}_0}, \pi_{\boldsymbol{\varphi}_0})], \gamma \mathbf{w} \rangle_{\partial\Omega} = -\langle \pi_{\boldsymbol{\varphi}_0}, \text{div } \mathbf{w} \rangle_{\mathbb{R}^3} = -c \langle \mathbf{v}, \gamma \mathbf{w} \rangle_{\partial\Omega}, \quad \forall \mathbf{w} \in \mathcal{D}(\mathbb{R}^3)^3,$$

and, thus,  $\boldsymbol{\varphi}_0 = [\mathbf{t}_\mu(\mathbf{u}_{\boldsymbol{\varphi}_0}, \pi_{\boldsymbol{\varphi}_0})] = -c \mathbf{v}$ . Hence, formula (4.26) follows.

Now let  $\boldsymbol{\varphi} \in H^{-\frac{1}{2}}(\partial\Omega)^3$ . By using the first formula in (4.20), we obtain for any  $\boldsymbol{\varphi} \in H^{-\frac{1}{2}}(\partial\Omega)^3$  that  $\langle \mathcal{V}_{\mu; \partial\Omega} \boldsymbol{\varphi}, \mathbf{v} \rangle_{\partial\Omega} = \langle \gamma \mathbf{u}_{\boldsymbol{\varphi}}, \mathbf{v} \rangle_{\partial\Omega} = \langle \text{div } \mathbf{u}_{\boldsymbol{\varphi}}, 1 \rangle_{\Omega} = 0$ , where  $\mathbf{u}_{\boldsymbol{\varphi}} = \mathbf{V}_{\mu; \partial\Omega} \boldsymbol{\varphi}$ . Thus, we get relation (4.27).  $\square$

Next we use the notation  $[[\cdot]]$  for the equivalence classes of the space  $H^{-\frac{1}{2}}(\partial\Omega, \Lambda^1 TM)/\mathbb{R}\mathbf{v}$ . Thus, any  $[[\boldsymbol{\varphi}]] \in H^{-\frac{1}{2}}(\partial\Omega)^3/\mathbb{R}\mathbf{v}$  can be written as  $[[\boldsymbol{\varphi}]] = \boldsymbol{\varphi} + \mathbb{R}\mathbf{v}$ , where  $\boldsymbol{\varphi} \in H^{-\frac{1}{2}}(\partial\Omega)^3$ .

Exploiting properties (4.26) and (4.27), we now show the following invertibility result (cf. [42, Theorem 10.5.3], [7, Proposition 3.3(d)], [46, Proposition 5.5] for  $\mu = 1$  and  $\alpha \geq 0$  constant).

**Lemma 4.10** *The following operator is an isomorphism*

$$\mathcal{V}_{\mu; \partial\Omega} : H^{-\frac{1}{2}}(\partial\Omega)^3/\mathbb{R}\mathbf{v} \rightarrow H_{\mathbf{v}}^{\frac{1}{2}}(\partial\Omega)^3. \tag{4.32}$$

*Proof* We use arguments similar to those for Proposition 5.5 in [46]. First, Lemma 4.7 and the membership relation (4.27) imply that the linear operator in (4.32) is continuous. We show that this operator is also  $H^{-\frac{1}{2}}(\partial\Omega)^3/\mathbb{R}\mathbf{v}$ -elliptic, i.e., that there exists a constant  $c = c(\partial\Omega) > 0$  such that

$$\langle \mathcal{V}_{\mu; \partial\Omega} [[\boldsymbol{\varphi}]], [[\boldsymbol{\varphi}]] \rangle_{\partial\Omega} \geq c \| [[\boldsymbol{\varphi}]] \|_{H^{-\frac{1}{2}}(\partial\Omega)^3/\mathbb{R}\mathbf{v}}^2, \quad \forall [[\boldsymbol{\varphi}]] \in H^{-\frac{1}{2}}(\partial\Omega)^3/\mathbb{R}\mathbf{v}. \tag{4.33}$$

