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Finite-dimensional perturbations of linear operators and some applications to boundary integral equations

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Abstract

Finite-dimensional perturbing operators are constructed using some incomplete information about eigen-solutions of an original and/or adjoint generalized Fredholm operator equation (with zero index). Adding such a perturbing operator to the original one reduces the eigen-space dimension and can, particularly, lead to an unconditionally and uniquely solvable perturbed equation. For the second kind Fredholm operators, the perturbing operators are analyzed such that the spectrum points for an original and the perturbed operators coincide except a spectrum point considered, which can be removed for the perturbed operator. A relation between resolvents of original and perturbed operators is obtained. Effective procedures are described for calculation of the undetermined constants in the right-hand side of an operator equation for the case when these constants must be chosen to satisfy the solvability conditions not written explicitly. Implementation of the methods is illustrated on a boundary integral equation of elasticity. © 2000 Elsevier Science Ltd. All rights reserved.

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1. Introduction

Boundary integral equations (BIEs) for boundary value problems of mathematical physics are often not unconditionally and uniquely solvable. Consequently, the linear algebraic equation system, which is a discrete analogue of the corresponding boundary integral equation, is illconditioned. To avoid this difficulty, it is possible to add a finite-dimensional operator to an original boundary integral equation and to obtain an unconditionally and uniquely solvable perturbed BIE. This equation provides a solution of the original BIE if its right-hand side satisfies the original solvability condition. As heuristic, this approach was used by Sherman (see Ref. [1]) for some integral equations of two-dimensional elasticity.

Let us consider a direct BIE of three-dimensional isotropic homogeneous elasticity for illustration. We suppose summation in repeating indices from 1 to 3 unless another range is explicitly given. It is well known (see, e.g. Ref. [2]) that the boundary value problem of elasticity in a domain Dwith prescribed tractions t_j at the boundary S and volume forces f_j in the domain D can be reduced by the direct approach to the following BIE (for $\lambda = -1$)

$$u_i(\xi) - \lambda[\underline{W}_{ij}u_j](\xi) = \Phi_i(\xi), \tag{1}$$

$$[\underline{W}_{ij}u_j](\xi) := 2 \int_S T_{ij}(\xi, \eta)u_j(\eta) \, \mathrm{d}S(\eta)\Phi_i(\xi)$$
$$:= 2 \int_S U_{ij}(\xi, \eta)t_j(\eta) \, \mathrm{d}S(\eta)$$
$$+ 2 \int_D U_{ij}(\xi, \eta)f_j(\eta) \, \mathrm{d}D(\eta).$$

The kernel $U_{ij}(\xi, \eta)$ is the Kelvin fundamental solution, $T_{ij}(\xi, \eta)$ is its traction vector, and $-(1/2)\underline{W}u$ is the elastic double layer potential. It is known (see, e.g. Refs. [3–5]) that, for a bounded domain *D* there are no singular points of the resolvent of \underline{W} in the closed circle $|\lambda| \le 1$ except the point $\lambda = -1$ being a simple pole of the resolvent, dim ker $(I + \underline{W}) = 6$, the eigen-solutions of homogeneous BIE (1) at $\lambda = -1$ are given by the six rigid body motions

$$\mathring{u}_{i}^{(m)}(\xi) = \delta_{im,} \ \mathring{u}_{i}^{(3+m)}(\xi) = \varepsilon_{ijm}\xi_{j}, \qquad i, j, m = 1, \dots, 3, \quad (2)$$

where ε_{ijm} is the Levi-Civita permutation tensor. Inhomogeneous BIE (1) is solvable only if its right hand side

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satisfies solvability conditions

$$\int_{S} \Phi_{i}(\xi) \mathring{x}_{i}^{*(m)}(\xi) \, \mathrm{d}S = 0, \qquad m = 1, \dots, 6, \tag{3}$$

where the functions $\mathring{x}_{i}^{*(m)}(\xi)$ are generally not known.

For mechanically meaningful problems with zero total force and moments applied to the domain D and its boundary, conditions (3) are always fulfilled. However, these conditions may be violated in numerical solving because of discretization and round-off errors. To avoid this difficulty, it is usual in the numerical practice to fix displacements at several points, that is, to replace the given problem with prescribed tractions by a mixed problem. This means that the non-zero total force and moment, arising due to discretization errors, are transferred to these points and may cause an increased error there. Moreover, such replacement changes the BIE (1) spectral properties and can prevent application of iterative methods for its solution. Another possibility to eliminate the instability from discretization and round-off errors and improve the BIE spectral properties, is to perturb Eq. (1) by a finite-dimensional operator and to solve the perturbed equation. The second possibility will be described at the end of the paper.

For operator equations of the first kind in Banach spaces, the general principle of the choice of finite-dimensional perturbing operators can be based on the generalized Schmidt lemma, which was proved for a particular case in Ref. [6] (see also Ref. [7]). If a second-kind equation is considered, there is a sense to choose a perturbing operator so that spectrum points after the perturbation are not changed excepting one spectrum point at which the equation is to be solved. The perturbed operator spectrum is determined by the original operator spectrum and the Weinstein-Aronszajn determinant (see Ref. [8]). This determinant cannot be always calculated. In Ref. [9, section 3], such perturbed operators were studied for operators in Banach spaces using the knowledge of all eigen-solutions of the original or of the adjoint equation.

A development of the study of finite-dimensional perturbed operators is presented here. Using these results, one can remove a spectrum point of an operator equation and, if it is necessary, construct a choice procedure for unknown constants in the right-hand side of the equation. By this procedure, it is possible to make the original equation solvable.

Let B_1 and B_2 be Banach spaces, B_1^* and B_2^* be adjoined (dual) spaces of bounded linear functionals defined on B_1 and B_2 , respectively. Let <u>A</u> be a linear bounded operator acting from B_1 to B_2 , <u>A</u> : $B_1 \rightarrow B_2$.

Consider an operator equation

$$\underline{A}x = y, \tag{4}$$

where $x \in B_1$, $y \in B_2$. An adjoined equation to Eq. (4) is an equation

$$\underline{A}^* x^* = y^*, \tag{5}$$

where $\underline{A}^* : B_2^* \to B_1^*, x^* \in B_2^*, y^* \in B_1^*$. Eq. (4) of the form

$$(\underline{I} - \lambda \underline{A}_0)x = y \tag{6}$$

(second-kind operator equations) are also studied in this paper. Here $\underline{A}_0 : B \rightarrow B$; \underline{I} is the identity operator; $x, y \in B$; λ is a complex parameter. The equation

$$(\underline{I} - \lambda \underline{A}_0^*) x^* = y^* \tag{7}$$

is an adjoint equation to Eq. (6), where $\underline{A}_0^*: B^* \rightarrow B^*; x^*, y^* \in B^*$.

If elements $x_i \in E$ (i = 1, ..., n) are a basis of an *n* dimensional manifold *E*, we write $E = \text{span}\{x_i\}_{i=1}^n$.

