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# CMS Tracking Detector case study

This lecture is based on a PG lecture generously provided by Prof G Hall of Imperial College, London

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Development of a tracking detector for physics at  
the Large Hadron Collider

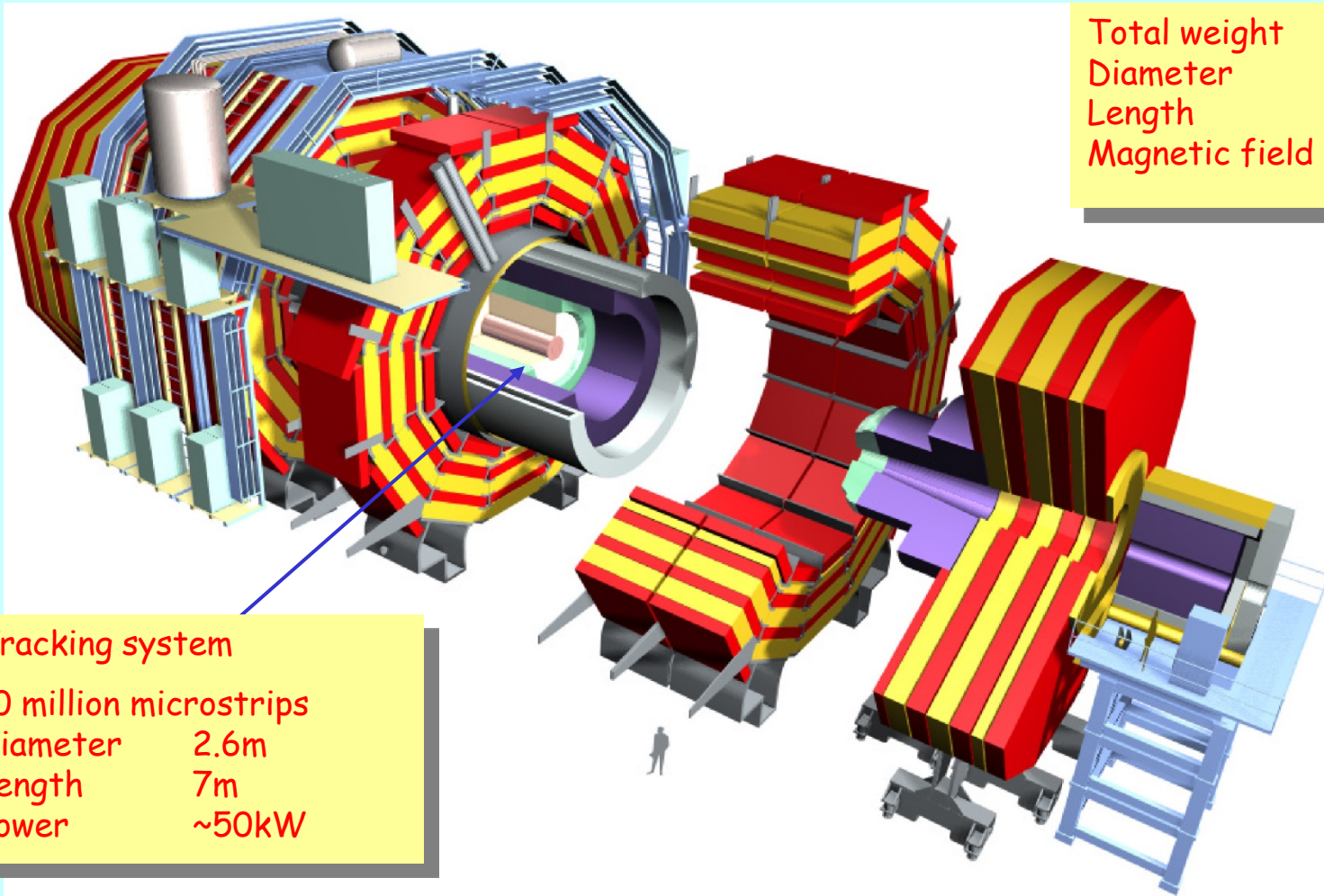
Geoff Hall

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# CMS = Compact Muon Solenoid detector