Let  $[[\boldsymbol{\varphi}]] \in H^{-\frac{1}{2}}(\partial\Omega)^3/\mathbb{R}\mathbf{v}$ . Thus,  $[[\boldsymbol{\varphi}]] = \boldsymbol{\varphi} + \mathbb{R}\mathbf{v}$ , where  $\boldsymbol{\varphi} \in H^{-\frac{1}{2}}(\partial\Omega)^3$ . In view of formula (3.11), Definition 4.6, relations (4.26), (4.27), and inequality (4.8),

$$\begin{aligned} \langle \mathcal{V}_{\mu; \partial\Omega} ([[ \boldsymbol{\varphi} ]]), [[ \boldsymbol{\varphi} ]]) \rangle_{\partial\Omega} &= \langle \mathcal{V}_{\mu; \partial\Omega}(\boldsymbol{\varphi}), \boldsymbol{\varphi} \rangle_{\partial\Omega} = \langle \gamma \mathbf{u}_\boldsymbol{\varphi}, [\mathbf{t}_\mu(\mathbf{u}_\boldsymbol{\varphi}, \pi_\boldsymbol{\varphi})] \rangle_{\partial\Omega} \\ &= a_\mu(\mathbf{u}_\boldsymbol{\varphi}, \mathbf{u}_\boldsymbol{\varphi}) \geq c_\mu^{-1} \|\mathbf{u}_\boldsymbol{\varphi}\|_{H^1(\mathbb{R}^3)^3}^2, \end{aligned} \tag{4.34}$$

where  $\mathbf{u}_\boldsymbol{\varphi} = \mathbf{V}_{\mu; \partial\Omega} \boldsymbol{\varphi}$  and  $\pi_\boldsymbol{\varphi} = \mathcal{Q}_{\mu; \partial\Omega}^s \boldsymbol{\varphi}$ . Now we use the property that the trace operator

$$\gamma : \mathcal{H}_{\text{div}}^1(\mathbb{R}^3)^3 \rightarrow H_{\mathbf{v}}^{\frac{1}{2}}(\partial\Omega)^3 \tag{4.35}$$

is surjective having a bounded right inverse  $\gamma^{-1} : H_{\mathbf{v}}^{\frac{1}{2}}(\partial\Omega)^3 \rightarrow \mathcal{H}_{\text{div}}^1(\mathbb{R}^3)^3$  (cf., e.g., [46, Proposition 4.4]). Hence, for any  $\boldsymbol{\Phi} \in H_{\mathbf{v}}^{\frac{1}{2}}(\partial\Omega)^3$ , we have that  $\mathbf{w} = \gamma^{-1} \boldsymbol{\Phi} \in \mathcal{H}_{\text{div}}^1(\mathbb{R}^3)^3$ . Then there exists  $c' \equiv c'(\partial\Omega) \in (0, \infty)$  such that

$$\begin{aligned} |\langle [[\boldsymbol{\varphi}]], \boldsymbol{\Phi} \rangle_{\partial\Omega}| &= |\langle \boldsymbol{\varphi}, \boldsymbol{\Phi} \rangle_{\partial\Omega}| = |\langle [\mathbf{t}_\mu(\mathbf{u}_\boldsymbol{\varphi}, \pi_\boldsymbol{\varphi})], \gamma \mathbf{w} \rangle_{\partial\Omega}| = |a_\mu(\mathbf{u}_\boldsymbol{\varphi}, \mathbf{w})| \\ &\leq 2c_\mu \|\mathbf{u}_\boldsymbol{\varphi}\|_{\mathcal{H}^1(\mathbb{R}^3)^3} \|\gamma^{-1} \boldsymbol{\Phi}\|_{\mathcal{H}^1(\mathbb{R}^3)^3} \leq 2c_\mu c' \|\mathbf{u}_\boldsymbol{\varphi}\|_{\mathcal{H}^1(\mathbb{R}^3)^3} \|\boldsymbol{\Phi}\|_{H^{\frac{1}{2}}(\partial\Omega)^3}, \end{aligned} \tag{4.36}$$

where the first equality in (4.36) follows from the relation  $[[\boldsymbol{\varphi}]] = \boldsymbol{\varphi} + \mathbb{R}\mathbf{v}$  and the membership of  $\boldsymbol{\Phi}$  in  $H_{\mathbf{v}}^{\frac{1}{2}}(\partial\Omega)^3$ , the second equality follows from Definition 4.6, and

the third equality is a consequence of formula (3.11). Since the space  $H^{\frac{1}{2}}_v(\partial\Omega)^3$  is the dual of the space  $H^{-\frac{1}{2}}(\partial\Omega)^3/\mathbb{R}v$ , formula (4.36) yields that

$$\| \llbracket \boldsymbol{\varphi} \rrbracket \|_{H^{-\frac{1}{2}}(\partial\Omega)^3/\mathbb{R}v} \leq 2c_\mu c' \| \mathbf{u}_\varphi \|_{\mathcal{H}^1(\mathbb{R}^3)^3}. \tag{4.37}$$

Then by (4.34) and (4.37) we obtain inequality (4.33), and the Lax-Milgram lemma yields that operator (4.32) is an isomorphism.  $\square$