Hypothesis 1. Suppose hereafter, that A is defined in the whole space B_1 and its range $\Re(A)$ belongs to B_2 and is closed. Suppose also that $A : B_1 \to B_2$ is a Fredholm (with zero index) operator, that is, dim ker $\underline{A} = \dim \ker \underline{A}^* = n < \infty$, where ker $\underline{A} = \operatorname{span}\{\mathring{x}_i\}_{i=1}^n \subset B_1$, ker $\underline{A}^* = \operatorname{span}\{\mathring{x}_i^*\}_{i=1}^n \subset B_2^*$ are eigen-spaces (for the eigen-value zero).

It is well known (see, e.g. Refs. [7,8]) that under Hypothesis 1, Eq. (4) is solvable for an element $y \in B_2$ iff

$$\mathring{x}_{i}^{*}(y) = 0 \qquad (i = 1, ..., n)$$
(8)

for the functionals \mathring{x}_i^* : ker $\underline{A}^* = \operatorname{span}{\{\mathring{x}_i^*\}}_{i=1}^n$.

2. Finite-dimensional perturbations for Fredholm operator equations of the first kind

Consider Eq. (4) and the equation perturbed by a finitedimensional operator

$$(\underline{A} - \underline{A}_1)x = y, \ \underline{A}_1x := \sum_{i=1}^k \psi_i \varphi_i(x), \tag{9}$$

where ψ_i belong to B_2 and functionals φ_i belong to B_1^* . The equation

$$(\underline{A}^* - \underline{A}_1^*)x^* = y^*, \ \underline{A}_1^*x^* \coloneqq \sum_{i=1}^k x^*(\psi_i)\varphi_i$$
(10)

is an adjoint equation to Eq. (9).

The following generalized Schmidt lemma holds.

Lemma 2. Let Hypothesis 1 be satisfied, k = n, and φ_i , ψ_i (i = 1, ..., n) be elements of B_1^* and B_2 , respectively, such that

 $\det[\varphi_i(\mathring{x}_j)] \neq 0, \ \det[\mathring{x}_i^*(\psi_j)] \neq 0 \qquad (i, j = 1, ..., n).$ (11)

Then:

- 1. the operator $\underline{A} \underline{A}_1$ is a Fredholm operator with zero index and Eq. (9) is uniquely and unconditionally solvable in B_1 for any $y \in B_2$;
- 2. if $y \in B_2$ satisfies solvability conditions (8) of Eq. (4), then a solution x of Eq. (9) is a solution of Eq. (4) such

that

$$\varphi_i(x) = 0$$
 $(i = 1, ..., k).$ (12)

Inversely, if x is a solution of Eq. (9) such that conditions (12) are satisfied, then conditions (8) are satisfied for the right-hand side y of Eq. (9) and x is a solution of Eq. (4) with the same right-hand side y.

The proof of this lemma coincides, in fact, with the proof, which is given in Ref. [6, section 21], (see also Ref. [7 section 21.4]) for the particular case: $\varphi_i(\hat{x}_j) = \hat{x}_i^*(\psi_j) = \delta_{ij}$ (here δ_{ij} is the Kronecker symbol). A statement close to Lemma 2 includes also Lemma 4.8.23 in Ref. [10]. The lemma enables us to remove the spectrum point of Eq. (4) when some information about solutions of homogeneous Eqs. (4) and (5) is available, sufficient only for checking conditions (11).

Corollary 3. Under conditions of Lemma 2, equations

$$(\underline{A} - \underline{A}_1)\hat{x}_i = \psi_i, \quad (\underline{A}^* - \underline{A}_1^*)\hat{x}_i^* = \varphi_i, \qquad i = 1, \dots, n \quad (13)$$

are unconditionally and uniquely solvable and their solutions are such that $\operatorname{span}\{\hat{x}_i\}_{i=1}^n = \ker \underline{A}, \ \varphi_i(\hat{x}_j) = -\delta_{ij};$ $\operatorname{span}\{\hat{x}_i^*\}_{i=1}^n = \ker \underline{A}^*, \ \hat{x}_i^*(\psi_j) = -\delta_{ij}.$

Really, let \hat{x}_i be a solution of first Eq. (13). By Lemma 2 this equation is unconditionally and uniquely solvable. Let us act on the equation by the functionals \hat{x}_p^* such that ker $\underline{A}^* = \text{span}\{\hat{x}_p^*\}_{p=1}^n$ and obtain a linear algebraic system with respect to $\varphi_i(\hat{x}_i)$ for each fixed *i*:

$$-\sum_{j=1}^{n} \mathring{x}_{p}^{*}(\psi_{j})\varphi_{j}(\hat{x}_{i}) = \mathring{x}_{p}^{*}(\psi_{i}) \qquad (p = 1, \dots n)$$

By second condition (11), this system is uniquely solvable and we can obtain by direct substituting that its solution is $\varphi_j(\hat{x}_i) = -\delta_{ij}$. After substituting this relation back into Eq. (13), we obtain that $\underline{A}\hat{x}_i = 0$, that is, $\hat{x}_i \in \ker \underline{A}$. Finally, the linear independence of \hat{x}_i (i = 1, ..., n) follows from the linear independence of the right-hand sides ψ_i in Eq. (13). For the second equation (13), the proof is analogous. \Box

This corollary allows us to find eigen-solutions of original operators by solving a uniquely solvable perturbed equation.

Lemma 4. Let Hypothesis 1 be satisfied and φ_i , ψ_i ($i = 1, ..., k \le n$) be elements of B_1^* and B_2 respectively such that

$$\det[b_{im}] \neq 0, \ \det[b_{im}^*] \neq 0 \qquad (m, i = 1, ..., k), \tag{14}$$

$$b_{im} \coloneqq \varphi_i(\mathring{x}_m), \quad b_{im}^* \coloneqq \mathring{x}_m^*(\psi_i). \tag{15}$$

Then:

1. The operator $\underline{A} - \underline{A}_1$ is a Fredholm operator with zero index,

dim ker
$$(\underline{A} - \underline{A}_1)$$
 = dim ker $(\underline{A}^* - \underline{A}_1^*) = n - k$;

$$\ker(\underline{A} - \underline{A}_1) = \operatorname{span}\{\tilde{x}_i\}_{i=k+1}^n \subset \ker \underline{A},$$

$$\ker(\underline{A}^* - \underline{A}_1^*) = \operatorname{span}\{\tilde{x}_i^*\}_{i=k+1}^n \subset \ker \underline{A}^*,$$

where

$$\tilde{x}_{i} \coloneqq \dot{x}_{i} - \sum_{j=1}^{k} \dot{x}_{j} \sum_{p=1}^{k} b_{jp}^{-1} \varphi_{p}(\dot{x}_{i}),$$

$$\tilde{x}_{i}^{*} \coloneqq \dot{x}_{i}^{*} - \sum_{j=1}^{k} \dot{x}_{j}^{*} \sum_{p=1}^{k} b_{jp}^{*-1} \dot{x}_{i}^{*}(\psi_{p}),$$

$$i = k + 1, ..., n.$$
(16)

2. If an element $y \in B_2$ satisfies solvability conditions (8) of Eq. (4), then Eq. (9) is also solvable for this y and its solution x is a solution of Eq. (4) satisfying Eq. (12). Inversely, Eq. (9) is solvable for an element $y \in B_2$ and its solution x satisfies Eq. (12), then conditions (8) are also satisfied for y, and x is a solution of Eq. (4) with the same right-hand side y.