- missing element in current theoretical framework - mass

**14000 t**



Total weight	12,500 tons
Diameter	15m
Length	21.6m
Magnetic field	4T

## Tracking system

10 million microstrips	
Diameter	2.6m
Length	7m
Power	~50kW

# LHC parameters (CMS)

	pp	Pb-Pb
<i>Luminosity</i>	$10^{34} \text{ cm}^{-2} \cdot \text{s}^{-1}$	$10^{27} \text{ cm}^{-2} \cdot \text{s}^{-1}$
<i>Annual integrated L</i>	$5 \times 10^{40} \text{ cm}^{-2}$	?
<i>CM energy</i>	14 TeV	5.5 TeV/ N
$\sigma_{inelastic}$	$\sim 70 \text{ mb}$	$\sim 6.5 \text{ b}$
<i>interactions/bunch</i>	$\sim 20$	0.001
<i>tracks/unit rapidity</i>	$\sim 140$	3000-8000
<i>beam diameter</i>	20 $\mu\text{m}$	20 $\mu\text{m}$
<i>bunch length</i>	75mm	75mm
<i>beam crossing rate</i>	40MHz	8MHz
<i>Level 1 trigger delay</i>	- 3.2 $\mu\text{sec}$	- 3.2 $\mu\text{sec}$
<i>L1 (average) trigger rate</i>	$\sim 100 \text{ kHz}$	< 8kHz

## • Consequences

High speed signal processing  
Signal pile-up

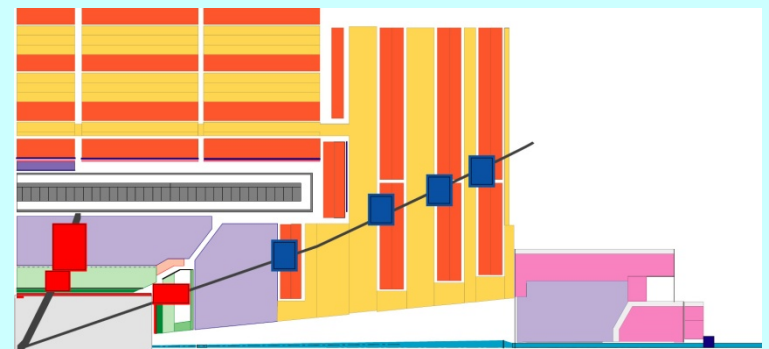
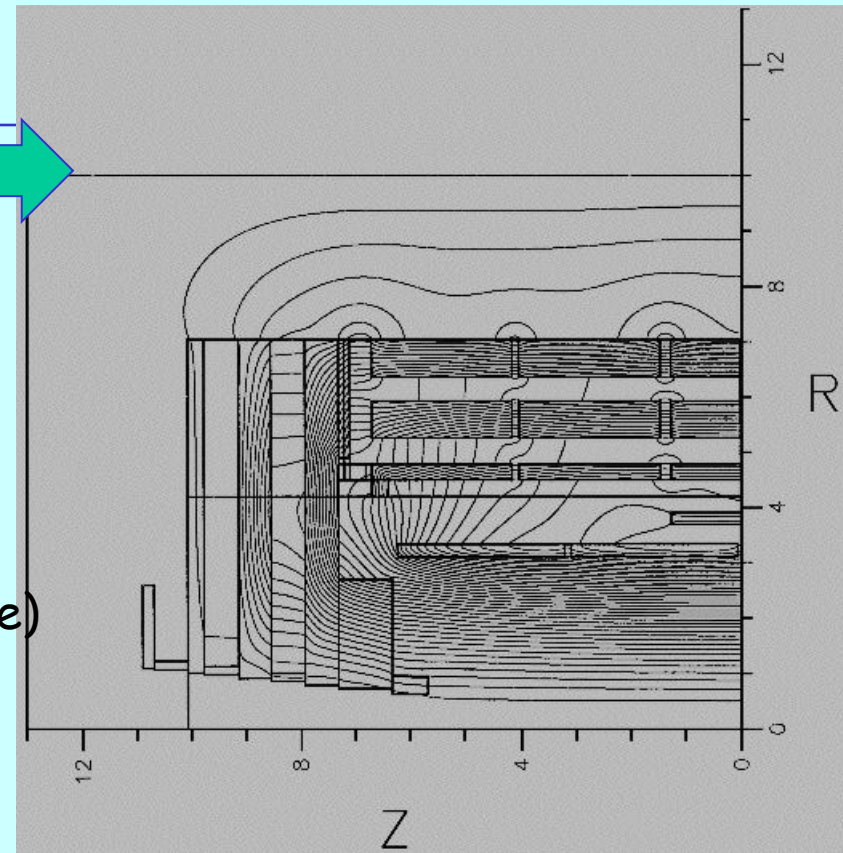
High (low) radiation exposure  
High (low) B field operation