*Remark 4.11* The fundamental solution of the constant-coefficient Stokes system in  $\mathbb{R}^3$  is well known and leads to the construction of Newtonian and boundary layer potentials via the integral approach (see, e.g., [17, 32, 42, 48]). In view of Theorems 4.2 and 4.5, the Newtonian and single layer potentials provided by the variational approach (in the case  $\mu = 1$ ) coincide with classical ones expressed in terms of the fundamental solution, since they satisfy the same boundary value problems (4.11) and (4.15), respectively (see also [46, Proposition 5.1] for  $\mu = 1$ ). The assumption  $\mu = 1$  is a particular case of a more general case of  $L^\infty$  coefficients analyzed in this paper. We also note that an alternative approach, reducing various boundary value problems for variable-coefficient elliptic partial differential equations to *boundary-domain integral equations*, by employing the explicit parametrix-based integral potentials, was explored in, e.g., [12–14].

## 5 Exterior Dirichlet Problem for the Stokes System with $L^\infty$ Coefficients

In this section we analyze the exterior Dirichlet problem for the Stokes system with  $L^\infty$  coefficients

$$\begin{cases} \operatorname{div}(2\mu\mathbb{E}(\mathbf{u})) - \nabla\pi = \mathbf{f} & \text{in } \Omega_-, \\ \operatorname{div} \mathbf{u} = 0 & \text{in } \Omega_-, \\ \gamma_- \mathbf{u} = \boldsymbol{\phi} & \text{on } \partial\Omega, \end{cases} \tag{5.1}$$

with given data  $(\mathbf{f}, \boldsymbol{\phi}) \in \mathcal{H}^{-1}(\Omega_-)^3 \times H^{\frac{1}{2}}(\partial\Omega)^3$ .

### 5.1 Variational Approach

First, we use a variational approach and show that problem (5.1) has a unique solution  $(\mathbf{u}, \pi) \in \mathcal{H}^1(\Omega_-)^3 \times L^2(\Omega_-)$  (see also [26, Theorem 3.4] and [3, Theorem 3.16] for the constant-coefficient Stokes system).

**Theorem 5.1** *Assume that  $\mu \in L^\infty(\Omega_-)$  satisfies conditions (3.1). Then for all given data  $(\mathbf{f}, \boldsymbol{\phi}) \in \mathcal{H}^{-1}(\Omega_-)^3 \times H^{\frac{1}{2}}(\partial\Omega)^3$  the exterior Dirichlet problem for the  $L^\infty$  coefficient Stokes system (5.1) is well posed. Hence problem (5.1) has a unique solution  $(\mathbf{u}, \pi) \in \mathcal{H}^1(\Omega_-)^3 \times L^2(\Omega_-)$  and there exists a constant  $C \equiv C(\partial\Omega; c_\mu) > 0$  such that*

$$\|\mathbf{u}\|_{\mathcal{H}^1(\Omega_-)^3} + \|\pi\|_{L^2(\Omega_-)} \leq C \left( \|\mathbf{f}\|_{\mathcal{H}^{-1}(\Omega_-)^3} + \|\boldsymbol{\phi}\|_{H^{\frac{1}{2}}(\partial\Omega)^3} \right). \tag{5.2}$$

*Proof* First, we note that the density of the space  $\mathcal{D}(\Omega_-)^3$  in  $\tilde{\mathcal{H}}^1(\Omega_-)^3$  implies that the exterior Dirichlet problem (5.1) has the following equivalent variational formulation: Find  $(\mathbf{u}, \pi) \in \mathcal{H}^1(\Omega_-)^3 \times L^2(\Omega_-)$  such that

$$\begin{cases} 2\langle \mu \mathbb{E}(\mathbf{u}), \mathbb{E}(\tilde{\mathbf{v}}) \rangle_{\Omega_-} - \langle \pi, \operatorname{div} \tilde{\mathbf{v}} \rangle_{\Omega_-} = -\langle \mathbf{f}, \tilde{\mathbf{v}} \rangle_{\Omega_-}, \quad \forall \tilde{\mathbf{v}} \in \tilde{\mathcal{H}}^1(\Omega_-)^3, \\ \langle \operatorname{div} \mathbf{u}, q \rangle_{\Omega_-} = 0, \quad \forall q \in L^2(\Omega_-), \\ \gamma_-(\mathbf{u}) = \boldsymbol{\phi} \text{ on } \partial\Omega. \end{cases} \tag{5.3}$$