Proof. The operator $\underline{A} - \underline{A}_1$ is a Fredholm operator since \underline{A}_1 is a finite-dimensional operator and \underline{A} is a Fredholm operator. Let \tilde{x} be a solution of the equation $(\underline{A} - \underline{A}_1)\tilde{x} = 0$. Acting on this equation by the functionals \hat{x}_j^* (j = 1, ..., k), we obtain a linear algebraic system with respect to $\varphi_i(\tilde{x}_i)$,

$$-\sum_{i=1}^{k} \mathring{x}_{j}^{*}(\psi_{i})\varphi_{i}(\tilde{x}) = 0, \qquad j = 1, \dots, k.$$
(17)

By Eq. (14) it has only a trivial solution

$$\varphi_i(\tilde{x}) = 0 \tag{18}$$

and, consequently, $\underline{A}_1 \tilde{x} = 0$ and \tilde{x} is a solution of the original homogeneous Eq. (4), that is

$$\tilde{x} = \sum_{j=1}^{n} C_j \mathring{x}_j. \tag{19}$$

Substituting Eq. (19) into Eq. (18) and taking into account the definition of b_{mi} , we obtain,

$$\sum_{j=1}^{k} b_{ij}C_j + \sum_{j=k+1}^{n} C_j \varphi_i(\mathring{x}_j) = 0, \qquad (i = 1, \dots, k).$$

By Eq. (14) the matrix b_{ij} (i, j = 1, ..., k) is a regular matrix. Moving the second sum into the right-hand side, we solve the system with respect to C_j (j = 1, ..., k):

$$C_j = -\sum_{p=1}^k b_{jp}^{-1} \sum_{i=k+1}^n C_i \varphi_p(\dot{x}_i), \qquad j = 1, \dots, k.$$

Substituting these expressions into Eq. (19), we have

$$\tilde{x} = \sum_{i=k+1}^{n} C_i \tilde{x}_i,$$

where \tilde{x}_i are given in Eq. (16).

We shall show that $(\underline{A} - \underline{A}_1)\tilde{x}_i = 0$. Actually, $\underline{A}\tilde{x}_i = 0$, as \tilde{x}_i consists of $\hat{x}_i \in \ker \underline{A}$, and

$$\underline{A}_{1}\tilde{x}_{i} = \sum_{q=1}^{k} \psi_{q}\varphi_{q}[\dot{x}_{i} - \sum_{j=1}^{k} \dot{x}_{j}\sum_{p=1}^{k} b_{jp}^{-1}\varphi_{p}(\dot{x}_{i})]$$
$$= \sum_{q=1}^{k} \psi_{q}\varphi_{q}(\dot{x}_{i}) - \sum_{q=1}^{k} \psi_{q}\sum_{j=1}^{k} b_{qj}\sum_{p=1}^{k} b_{jp}^{-1}\varphi_{p}(\dot{x}_{i}) = 0$$

Moreover, the elements \tilde{x}_i (i = k + 1, ..., n) are linearly independent since each of them is the sum of \dot{x}_i and the combination from \dot{x}_j (j = 1, ..., k). Hence, there are exactly n - k independent solutions of the equation $(\underline{A} - \underline{A}_1)x = 0$.

By the same reasoning for the equation $(\underline{A}^* - \underline{A}_1^*)x^* = 0$, we obtain the second formula (16). The first part of the lemma is proved.

Now let y satisfy Eq. (8), then it follows from Eq. (16) that

$$\tilde{x}_i^*(y) = 0$$
 $(i = k + 1, ..., n)$ (20)

and, hence, Eq. (9) is solvable with this right-hand side. As above, let us act on Eq. (9) by the functionals $\mathring{x}_{j}^{*}, j = 1, ..., k$. Taking into account Eq. (8), we again obtain system (17) with respect to $\varphi_{i}(x)$. The system has only trivial solution (12) and, hence, $\underline{A}_{1}x = 0$, that is, any solution of Eq. (9) is also a solution of Eq. (4).

Conversely, if the solvability conditions of Eq. (9) are satisfied and its solution satisfies Eq. (12), then $\underline{A}_1 x = 0$, and, hence, x satisfies Eq. (4) with the same right-hand side y. Consequently, this right-hand side $y \in \mathcal{R}(\underline{A})$ and, hence, it satisfies Eq. (8). The second part of Lemma 4 is proved. \Box

Lemma 4 enables us to reduce the eigen-space dimension of Eq. (4). As in Lemma 2, we are based on the rather poor information about eigen-solutions of homogeneous Eqs. (4) and (5). This information is to be sufficient only to check conditions (14).

We have obvious corollaries from the proved Lemma.

Corollary 5. Let the conditions of Lemma 4 be satisfied, then $\varphi_p(\tilde{x}_i) = 0$, $\tilde{x}_i^*(\psi_p) = 0$ (p = 1, ..., k, i = k + 1, ..., n). If $\varphi_p(\hat{x}_i) = 0$ (p = 1, ..., k, i = k + 1, ..., n), then $\tilde{x}_i = \hat{x}_i$ (i = k + 1, ..., n). Similarly, if $\hat{x}_i^*(\psi_p) = 0$ (p = 1, ..., k, i = k + 1, ..., n), then $\tilde{x}_i^* = \hat{x}_i^*$ (i = k + 1, ..., n).

Corollary 6. Let the conditions of Lemma 4 be satisfied. If

$$b_{im} = -\delta_{im} \ (i, m = 1, ..., k), \ then$$

$$\tilde{x}_i = \mathring{x}_i + \sum_{j=1}^k \mathring{x}_j \varphi_j(\mathring{x}_i) \qquad (i = k+1, ..., n)$$
(21)

Similarly, if $b_{im}^* = -\delta_{im}(i, m = 1, ..., k)$, then

$$\tilde{x}_{i}^{*} = \dot{x}_{i}^{*} \sum_{j=1}^{k} \dot{x}_{j}^{*} \dot{x}_{i}^{*}(\psi_{j}) \qquad i = k + 1..., n.$$
(22)

An analogue of Corollary 3 is the following corollary.

Corollary 7. Let the conditions of Lemma 4 be satisfied. Then solutions \hat{x}_i of the equations

$$(\underline{A} - \underline{A}_1)\hat{x}_i = \psi_i, \qquad i = 1, \dots, k$$
(23)

are such that $\varphi_i(\hat{x}_j) = -\delta_{ij}$ and ker $\underline{A} = \text{span}\{\{\hat{x}_i\}_{i=1}^k, \{\tilde{x}_i\}_{i=k+1}^n\}$, where $\{\tilde{x}_i\}_{i=k+1}^n$ are solutions of the homogeneous Eq. (9).

Similarly, solutions \hat{x}_i^* of the equations

$$(\underline{A}^* - \underline{A}_1^*)\hat{x}_i^* = \varphi_i, \qquad i = 1, \dots, k$$

are such that $\hat{x}_i^*(\psi_j) = -\delta_{ij}$ and ker $\underline{A}^* = \text{span}\{\{\hat{x}_i^*\}_{i=k+1}^k\}$, where $\{\tilde{x}_i^*\}_{i=k+1}^n$ are solutions of homogeneous Eq. (10).