Very large data volumes

New technologies

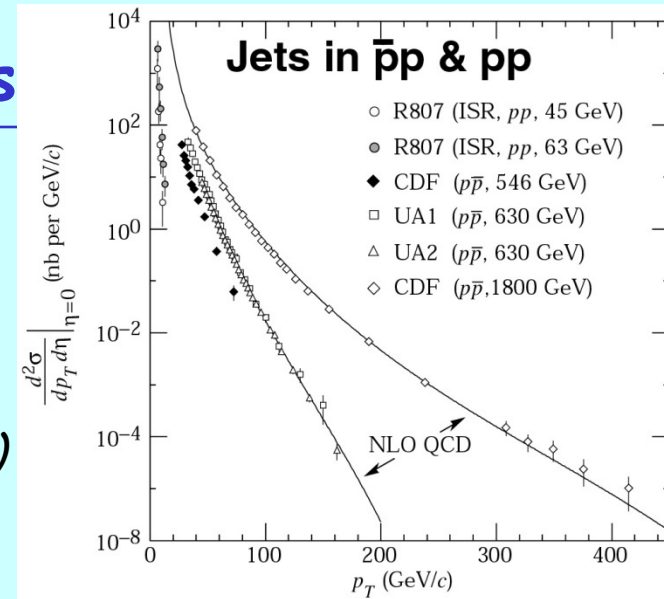
# Design philosophy

- **Large solenoidal (4T) magnet**  
iron yoke - returns B field, absorbs particles  
technically challenging but  
*smaller detector,  $p$  resolution, trigger, cost*
- **Muon detection**  
high  $p_T$  lepton signatures for new physics
- **Electromagnetic calorimeter**  
high ( $\Delta E$ ) resolution, for  $H \Rightarrow \gamma\gamma$  (low mass mode)
- **Tracking system**  
momentum measurements of charged particles  
pattern recognition & efficiency  
*complex, multi-particle events*  
complement muon & ECAL measurements  
*improved  $p$  measurement (high  $p$ )*  
 *$E/p$  for  $e/\gamma$  identification*



# Parameters for hadronic collider physics

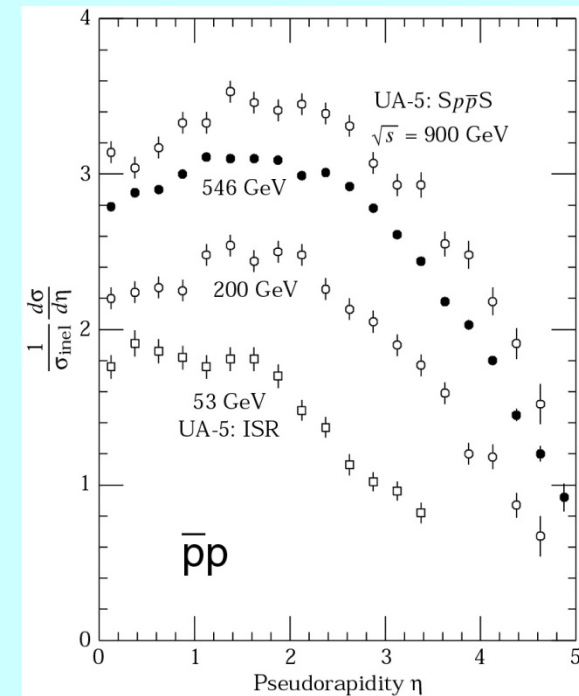
- $E, p, \cos\theta, \phi$  prefer variables which easily Lorentz transform e.g.  $E, p_T, p_L, \phi$
- $p_T$  divergences from simple behaviour could imply new physics  
eg heavy particle decay  $\Rightarrow$  high  $p_T$  lepton (or hadron)
- rapidity  $y = \frac{1}{2} \ln\left(\frac{E + p_L}{E - p_L}\right)$   $dy = \frac{dp_L}{E}$



Lorentz boost  $y \rightarrow y' = y + \frac{1}{2} \ln\left(\frac{1 + \beta}{1 - \beta}\right) \Rightarrow \frac{dN}{dy}$  invariant