Next, we consider  $\mathbf{u}_0 \in \mathcal{H}^1(\Omega_-)^3$  such that

$$\begin{cases} \operatorname{div} \mathbf{u}_0 = 0 \text{ in } \Omega_-, \\ \gamma_- \mathbf{u}_0 = \boldsymbol{\phi} \text{ on } \partial\Omega. \end{cases} \tag{5.4}$$

Particularly, we can choose  $\mathbf{u}_0$  as the solution of the Dirichlet problem for a constant-coefficient Brinkman system

$$\begin{cases} (\Delta - \alpha \mathbb{I})\mathbf{u}_0 - \nabla \pi_0 = 0, \quad \operatorname{div} \mathbf{u}_0 = 0 \text{ in } \Omega_-, \\ \gamma_- \mathbf{u}_0 = \boldsymbol{\phi} \text{ on } \partial\Omega, \end{cases} \tag{5.5}$$

where  $\alpha > 0$  is an arbitrary constant. The solution is given by the double layer potential

$$\mathbf{u}_0 = \mathbf{W}_{\alpha; \partial\Omega} \left( \frac{1}{2} \mathbb{I} + \mathbf{K}_{\alpha; \partial\Omega} \right)^{-1} \boldsymbol{\phi}, \tag{5.6}$$

where  $\mathbf{K}_{\alpha; \partial\Omega} : H^{\frac{1}{2}}(\partial\Omega)^3 \rightarrow H^{\frac{1}{2}}(\partial\Omega)^3$  is the corresponding Brinkman double-layer boundary potential operator. Note that

$$(\mathbf{W}_\alpha \mathbf{h})_j(\mathbf{x}) := \int_{\partial\Omega} S_{ij\ell}^\alpha(\mathbf{x}, \mathbf{y}) \nu_\ell(\mathbf{y}) h_i(\mathbf{y}) d\sigma_{\mathbf{y}}. \tag{5.7}$$

The explicit form of the kernel  $S_{ij\ell}^\alpha(\mathbf{x}, \mathbf{y})$  can be found in [48, (2.14)–(2.18)] and [32, Section 3.2.1].

In addition, the operator  $\frac{1}{2} \mathbb{I} + \mathbf{K}_{\alpha; \partial\Omega} : H^{\frac{1}{2}}(\partial\Omega)^3 \rightarrow H^{\frac{1}{2}}(\partial\Omega)^3$  is an isomorphism, and  $\mathbf{u}_0$  belongs to the space  $H^1(\Omega_-)^3$  and satisfies (5.5), and hence (5.4).

Moreover, the embedding  $H^1(\Omega_-)^3 \subset \mathcal{H}^1(\Omega_-)^3$  shows that  $\mathbf{u}_0$  belongs also to the space  $\mathcal{H}^1(\Omega_-)^3$  (see also [26, Lemma 3.2, Remark 3.3]).

Then with the new variable  $\hat{\mathbf{u}} := \mathbf{u} - \mathbf{u}_0 \in \mathring{\mathcal{H}}^1(\Omega_-)^3$ , the variational problem (5.3) reduces to the following mixed variational formulation (c.f. Problem (Q) in p. 324 of [26] for the constant-coefficient Stokes system): Find  $(\hat{\mathbf{u}}, \pi) \in \mathring{\mathcal{H}}^1(\Omega_-)^3 \times L^2(\Omega_-)$  such that

$$\begin{cases} a_{\mu; \Omega_-}(\hat{\mathbf{u}}, \mathbf{v}) + b_{\Omega_-}(\mathbf{v}, \pi) = \mathfrak{F}_{\mu; \mathbf{u}_0}(\mathbf{v}), \quad \forall \mathbf{v} \in \mathring{\mathcal{H}}^1(\Omega_-)^3, \\ b_{\Omega_-}(\hat{\mathbf{u}}, q) = 0, \quad \forall q \in L^2(\Omega_-), \end{cases} \quad (5.8)$$

where  $a_{\mu; \Omega_-} : \mathring{\mathcal{H}}^1(\Omega_-)^3 \times \mathring{\mathcal{H}}^1(\Omega_-)^3 \rightarrow \mathbb{R}$  and  $b_{\Omega_-} : \mathring{\mathcal{H}}^1(\Omega_-)^3 \times L^2(\Omega_-) \rightarrow \mathbb{R}$  are the bilinear forms given by

$$a_{\mu; \Omega_-}(\mathbf{w}, \mathbf{v}) := 2\langle \mu \mathbb{E}(\mathbf{w}), \mathbb{E}(\mathbf{v}) \rangle_{\Omega_-}, \quad \forall \mathbf{w}, \mathbf{v} \in \mathring{\mathcal{H}}^1(\Omega_-)^3, \quad (5.9)$$