Proof. Actually, let us consider, for example, Eqs. (23). It follows from Eq. (16) that

$$\tilde{x}_{q}^{*}(\psi_{i}) = \mathring{x}_{q}^{*}(\psi_{i}) - \sum_{j=1}^{k} \mathring{x}_{j}^{*}(\psi_{i}) \sum_{p=1}^{k} b_{jp}^{*-1} \mathring{x}_{q}^{*}(\psi_{p})$$
$$= \mathring{x}_{q}^{*}(\psi_{i}) - \sum_{p=1}^{k} \delta_{ip} \mathring{x}_{q}^{*}(\psi_{p}) = 0$$

(i = 1, ..., k, q = k + 1, ..., n)

and hence Eqs. (23) are solvable. It is taken into account here that $\hat{x}_i^*(\psi_i) = b_{ii}^*$.

Let \hat{x}_i be a solution of Eq. (23). Let us act on Eq. (23) by the functionals \hat{x}_p^* (p = 1, ..., k) and obtain a linear algebraic equation system with respect to $\varphi_i(\hat{x}_i)$ for every fixed *i*,

$$-\sum_{j=1}^{k} \mathring{x}_{p}^{*}(\psi_{j})\varphi_{j}(\hat{x}_{i}) = \mathring{x}_{p}^{*}(\psi_{i}) \qquad (p = 1, \dots k).$$

Because of the second condition (14), this system is uniquely solvable and the direct substitution shows that $\varphi_j(\hat{x}_i) = -\delta_{ij}(i, j = 1, ...k)$. Substituting this relation into Eq. (23), we obtain that $\underline{A}\hat{x}_i = 0$, that is, $\hat{x}_i \in \ker \underline{A}$. It follows from the second condition (14) that ψ_i are linearly independent. Then, by Eq. (23), there is no linear combination of \hat{x}_i belonging to $\ker(\underline{A} - \underline{A}_1)$. Hence, all elements of the set $\{\hat{x}_i\}_{i=1}^k \cup \{\tilde{x}_i\}_{i=k+1}^n$ are linear independent and each of this elements belongs to $\ker \underline{A}$. Corollary 7 is proved for \hat{x}_i . The proof for \hat{x}_i^* is similar. \Box

3. Finite-dimensional perturbations for operator equations of the second kind

Let an operator $\underline{A} : B \rightarrow B$ be written in the form of a second-kind operator $\underline{A} = I - \lambda \underline{A}_0$. Eq. (4) is transformed for this case into Eq. (6). We write its perturbed counterpart in the form

$$[I - \lambda(\underline{A}_0 + \underline{A}_{01})]x = y, \qquad \underline{A}_{01}x \coloneqq \sum_{i=1}^k \psi_i \varphi_i(x), \qquad (24)$$

where ψ_i , φ_i , are elements of *B* and B^* accordingly. Denote by $R(\lambda)$, $R_+(\lambda)$ resolvents of the operators \underline{A}_0 and $(\underline{A}_0 + \underline{A}_{01})$, respectively, that is

$$\underline{R}(\lambda)(I - \lambda \underline{A}_0) = I, \qquad (I - \lambda \underline{A}_0)\underline{R}(\lambda) = I, \tag{25}$$

$$\frac{R_{+}(\lambda)[I - \lambda(\underline{A}_{0} + \underline{A}_{01})] = I,}{[I - \lambda(\underline{A}_{0} + \underline{A}_{01})]\underline{R}_{+}(\lambda) = I}$$
(26)

at the λ -plane points, where these resolvents exist. To express <u>R</u>₊ through <u>R</u>, let us act by the operator <u>R</u>(λ) on first equation (26) from the right and on the second equation from the left, and we get

$$\underline{R}_{+} - \underline{R} = \lambda \sum_{j=1}^{k} (\underline{R}_{+} \psi_{j}) (\underline{R}^{*} \varphi_{j}),$$

$$\underline{R}_{+} - \underline{R} = \lambda \sum_{j=1}^{k} (\underline{R} \psi_{j}) (\underline{R}^{*}_{+} \varphi_{j}).$$
(27)

Acting now by the functionals φ_i on second equation (27) we obtain a linear algebraic equation system to find $\underline{R}^*_+ \varphi_i$:

$$\sum_{j=1}^{k} \left[\delta_{ij} - \lambda \varphi_i(\underline{R}\psi_j) \right] \underline{R}_+^* \varphi_j = \underline{R}^* \varphi_i.$$
⁽²⁸⁾

Let

i=1

$$W(\lambda) = \det[\delta_{ij} - \lambda \varphi_i(\underline{R}\psi_j)]$$
⁽²⁹⁾

be the number matrix determinant of this system (Weinstein–Aronszajn determinant) and d_{ij} be its algebraic complements. Solving Eq. (28) and substituting the expression for $\underline{R}^*_+\varphi_i$ in Eq. (27), we obtain

$$\underline{R}_{+}(\lambda) = \underline{R} \left[I + \frac{\lambda}{W(\lambda)} \sum_{i=1}^{k} \sum_{j=1}^{k} d_{ij} \psi_{j} \varphi_{i} \underline{R} \right].$$
(30)

Hence the singular points set of the resolvent operator to $\underline{A}_0 + \underline{A}_{01}$ belongs to the union of the singular points of the resolvent operator to \underline{A}_0 and of the determinant $W(\lambda)$ zeros.

Using Lemma 2 or directly analysing representation (30) taking into account the resolvent operator expansion in the neighbourhood of the pole [11], we get the following lemma.

Lemma 8. The singular point set of the resolvent operator

<u> R_+ </u> belongs to the union of the resolvent operator <u>R</u> singular points and of determinant (29) zeros. Suppose $\lambda = \lambda_0$ is a finite order pole of the resolvent <u> $R(\lambda)$ </u>, $k = n = \dim \ker(I - \lambda_0\underline{A}_0)$, span $\{\hat{x}_i^*\}_{i=1}^n = \ker(I - \lambda_0\underline{A}_0)$, span $\{\hat{x}_i^*\}_{i=1}^n = \ker(I - \lambda_0\underline{A}_0)$, and conditions (11) are satisfied. Then λ_0 is a regular point of the resolvent <u> $R_+(\lambda)$ </u>.

The following statement has been also proved (see Ref. [8, Theorem IV.6.2]).

Lemma 9. The function $W(\lambda)$ from Eq. (29) is meromorphic in any domain of the λ -plane consisting of regular points of the resolvent <u>R</u> and of isolated eigen-values of the operator <u>A</u>₀. For every λ_0 in such domain, the eigen-value algebraic multiplicity (the dimension of the subspace of eigen- and associated elements) of the operator <u>A</u>₀ + <u>A</u>₀₁ is equal to the sum of the operator <u>A</u>₀ eigen-value algebraic multiplicity and of multiplicity of the determinant W zero at the point λ_0 . The multiplicity of $W(\lambda)$ zero at a pole point of $W(\lambda)$ is taken as equal to its pole multiplicity with the minus sign.