• pseudorapidity  $y = \frac{1}{2} \ln\left(\frac{\cos^2(\theta/2) + \frac{m^2}{4p^2} + \dots}{\sin^2(\theta/2) + \frac{m^2}{4p^2} + \dots}\right) \approx -\ln \tan(\theta/2) \equiv \eta$

LHC  $\frac{d^2 N_{charged}}{d\eta dp_T} \approx H \cdot f(p_T)$   $H \sim 6$   $|\eta| < 2.5$



# Physics requirements (I)

- Mass peak - one means of discovery

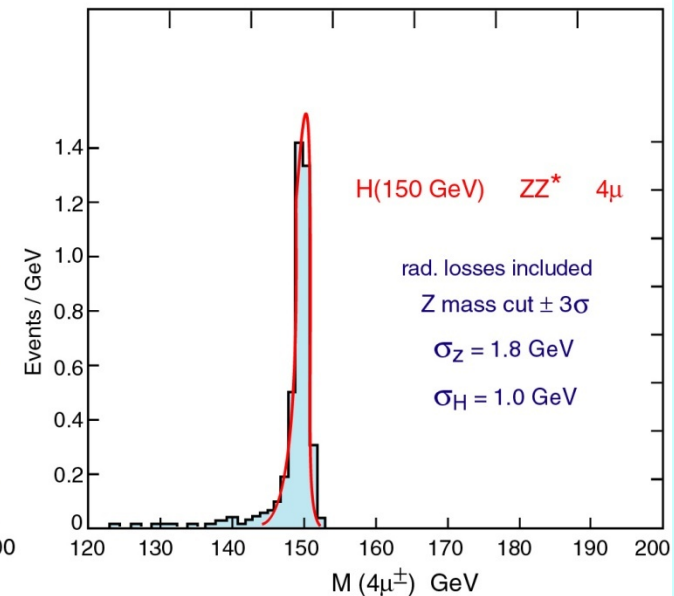
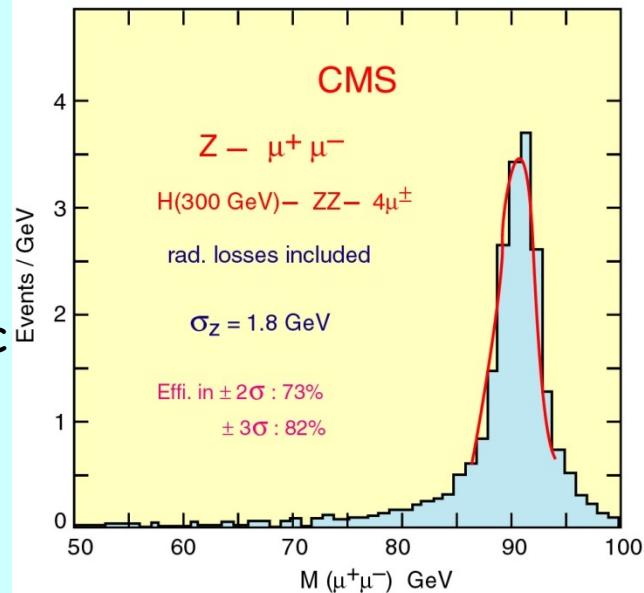
Mass resolution for muon final states

$$m^2 = \sum_i E_i^2 - \underline{p}_i^2$$

$\Rightarrow$  small  $\sigma(p_T)$

eg  $H \Rightarrow ZZ$  or  $ZZ^* \Rightarrow 4\ell^\pm$

typical  $p_T(\mu) \sim 5-50 \text{ GeV}/c$



- Background suppression

measure lepton charges

good geometrical acceptance - 4 leptons

background channel  $t \Rightarrow b \Rightarrow \ell$

require  $m(l^+l^-) = m_Z \quad \Gamma_Z \sim 2.5 \text{ GeV}$

precise vertex measurement identify  $b$  decays, or reduce fraction in data

# Physics requirements (II)

- **p resolution**

$$\frac{\sigma(p_T)}{p_T} \sim p_T \frac{\sigma_{meas}}{B \cdot L^2 \sqrt{N_{pts}}}$$