$$b_{\Omega_-}(\mathbf{v}, q) := -\langle \operatorname{div} \mathbf{v}, q \rangle_{\Omega_-}, \quad \forall \mathbf{v} \in \mathring{\mathcal{H}}^1(\Omega_-)^3, q \in L^2(\Omega_-), \quad (5.10)$$

and  $\mathfrak{F}_{\mu; \mathbf{u}_0} : \mathring{\mathcal{H}}^1(\Omega_-)^3 \rightarrow \mathbb{R}$  is the linear form given by

$$\mathfrak{F}_{\mu; \mathbf{u}_0}(\mathbf{v}) := -\left( \langle \mathbf{f}, \mathring{E}_- \mathbf{v} \rangle_{\Omega_-} + 2\langle \mu \mathbb{E}(\mathbf{u}_0), \mathbb{E}(\mathbf{v}) \rangle_{\Omega_-} \right), \quad \forall \mathbf{v} \in \mathring{\mathcal{H}}^1(\Omega_-)^3. \quad (5.11)$$

Here we took into account that the spaces  $\mathring{\mathcal{H}}^1(\Omega_-)^3$  and  $\tilde{\mathcal{H}}^1(\Omega_-)^3$  can be identified through the isomorphism  $\mathring{E}_- : \mathring{\mathcal{H}}^1(\Omega_-)^3 \rightarrow \tilde{\mathcal{H}}^1(\Omega_-)^3$ . Note that

$$\begin{aligned} \mathring{\mathcal{H}}_{\operatorname{div}}^1(\Omega_-)^3 &:= \left\{ \mathbf{v} \in \mathring{\mathcal{H}}^1(\Omega_-)^3 : \operatorname{div} \mathbf{v} = 0 \text{ in } \Omega_- \right\} \\ &= \left\{ \mathbf{v} \in \mathring{\mathcal{H}}^1(\Omega_-)^3 : b_{\Omega_-}(\mathbf{v}, q) = 0, \quad \forall q \in L^2(\Omega_-) \right\}. \end{aligned} \quad (5.12)$$

Now, formula (2.11), inequality (3.1) and the Hölder inequality yield that

$$\begin{aligned} |a_{\mu; \Omega_-}(\mathbf{v}_1, \mathbf{v}_2)| &\leq 2c_\mu \|\mathbb{E}(\mathbf{v}_1)\|_{L^2(\Omega_-)^{3 \times 3}} \|\mathbb{E}(\mathbf{v}_2)\|_{L^2(\Omega_-)^{3 \times 3}} \\ &\leq 2c_\mu \|\mathbf{v}_1\|_{\mathcal{H}^1(\Omega_-)^3} \|\mathbf{v}_2\|_{\mathcal{H}^1(\Omega_-)^3}, \quad \forall \mathbf{v}_1, \mathbf{v}_2 \in \mathring{\mathcal{H}}^1(\Omega_-)^3. \end{aligned} \quad (5.13)$$

Moreover, the formula

$$2\|\mathbb{E}(\mathbf{v})\|_{L^2(\Omega_-)^{3 \times 3}}^2 = \|\operatorname{grad} \mathbf{v}\|_{L^2(\Omega_-)^{3 \times 3}}^2 + \|\operatorname{div} \mathbf{v}\|_{L^2(\Omega_-)}^2, \quad \forall \mathbf{v} \in \mathcal{D}(\Omega_-)^3 \quad (5.14)$$

(cf., e.g., the proof of Corollary 2.2 in [46]), and the density of the space  $\mathcal{D}(\Omega_-)^3$  in  $\mathring{\mathcal{H}}^1(\Omega_-)^3$  show that the same formula holds also for any function in  $\mathring{\mathcal{H}}^1(\Omega_-)^3$ . Therefore, we obtain the following Korn type inequality

$$\|\operatorname{grad} \mathbf{v}\|_{L^2(\Omega_-)^{3 \times 3}} \leq 2^{\frac{1}{2}} \|\mathbb{E}(\mathbf{v})\|_{L^2(\Omega_-)^{3 \times 3}}, \quad \forall \mathbf{v} \in \mathring{\mathcal{H}}^1(\Omega_-)^3. \quad (5.15)$$