Thus, if one can calculate or estimate zeros and poles of the determinant W, then one can analyze the singular points of the resolvent operator $\underline{R}_+(\lambda)$. Consider some cases when the determinant W can be calculated explicitly.

Let us try to choose the elements φ_i , ψ_i so that the operator \underline{R}_+ is regular at the point $\lambda = \lambda_0$, where the operator \underline{R} has a pole and on the contrary, \underline{R}_+ does not acquire additional (in comparison with \underline{R}) singular points in a finite part of the λ -plane.

Theorem 10. Let an operator $\underline{A} : B \to B$, λ_0 be a simple pole of the resolvent $R(\lambda)$ for Eq. (6); dim ker $(I - \lambda_0 \underline{A}_0) = n$; span $\{\mathring{x}_i\}_{i=1}^n = \text{ker}(I - \lambda_0 \underline{A}_0)$, span $\{\mathring{x}_i^*\}_{i=1}^n = \text{ker}(I - \lambda_0 \underline{A}_0)$ and

$$\varphi_i = \mathring{x}_i^*, \ \mathring{x}_i^*(\psi_j) = -\delta_{ij}/\lambda_0 \qquad (i, j = 1, ..., k)$$
 (31)

or

$$\psi_i = \mathring{x}_i, \ (\varphi_i)(\mathring{x}_j) = -\delta_{ij}/\lambda_0 \qquad (i, j = 1, ..., k)$$
 (32)

and let k = n. Then

- 1. Singular points of the resolvent $\underline{R}_{+}(\lambda)$ for Eq. (24) coincide with singular points of the resolvent $\underline{R}(\lambda)$ for Eq. (6) and have the same algebraic multiplicities if these points are poles, excluding the point $\lambda = \lambda_0$, where the resolvent $\underline{R}_{+}(\lambda)$ is regular.
- 2. If conditions (8) are satisfied, then a solution x of Eq. (24) at $\lambda = \lambda_0$ is a solution of Eq. (6) and satisfies Eq. (12). Inversely, if x is a solution of Eq. (24) at $\lambda = \lambda_0$ such that conditions (12) are satisfied, then conditions (8) are true for the right-hand side y of Eq. (24) and x is a solution of Eq. (6) with the same right-hand side y.

3. Under condition (31),

 $\underline{R}_{+}(\lambda) = [I + \lambda \underline{A}_{01}]\underline{R}(\lambda).$

$$\underline{R}(\lambda) = \underline{R}_{+}(\lambda)[I - \lambda\lambda_{0}(\lambda_{0} - \lambda)^{-1}\underline{A}_{01}],$$

$$\underline{R}_{+}(\lambda) = \underline{R}(\lambda)[I + \lambda\underline{A}_{01}].$$
Under condition (32),
$$\underline{R}(\lambda) = [I - \lambda\lambda_{0}(\lambda_{0} - \lambda)^{-1}\underline{A}_{01}]\underline{R}_{+}(\lambda),$$
(34)

Proof. Suppose, for example, $\varphi_i = \hat{x}_i^*$. Then $W(\lambda) = \det[\delta_{ij} - \lambda \hat{x}_i^* (\underline{R} \psi_j)]$. Let $x_i^* := \hat{x}_i^* \underline{R}(\lambda) = \underline{R}^*(\lambda) \hat{x}_i^*$. By the definition of the resolvent, $(I - \lambda \underline{A}_0^*) x_i^* = \hat{x}_i^*$ and if λ is a resolvent regular point, then the solution of this equation is unique. Let us seek it in the form $x_i^* = C \hat{x}_i^*$ Taking into account that $\underline{A}_0^* \hat{x}_i^* = \hat{x}_i^* / \lambda_0$, since \hat{x}_i^* is an eigen-solution of Eq. (7) at $\lambda = \lambda_0$, we obtain that $C = \lambda_0 / (\lambda_0 - \lambda)$. Hence,

$$\dot{x}_i^* \underline{R} = \lambda_0 (\lambda_0 - \lambda)^{-1} \dot{x}_i^* \tag{35}$$

and

 $W(\lambda) = \det[\delta_{ij} - \lambda \lambda_0 (\lambda_0 - \lambda)^{-1} \mathring{x}_i^*(\psi_i)].$

If $\mathring{x}_i^*(\psi_j) = -\delta_{ij}/\lambda_0$ according to Eq. (31), then $W(\lambda) = \lambda_0^n(\lambda_0 - \lambda)^{-n}$ and hence $W(\lambda)$ has no zeros in a finite part of the λ -plane. Since λ_0 is a simple pole of $\underline{R}(\lambda)$, then Eq. (6) and, hence, Eq. (24) are Fredholm equations at $\lambda = \lambda_0$ (see, for example, Ref. [8]). Let us prove that det $[\varphi_i(\mathring{x}_j)] =$ det $[\mathring{x}_i^*(\mathring{x}_j)] \neq 0$. Actually, otherwise there exist constants C_i (i = 1, ..., n) such that $\mathring{x}_j^*(\mathring{x}) = 0$ for $\mathring{x} := \sum_{i=1}^n C_i \mathring{x}_i$ for j = 1, ..., n, that is, $\mathring{x} \in \mathscr{R}(I - \lambda_0 \underline{A}_0)$, and then there exists an associated element $\widetilde{x} : (1 - \lambda_0 \underline{A}_0)\widetilde{x} = \mathring{x}$. This contradicts the fact that λ_0 is a resolvent simple pole [8].

Using Lemma 2, we obtain parts 1 and 2 under conditions (31).

Taking into account that $\underline{R}^* \varphi_j = \lambda_0 (\lambda_0 - \lambda)^{-1} \varphi_j$ owing to Eq. (35), we get first relation (33) from first relation (27). Let us find $\hat{x}_i^* = \underline{R}_+^* \hat{x}_i^*$. According to the resolvent definition, $(I - \lambda \underline{A}_0^* - \lambda \underline{A}_{01}) \hat{x}_i^* = \hat{x}_i^*$. Using Eq. (31) one can directly verify that $\hat{x}_i^* = \hat{x}_i^*$ is the unique solution of this equation at any regular point λ of the resolvent \underline{R}_+ . That is $\underline{R}_+^*(\lambda)\varphi_j = \varphi_j$. Substituting this relation in second equation (27), we obtain second relation (33). This completes the proof of part (3) of the theorem under condition (31).

The theorem statements for the case (32) are proved similarly. \Box

This theorem enables us to remove a spectrum point possessing the information only about the eigen-solutions of the original equation or its conjugate equation. (Note that the classical Schmidt lemma requires us to know both of these eigen-sets for such spectral properties improvement.) Moreover, if a singular resolvent point λ_0 is removed by using this theorem and it is necessary to solve the equation at a regular point λ , then, according to the third part of the

theorem, one can express a solution of the original equation for this value λ in terms of the perturbed equation solution.

Note that statements similar to parts (1) and (2) of Theorem 10 for Hilbert spaces were presented in Ref. [12] and for Banach spaces in Ref. [9, section 3].