large B and L

- **high precision space points**

detector with small intrinsic  $\sigma_{meas}$

- **well separated particles**

good time resolution

low occupancy => many channels

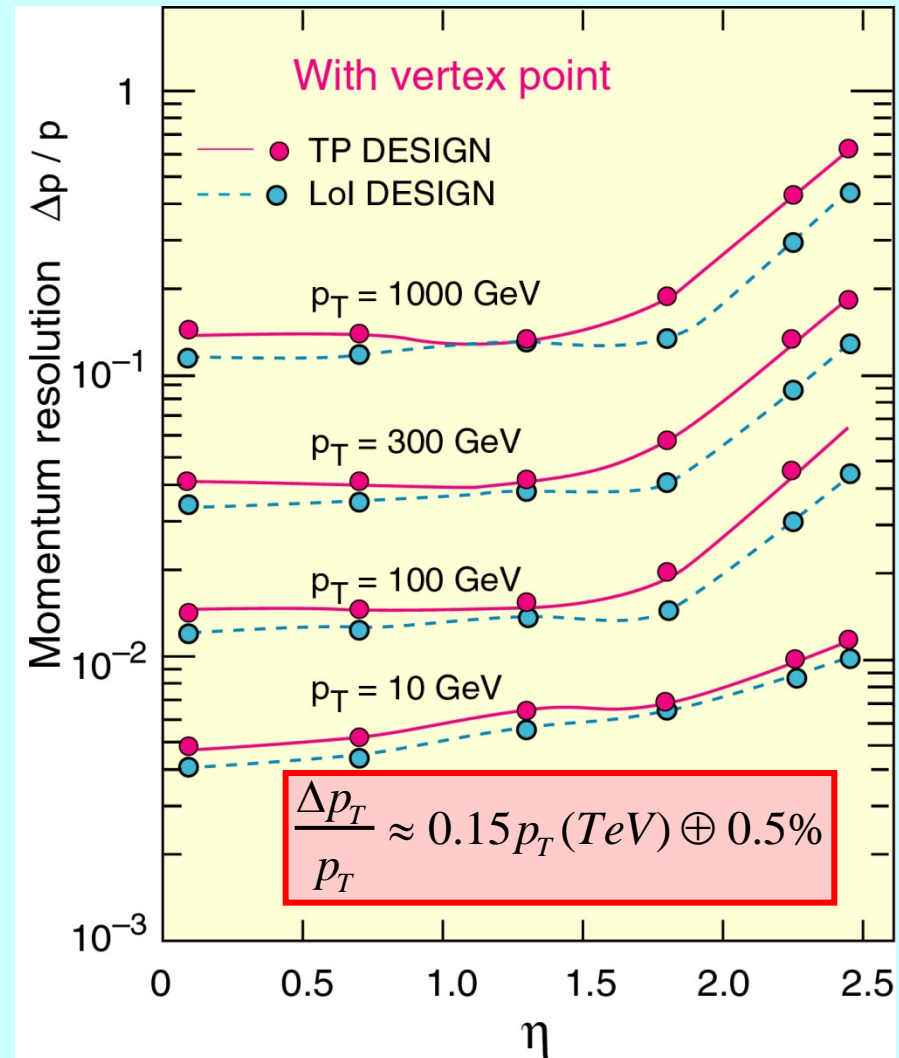
good pattern recognition