Then by using inequality (5.15), the equivalence of seminorm (2.12) to the norm (2.11) in the space  $\mathcal{H}^1(\Omega_-)^3$ , and assumption (3.1) we deduce that there exists a constant  $C = C(\Omega_-) > 0$  such that

$$\begin{aligned} \|\mathbf{u}\|_{\mathcal{H}^1(\Omega_-)^3}^2 &\leq C \|\text{grad } \mathbf{u}\|_{L^2(\Omega_-)^{3 \times 3}}^2 \leq 2C \|\mathbb{E}(\mathbf{u})\|_{L^2(\Omega_-)^{3 \times 3}}^2 \\ &\leq 2Cc_\mu \|\mu \mathbb{E}(\mathbf{u})\|_{L^2(\Omega_-)^{3 \times 3}}^2 = 2Cc_\mu a_{\mu; \Omega_-}(\mathbf{u}, \mathbf{u}), \quad \forall \mathbf{u} \in \mathring{\mathcal{H}}^1(\Omega_-)^3, \end{aligned}$$

and accordingly that

$$a_{\mu; \Omega_-}(\mathbf{u}, \mathbf{u}) \geq \frac{1}{2Cc_\mu} \|\mathbf{u}\|_{\mathcal{H}^1(\Omega_-)^3}^2, \quad \forall \mathbf{u} \in \mathring{\mathcal{H}}^1(\Omega_-)^3. \tag{5.16}$$

In view of inequalities (5.13) and (5.16) it follows that the bilinear form  $a_{\mu; \Omega_-}(\cdot, \cdot) : \mathring{\mathcal{H}}^1(\Omega_-)^3 \times \mathring{\mathcal{H}}^1(\Omega_-)^3 \rightarrow \mathbb{R}$  is bounded and coercive. Moreover, arguments similar to those for inequality (5.13) imply that the bilinear form  $b_{\Omega_-}(\cdot, \cdot) : \mathring{\mathcal{H}}^1(\Omega_-)^3 \times L^2(\Omega_-) \rightarrow \mathbb{R}$  and the linear form  $\mathfrak{F}_{\mu; \mathbf{u}_0} : \mathring{\mathcal{H}}^1(\mathbb{R}^3)^3 \rightarrow \mathbb{R}$  given by (5.10) and (5.11), are also bounded. Since the operator

$$\text{div} : \mathring{\mathcal{H}}^1(\Omega_-)^3 \rightarrow L^2(\Omega_-) \tag{5.17}$$

is surjective (cf., e.g., [26, Theorem 3.2]), then by Lemma 2, the bounded bilinear form  $b_{\Omega_-}(\cdot, \cdot) : \mathring{\mathcal{H}}^1(\Omega_-)^3 \times L^2(\Omega_-) \rightarrow \mathbb{R}$  satisfies the inf-sup condition

$$\inf_{q \in L^2(\Omega_-) \setminus \{0\}} \sup_{\mathbf{v} \in \mathring{\mathcal{H}}^1(\Omega_-)^3 \setminus \{0\}} \frac{b_{\Omega_-}(\mathbf{v}, q)}{\|\mathbf{v}\|_{\mathring{\mathcal{H}}^1(\Omega_-)^3} \|q\|_{L^2(\Omega_-)}} \geq \beta_D \tag{5.18}$$

with some constant  $\beta_D > 0$  (cf. [26, Theorem 3.3]). Then Theorem 4 (with  $X = \mathring{\mathcal{H}}^1(\Omega_-)^3$  and  $M = L^2(\Omega_-)$ ) implies that the variational problem (5.8) has a unique solution  $(\mathring{\mathbf{u}}, \pi) \in \mathring{\mathcal{H}}^1(\Omega_-)^3 \times L^2(\Omega_-)$ . Moreover, the pair  $(\mathbf{u}, \pi) = (\mathring{\mathbf{u}} + \mathbf{u}_0, \pi) \in \mathcal{H}^1(\Omega_-)^3 \times L^2(\Omega_-)$ , where  $\mathbf{u}_0 \in \mathcal{H}^1(\Omega_-)^3$  satisfies relations (5.4), is the unique solution of the mixed variational formulation (5.3) and depends continuously on the given data  $(\mathbf{f}, \boldsymbol{\phi}) \in \mathcal{H}^{-1}(\Omega_-)^3 \times H^{\frac{1}{2}}(\partial\Omega)^3$ . The equivalence between the variational problem (5.3) and the exterior Dirichlet problem (5.1) shows that problem (5.1) is also well-posed, as asserted.  $\square$

## 5.2 Potential Approach

Theorem 5.1 asserts the well-posedness of the exterior Dirichlet problem for the Stokes system with  $L^\infty$  coefficients. However, if the given data  $(\mathbf{f}, \boldsymbol{\phi})$  belong to the space  $\mathcal{H}^{-1}(\Omega_-)^3 \times H_v^{\frac{1}{2}}(\partial\Omega)^3$ , then the solution can be expressed in terms of the

Newtonian and single layer potential and of the inverse of the single layer operator as follows (cf. [26, Theorem 3.4] for  $\mu > 0$  constant, [22, Theorem 10.1] and [37, Theorem 5.1] for the Laplace operator).