Consider now an analogue of Lemma 4 for a second-kind equation, that is, a generalization of Theorem 10 for the case when the perturbing operator dimension is less then the eigen-subspace dimension for the operator \underline{A}_0 at $\lambda = \lambda_0$.

Theorem 11. Let all hypotheses of Theorem 10 be fulfilled excluding the condition k = n, which is replaced by the condition $k \le n$. Then

1. Singular points of the resolvent $\underline{R}_{+}(\lambda)$ for Eq. (24) coincide with singular points of the resolvent $\underline{R}(\lambda)$ for Eq. (24). The singular points have there the same algebraic multiplicities if these points are poles, excluding the point $\lambda = \lambda_0$, where the resolvent $\underline{R}_{+}(\lambda)$ has a simple pole and

dim ker
$$[I - \lambda_0(\underline{A}_0 + \underline{A}_{01})]$$
 = dim ker $(I - \lambda_0(\underline{A}_0^* + \underline{A}_{01}^*)]$

= n - k,

$$\ker[I - \lambda_0(\underline{A}_0 + \underline{A}_{01})] = \operatorname{span}\{\tilde{x}_i\}_{i=k+1}^n \subset \ker(I - \lambda_0\underline{A}_0),$$

$$\ker[I - \lambda_0(\underline{A}_0^* + \underline{A}_{01}^*)] = \operatorname{span}\{\tilde{x}_i^*\}_{i=k+1}^n \subset \ker(I - \lambda_0\underline{A}_0^*).$$

For the case (31), \tilde{x}_i^* are given by Eq. (22) and there exist k elements $\dot{x}_i \in \ker(I - \lambda_0 \underline{A}_0)$ (i = 1, ..., k) such that $\det(b_{im}) \neq 0$, $b_{im} = \lambda_0 \dot{x}_i^* (\dot{x}_m)$ (i, m = 1, ..., k), and \tilde{x}_i are given by the first formula of Eq. (16). For the case (32), \tilde{x}_i are given by Eq. (21) and there exist k elements $\dot{x}_i^* \in \ker(I - \lambda_0 \underline{A}_0^*)$ (i = 1, ..., k) such that $\det(b_{im}^*) \neq 0$, $b_{im}^* = \lambda_0 \dot{x}_i^* (\dot{x}_m)$ (i, m = 1, ..., k) and \tilde{x}_i^* are given by the second formula of Eq. (16).

- 2. If solvability conditions (8) of Eq. (6) are satisfied, then Eq. (24) is solvable at $\lambda = \lambda_0$ and its solution x is a solution of Eq. (6) and satisfies Eq. (12). Inversely, if Eq. (24) at $\lambda = \lambda_0$ is solvable and its solution x satisfies Eq. (12), then conditions (8) are satisfied for the righthand side y of Eq. (24) and x is a solution of Eq. (6) with this right-hand side y.
- 3. Relationships (33) hold under condition (31) and relationships (34) hold under condition (32).

Proof. Repeating the same reasoning as by proving Theorem 10, we obtain that $W(\lambda) = \lambda_0^k (\lambda_0 - \lambda)^{-k}$.

Moreover, in case (31), there exist *k* linearly independent elements $\mathring{x}_i \in \text{ker}(I - \lambda_0 \underline{A}_0)$, i = 1, ..., k, such that $\det[\mathring{x}_i^*(\mathring{x}_m)] \neq 0$ (i, m = 1, ..., k). Really, suppose this is not the case and consider the determinant $\det[a_{im}]_{i,m=1}^n$, $a_{im} = \mathring{x}_i^*(\mathring{x}_m)$. Then for any *k* columns of the matrix there exists one column with a number m_1 such that $a_{im_1} = \sum_{p=2}^k C'_p a_{im_p}$, i = 1, ..., k.Subtracting the linear combination $\sum_{p=2}^{k} C'_{p} a_{im_{p}}, i = 1, ..., n$, from the m_{1} -th column, we arrive at the same value of the determinant but for a matrix that has zero elements at the m_1 -th column, $a'_{im_1} = 0, i = 1, ..., k$. Repeating the process for another k columns not including the m_1 -th column, we arrive eventually at the determinant det $[a'_{im}]_{i,m=1}^{n} = \pm det[a_{im}]_{i,m=1}^{n}$ of a matrix a'_{im} such that $a'_{im_{p}} = 0$, i = 1, ..., k, p = 1, ..., n - k + 1. Then (see, e.g. [13, Section 1.6-5]), $det[a_{im}]_{i,m=1}^n = \pm$ $\det[a'_{im_p}]_{i=1,...,k;p=n-k+1,...,n}\det[a'_{im_p}]_{i=k+1,...,n;p=1,...,n-k}=0$ since the first column in the first determinant of the righthand side equals to zero. This means, there exists a nonzero element $\mathring{x} \coloneqq \sum_{i=1}^{n} C_i \mathring{x}_i$, such that $\mathring{x}_i^*(\mathring{x}) = 0, j = 1, ..., n$, that is, $\mathring{x} \in \mathscr{R}(I - \lambda_0 \underline{A}_0)$, and then there exists an associated element $\tilde{x} : (I - \lambda_0 \underline{A}_0)\tilde{x} = \hat{x}$. This contradicts the fact that λ_0 is a resolvent simple pole [8].

One can prove similarly that in the case (32), there exist elements $\hat{x}_m^* \in \ker(I - \lambda_0 \underline{A}_0)$, m = 1, ..., k such that $\det[\hat{x}_i^*(\hat{x}_m)] \neq 0$ (i, m = 1, ..., k). Thus the conditions of Lemma 4 are satisfied. Using Lemmas 2–4 and Corollary 6 we obtain parts 1 and 2 of the theorem. Part (3) is proved in the same way as in Theorem 10. \Box

Using Corollary 5, we obtained from Theorem 11 the following obvious corollary.

Corollary 12. Let the hypotheses of Theorem 11 be satisfied.

- 1. Suppose for the case (31), $\mathring{x}_m \in \ker(I \lambda_0 \underline{A}_0)$ (m = k + 1, ..., n) are linearly independent elements such that $\mathring{x}_i^*(\mathring{x}_m) = 0$ (i = 1, ..., k, m = k + 1, ..., n); then $\ker[I \lambda_0(\underline{A}_0 + \underline{A}_{01})] = \operatorname{span}\{\mathring{x}_m\}_{m=k+1}^n$.
- 2. Suppose for the case (32), $\mathring{x}_m^* \in \ker(I \lambda_0 \underline{A}_0^*)$ (m = k + 1, ..., n) are linearly independent elements such that $\mathring{x}_i^*(\mathring{x}_m) = 0$ (i = 1, ..., k, m = k + 1, ..., n); then $\ker[I \lambda_0(\underline{A}_0^* + \underline{A}_{01}^*)] = \operatorname{span}\{\mathring{x}_m^*\}_{m=k+1}^n$.

Using Corollary 7 we obtain its analogue for second-kind equations.