**Theorem 5.2** *If  $\mathbf{f} \in \mathcal{H}^{-1}(\Omega_-)^3$  and  $\boldsymbol{\phi} \in H_v^{\frac{1}{2}}(\partial\Omega)^3$  then the exterior Dirichlet problem (5.1) has a unique solution  $(\mathbf{u}, \pi) \in \mathcal{H}^1(\Omega_-)^3 \times L^2(\Omega_-)$ , given by*

$$\mathbf{u} = \mathcal{N}_{\mu; \mathbb{R}^3}(\tilde{\mathbf{f}})|_{\Omega_-} + \mathbf{V}_{\mu; \partial\Omega} \left( \mathcal{V}_{\mu; \partial\Omega}^{-1}(\boldsymbol{\phi} - \gamma_-(\mathcal{N}_{\mu; \mathbb{R}^3}(\tilde{\mathbf{f}}))) \right), \quad (5.19)$$

$$\pi = \mathcal{Q}_{\mu; \mathbb{R}^3}(\tilde{\mathbf{f}})|_{\Omega_-} + \mathcal{Q}_{\mu; \partial\Omega}^s \left( \mathcal{V}_{\mu; \partial\Omega}^{-1}(\boldsymbol{\phi} - \gamma_-(\mathcal{N}_{\mu; \mathbb{R}^3}(\tilde{\mathbf{f}}))) \right) \text{ in } \Omega_-, \quad (5.20)$$

where  $\tilde{\mathbf{f}}$  is an extension of  $\mathbf{f}$  to an element of  $\mathcal{H}^1(\mathbb{R}^3)^3$ .

*Proof* The result follows from Definition 4.3 and Lemmas 4.7, 4.8, and 4.10.  $\square$

## Appendix: Mixed Variational Formulations and Their Well-Posedness Property

Here we make a brief review of well-posedness results due to Babuška [6] and Brezzi [10] for mixed variational formulations related to bounded bilinear forms in reflexive Banach spaces. We follow [20, Section 2.4], [11], and [25, §4].

Let  $X$  and  $\mathcal{M}$  be reflexive Banach spaces, and let  $X^*$  and  $\mathcal{M}^*$  be their dual spaces. Let  $a(\cdot, \cdot) : X \times X \rightarrow \mathbb{R}$ ,  $b(\cdot, \cdot) : X \times \mathcal{M} \rightarrow \mathbb{R}$  be bounded bilinear forms. Then we consider the following abstract mixed variational formulation.

*For  $f \in X^*$ ,  $g \in \mathcal{M}^*$  given, find a pair  $(u, p) \in X \times \mathcal{M}$  such that*

$$\begin{cases} a(u, v) + b(v, p) = f(v), & \forall v \in X, \\ b(u, q) = g(q), & \forall q \in \mathcal{M}. \end{cases} \quad (1)$$

Let  $A : X \rightarrow X^*$  be the bounded linear operator defined by

$$\langle Av, w \rangle = a(v, w), \quad \forall v, w \in X, \quad (2)$$

where  $\langle \cdot, \cdot \rangle :=_{X^*} \langle \cdot, \cdot \rangle_X$  is the duality pairing of the dual spaces  $X^*$  and  $X$ . We also use the notation  $\langle \cdot, \cdot \rangle$  for the duality pairing  $_{\mathcal{M}^*} \langle \cdot, \cdot \rangle_{\mathcal{M}}$ . Let  $B : X \rightarrow \mathcal{M}^*$  and  $B^* : \mathcal{M} \rightarrow X^*$  be the bounded linear and transpose operators given by

$$\langle Bv, q \rangle = b(v, q), \quad \langle v, B^*q \rangle = \langle Bv, q \rangle, \quad \forall v \in X, \quad \forall q \in \mathcal{M}. \quad (3)$$

In addition, we consider the spaces

$$V := \text{Ker } B = \{v \in X : b(v, q) = 0, \forall q \in \mathcal{M}\}, \quad (4)$$

$$V^\perp := \{T \in X^* : \langle T, v \rangle = 0, \forall v \in V\}. \quad (5)$$

Then the following well-posedness result holds (cf., e.g., [20, Theorem 2.34]).