Corollary 13. Let the hypotheses of Theorem 11 be satisfied. Then solutions \hat{x}_i of the equations

$$[I - \lambda_0(\underline{A}_0 + \underline{A}_{01})]\hat{x}_i = \psi_i, \qquad i = 1, \dots, k, \tag{36}$$

are such that $\varphi_i(\hat{x}_j) = -\delta_{ij}/\lambda_0$ and $\ker(I - \lambda_0 \underline{A}_0) = \operatorname{span}\{\{\hat{x}_i\}_{i=1}^k, \{\tilde{x}_i\}_{i=k+1}^n\}$, where $\{\tilde{x}_i\}_{i=k+1}^n$ are solutions of homogeneous Eq. (36). Similarly, solutions \hat{x}_i^* of the equations

$$[I - \lambda_0(\underline{A}_0^* + \underline{A}_{01}^*)]\hat{x}_i^* = \varphi_i, \qquad i = 1, \dots, k,$$
(37)

are such that $\hat{x}_{i}^{*}(\psi_{j}) = -\delta_{ij}/\lambda_{0}$ and $\ker(I - \lambda_{0}\underline{A}_{0}^{*}) = \operatorname{span}\{\{\hat{x}_{i}^{*}\}_{i=1}^{k}, \{\tilde{x}_{i}^{*}\}_{i=k+1}^{n}\}, where \{\tilde{x}_{i}^{*}\}_{i=k+1}^{n} are solutions of homogeneous Eq. (37).$

Consider now the case when the operator \underline{A}_{01} contains the

terms satisfying Eq. (31) as well as the terms satisfying Eq. (32).

Theorem 14. Suppose the operator $\underline{A}_0 : B \to B$, λ_0 is a simple pole of the resolvent $\underline{R}(\lambda)$ for Eq. (6), dim ker $(I - \lambda_0 \underline{A}_0) = n$; $\varphi_i \in B^*$, $\psi_i \in B$, i = 1, ..., n, k = n, $0 \le t \le n$,

$$\varphi_i = \mathring{x}_i^*$$
 $(i = 1, ..., t), \quad \psi_j = \mathring{x}_j$ $(j = t + 1, ..., n)$
(38)

$$\varphi_i(\psi_j) = -\delta_{ij}/\lambda_0 \qquad (i, j = 1, \dots, n), \tag{39}$$

 \mathring{x}_{i}^{*} and \mathring{x}_{j} are linear independent elements of ker $(I - \lambda_{0}\underline{A}_{0}^{*})$ and ker $(I - \lambda_{0}\underline{A}_{0})$, respectively.

Then statements 1 and 2 of Theorem 10 hold true and

$$\underline{\underline{R}}(\lambda) = [I - \lambda\lambda_0(\lambda_0 - \lambda)^{-1}\underline{\underline{A}}_{0\varphi}]\underline{\underline{R}}_+(\lambda)[I - \lambda\lambda_0(\lambda_0 - \lambda)^{-1}\underline{\underline{A}}_{0\psi}],$$
$$\underline{\underline{R}}_+(\lambda) = [I + \lambda\underline{\underline{A}}_{0\varphi}]\underline{\underline{R}}(\lambda)[I + \lambda\underline{\underline{A}}_{0\psi}]$$
(40)

where

$$\underline{A}_{0\psi} := \sum_{j=1}^{t} \psi_j \mathring{x}_j^*, \ \underline{A}_{0\varphi} := \sum_{j=t+1}^{n} \mathring{x}_j \varphi_j, \ \underline{A}_{01} = \underline{A}_{0\psi} + \underline{A}_{0\varphi}.$$

Proof. First let us note that, because of Eqs. (38) and (39), $\hat{x}_i^*(\hat{x}_j) = 0$ for i = 1, ..., t, j = t + 1, ..., n, and the elements \hat{x}_i^* (i = 1, ..., t) as well as the elements \hat{x}_j (j = t + 1, ..., n)are linearly independent. Consider the equation $[I - \lambda_0(\underline{A}_0 + \underline{A}_{0\psi})]x = y$ for which Theorem 11 with condition (31) and part 1 of Corollary 12 hold true. Hence, ker $(I - \lambda_0 \underline{\tilde{A}}_0)$) = span $\{\hat{x}_j\}_{j=k+1}^n$ for the operator $\underline{\tilde{A}}_0 := \underline{A}_0 + \underline{A}_{0\psi}$. Applying Theorem 10 to the equation $[I - \lambda_0 \underline{\tilde{A}}_0]x = y$, we conclude the proof. \Box

Using Corollary 3 we get its analogue for a second-kind operator.

Corollary 15. Let the hypotheses of Theorem 10 or 14 be satisfied, then the equations

$$[I - \lambda_0(\underline{A}_0 + \underline{A}_{01})]\hat{x}_i = \psi_i, \quad [I - \lambda_0(\underline{A}_0^* + \underline{A}_{01}^*)]\hat{x}_i^* = \varphi_i,$$

$$i = 1, \dots, n \tag{41}$$

are unconditionally and uniquely solvable and their solutions are such that

$$\operatorname{span}\{\hat{x}_i\}_{i=1}^n = \operatorname{ker}(I - \lambda_0 \underline{A}_0), \quad \varphi_i(\hat{x}_i) = -\delta_{ij}/\lambda_0,$$

and

$$\operatorname{span}\{\hat{x}_i^*\}_{i=1}^n = \ker(I - \lambda_0 \underline{A}_0^*), \qquad \hat{x}_i^*(\psi_j) = -\delta_{ij}/\lambda_0$$

4. On calculation of undetermined constants in the equation right-hand side

Consider now Fredholm Eq. (4), where $\underline{A}: B_1 \rightarrow B_2$, dim ker $\underline{A} = \dim \text{ker} \underline{A}^* = n$ and $y = y_0 + \sum_{j=1}^n C_j y_j$, $y_j \in B_2$ (j = 0, ..., n). One should choose the constants C_j such that solvability conditions (8) of Eq. (4), will be satisfied, that is,

$$\mathring{x}_{i}^{*}(y_{0} + \sum_{j=1}^{n} C_{j}y_{j}) = 0, \quad i = 1, ..., n, \text{ span}\{\mathring{x}_{i}^{*}\}_{i=1}^{n} = \ker\underline{A},$$
(42)

and also find one of the solutions of Eq. (4).

It is obvious that this problem is solvable in the general case only if

$$\det(\mathring{x}_{i}^{*}y_{j}) \neq 0 \qquad (i, j = 1, ..., n).$$
(43)

Suppose this holds true.

If the functionals \hat{x}_i^* are known, then one can find C_j from Eq. (42) and then, using Lemma 2 (or Theorems 10 and 14 if $\underline{A} : B \rightarrow B$ is a second-kind operator), one can perturb the equation and obtain the solution by solving corresponding unconditional and uniquely solvable Eq. (9).

If the functionals \hat{x}_i^* are unknown, then there are at least two ways forward. Firstly, one can find \hat{x}_i^* by Corollary 3 from the second group of perturbed equations (13) (or by Corollary 15 from the second group of equations, Eq. (41) if <u>A</u> is the second-kind operator) and then do as above.