**Theorem 1** *Let  $X$  and  $\mathcal{M}$  be reflexive Banach spaces,  $f \in X^*$  and  $g \in \mathcal{M}^*$ , and  $a(\cdot, \cdot) : X \times X \rightarrow \mathbb{R}$  and  $b(\cdot, \cdot) : X \times \mathcal{M} \rightarrow \mathbb{R}$  be bounded bilinear forms. Let  $V$  be the subspace of  $X$  defined by (4). Then the variational problem (1) is well-posed if and only if  $a(\cdot, \cdot)$  satisfies the conditions*

$$\begin{cases} \exists \lambda > 0 \text{ such that } \inf_{u \in V \setminus \{0\}} \sup_{v \in V \setminus \{0\}} \frac{a(u, v)}{\|u\|_X \|v\|_X} \geq \lambda, \\ \{v \in V : a(u, v) = 0, \forall u \in V\} = \{0\}, \end{cases} \quad (6)$$

and  $b(\cdot, \cdot)$  satisfies the inf-sup (Ladyzhenskaya-Babuška-Brezzi) condition,

$$\exists \beta > 0 \text{ such that } \inf_{q \in \mathcal{M} \setminus \{0\}} \sup_{v \in X \setminus \{0\}} \frac{b(v, q)}{\|v\|_X \|q\|_{\mathcal{M}}} \geq \beta. \quad (7)$$

Moreover, there exists a constant  $C$  depending on  $\beta$ ,  $\lambda$  and the norm of  $a(\cdot, \cdot)$ , such that the unique solution  $(u, p) \in X \times \mathcal{M}$  of (1) satisfies the inequality

$$\|u\|_X + \|p\|_{\mathcal{M}} \leq C (\|f\|_{X^*} + \|g\|_{\mathcal{M}^*}). \quad (8)$$

In addition, we have (see [20, Theorem A.56, Remark 2.7], [4, Theorem 2.7]).

**Lemma 2** *Let  $X$ ,  $\mathcal{M}$  be reflexive Banach spaces. Let  $b(\cdot, \cdot) : X \times \mathcal{M} \rightarrow \mathbb{R}$  be a bounded bilinear form. Let  $B : X \rightarrow \mathcal{M}^*$  and  $B^* : \mathcal{M} \rightarrow X^*$  be the operators defined by (3), and let  $V = \text{Ker } B$ . Then the following results are equivalent:*

- (i) *There exists a constant  $\beta > 0$  such that  $b(\cdot, \cdot)$  satisfies condition (7).*
- (ii)  *$B : X/V \rightarrow \mathcal{M}^*$  is an isomorphism and  $\|Bw\|_{\mathcal{M}^*} \geq \beta \|w\|_{X/V}$  for any  $w \in X/V$ .*
- (iii)  *$B^* : \mathcal{M} \rightarrow V^\perp$  is an isomorphism and  $\|B^*q\|_{X^*} \geq \beta \|q\|_{\mathcal{M}}$  for any  $q \in \mathcal{M}$ .*

**Remark 3** Let  $X$  be a reflexive Banach space and  $V$  be a closed subspace of  $X$ . If a bounded bilinear form  $a(\cdot, \cdot) : V \times V \rightarrow \mathbb{R}$  is *coercive* on  $V$ , i.e., there exists a constant  $c_a > 0$  such that

$$a(w, w) \geq c_a \|w\|_X^2, \quad \forall w \in V, \quad (9)$$

then the conditions (6) are satisfied as well (see, e.g., [20, Lemma 2.8]).

The next result known as the *Babuška-Brezzi theorem* is the version of Theorem 1 for Hilbert spaces (see [6], [10, Theorems 0.1, 1.1, Corollary 1.2]).

**Theorem 4** *Let  $X$  and  $\mathcal{M}$  be two real Hilbert spaces. Let  $a(\cdot, \cdot) : X \times X \rightarrow \mathbb{R}$  and  $b(\cdot, \cdot) : X \times \mathcal{M} \rightarrow \mathbb{R}$  be bounded bilinear forms. Let  $f \in X^*$  and  $g \in \mathcal{M}^*$ . Let  $V$  be the subspace of  $X$  defined by (4). Assume that  $a(\cdot, \cdot) : V \times V \rightarrow \mathbb{R}$  is coercive and that  $b(\cdot, \cdot) : X \times \mathcal{M} \rightarrow \mathbb{R}$  satisfies the inf-sup condition (7). Then the variational problem (1) is well-posed.*

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