Secondly, one can perturb Eq. (4) by Lemma 2 (or by Theorems 10 and 14 if <u>A</u> is a second-kind operator) and find its solutions x_j with the right-hand sides y_j (j = 0, ..., n), respectively. Then one can demand that the solution

$$x = x_0 + \sum_{j=1}^n C_j x_j$$

satisfies condition (12) according to the second part of Lemma 2 (Theorems 10 and 14). This leads to a linear algebraic equation system with respect to C_i :

$$\sum_{j=1}^{n} C_{j} \varphi_{i}(x_{j}) = -\varphi_{i}(x_{0}) \qquad (i = 1, ..., n).$$

Let us show that det $[\varphi_i(x_j)] \neq 0$ under condition (43). Really, otherwise non-zero constants C_j^0 can be found such that

$$\varphi_i(\sum_{j=1}^n C_j^0 x_j) = 0, \qquad i = 1, ..., n.$$

According to the second part of Lemma 2 (Theorems 10 and

14), this means that

$$\sum_{j=1}^{n} C_{j}^{0} x_{i}^{*}(y_{j}) = 0, \qquad i = 1, \dots, n$$

but it is in contradiction to Eq. (43).

Thus one can solve the problem also by this second way.

5. Applications to boundary integral equations

We shall illustrate now on a BIE of elasticity how one can apply the above results. We consider BIE (1) from the introduction. If $S \in C^{1,\alpha}$, then (see Refs. [3–5]) the operator $1 + \underline{W}$ satisfies Hypothesis 1 for n = 6, ker $(1 + \underline{W}) =$ span $\{\hat{u}^{(m)}\}_{m=1}^{6}$ (the eigen-solutions $\hat{u}_{i}^{(m)}$ are given in Eq. (2)), $B_1 = B_2 = C^{0,\beta}(S)$, $0 < \beta < \alpha$. For a nonsmooth surface *S*, the Hypothesis will be satisfied in some weighted Hölder spaces $B_1 = B_2$ with the same ker $(1 + \underline{W})$, see Ref. [5].

Let us denote by |S| the area, by η^{c} the center of inertia, and by *J* the central moment if inertia (the first invariant of the inertia tensor) for the surface *S*, that is,

$$\begin{split} |S| &:= \int_{S} \mathrm{d}S, \quad \eta_{i}^{\mathrm{c}} := \frac{1}{|S|} \int_{S} \eta_{i} \mathrm{d}S, \\ J &:= \int_{S} (\eta_{i} - \eta_{i}^{\mathrm{c}})(\eta_{i} - \eta_{i}^{\mathrm{c}}) \, \mathrm{d}S(\eta). \end{split}$$

Suppose firstly, the coordinate axes η_i are parallel to the principal axes of the inertia tensor for the surface *S*, that is,

$$\int_{S} (\eta_i - \eta_i^{\rm c})(\eta_j - \eta_j^{\rm c}) \, \mathrm{d}S(\eta) = 0, \qquad i \neq j.$$

We write the perturbed equation corresponding to Eq. (1) in the form

$$u_i(\xi) - \lambda \{ [\underline{W}_{ij} + \underline{K}_{ij}^{(31)}] u_j \} (\xi) = \Phi_i(\xi),$$

$$[\underline{K}_{ij}^{(31)}u_{j}](\xi) := \sum_{m=1}^{3} [\mathring{u}_{i}^{(m)}(\xi - \eta^{c}) \int_{S} \phi_{j}^{(m)}(\eta - \eta^{c})u_{j}(\eta) \, \mathrm{d}S(\eta) + \mathring{u}_{i}^{(3+m)}(\xi - \eta^{c}) \int_{S} \phi_{j}^{(3+m)}(\eta - \eta^{c})u_{j}(\eta) \, \mathrm{d}S(\eta)].$$

$$(44)$$

The functions $\phi_i^{(m)}$ in Eq. (44) are chosen in the form

$$\begin{split} \phi_j^{(m)}(\xi) &= \frac{1}{|S|} \mathring{u}_j^{(m)}(\xi) = \frac{\delta_{mj}}{|S|}, \quad \phi_j^{(3+m)}(\xi) \\ &= \frac{1}{2J} \mathring{u}_j^{(3+m)}(\xi) = \frac{\varepsilon_{jpm}\xi_p}{2J}, \qquad m = 1, ..., 3. \end{split}$$

Then it is easy to check, that the perturbing operator $\underline{K}^{(31)}$ satisfies Theorem 10 (with condition (32)) for k = n = 6, $\lambda_0 = -1$,

$$\mathring{x}_{m,i}(\xi) = \delta_{im}, \ \ \mathring{x}_{m+3,i}(\xi) = arepsilon_{ijm}(\xi_j - \eta_j^{\mathrm{c}})$$

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$$\varphi_m(u) = \frac{1}{|S|} \int_S u_m(\eta) \, \mathrm{d}S(\eta), \quad \varphi_{m+3}(u)$$
$$= \frac{1}{2J} \int_S \varepsilon_{jpm}(\eta_p - \eta_p^c) u_j(\eta) \, \mathrm{d}S(\eta)$$

m = 1, ..., 3.

Consequently, BIE (44) is uniquely and unconditionally solvable at $\lambda_0 = -1$. Its solution u_i coincides with one of the solutions of BIE (1) such that

$$\int_{S} u_i(\eta) \, \mathrm{d}S = 0, \quad \int_{S} \varepsilon_{ijm} u_i(\eta) (\eta_j - \eta_j^{\mathrm{c}}) \, \mathrm{d}S = 0,$$

$$i, j, m = 1, 3$$

if the total force and the moment of the applied tractions equal zero (what implies the solvability conditions (3) for BIE (1) are satisfied). Moreover, the resolvent of the perturbed operator has the same singular points as the resolvent of the original operator excluding the point $\lambda = -1$. It means that the resolvent is now regular in the closed circle $|\lambda| \le 1$. Hence, perturbed equation (44) can be solved at $\lambda = -1$, e.g. by the method of simple iterations, that is, by expansion of the resolvent in the convergent Neumann series.

After using the property $\varepsilon_{ijk}\varepsilon_{mlk} = \delta_{im}\delta_{jl} - \delta_{il}\delta_{jm}$, we can represent the perturbing operator in a simpler form

$$[\underline{K}_{ij}^{(31)}u_{j}](\xi) = \int_{S} \left\{ \frac{1}{|S|} u_{i}(\eta) + \frac{1}{2J} [(\xi_{j} - \eta_{j}^{c})(\eta_{j} - \eta_{j}^{c})u_{i}(\eta) - (\xi_{j} - \eta_{j}^{c})(\eta_{i} - \eta_{i}^{c})u_{j}(\eta)] \right\} dS(\eta)$$
(45)

One can remark that the presentation (45) is true also in arbitrary cartesian coordinate system (not only associated with the principal axes of the inertia tensor), since the righthand side of Eq. (45) is a linear combination of vectors, whose coefficients are scalar products of vectors.

This perturbation technique can be used also for other BIEs. For example, an application of perturbation operators to BIE of harmonic functions is presented in Refs. [9,14], and to BIE of plane elastic problems in Ref. [15]. An implementation to BIE, obtained by the indirect approach for elastic plate reinforced by boundary curvilinear elastic bars, was described in Ref. [16]. Determination of unknown constants in the BIE right-hand side by methods of Section 4 was used in Refs. [15,16].

Several results of this paper were announced in Ref. [17].

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