- **minimise multiple scattering**

- **minimal bremsstrahlung, photon conversions**

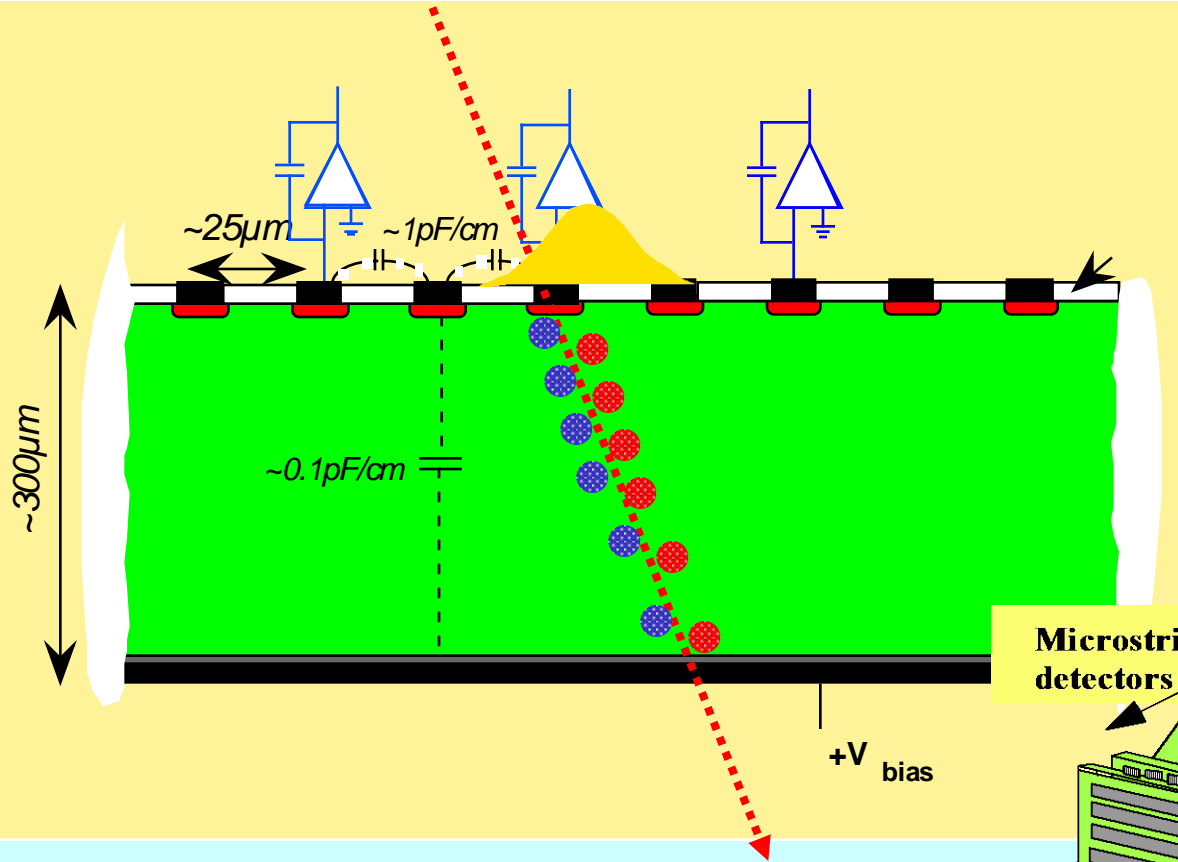
material in tracker

most precise points close to beam





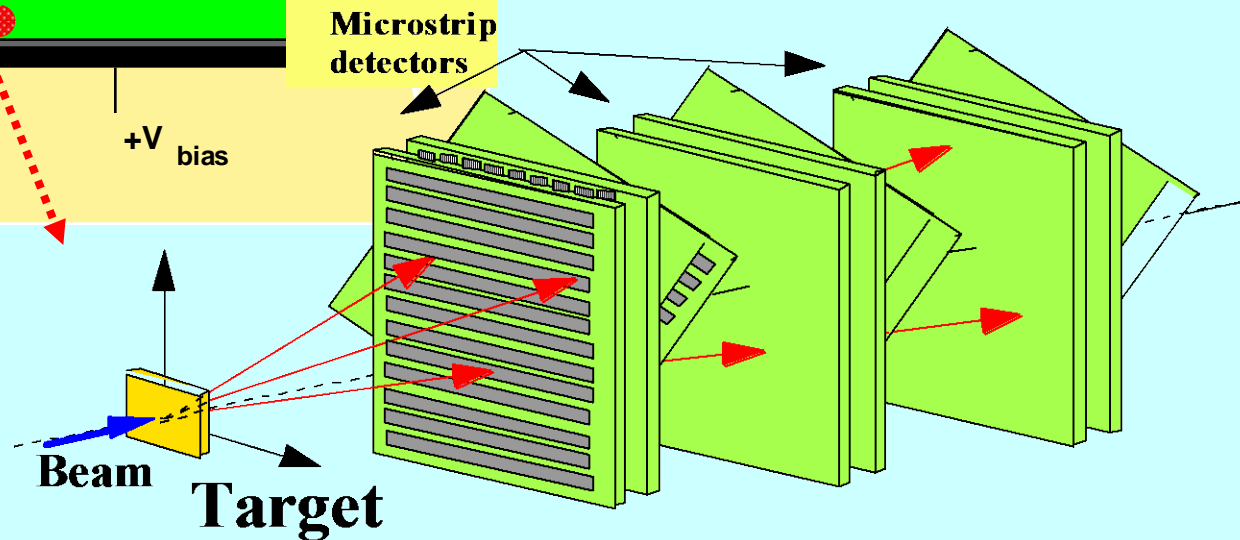
# Silicon diodes as position detectors



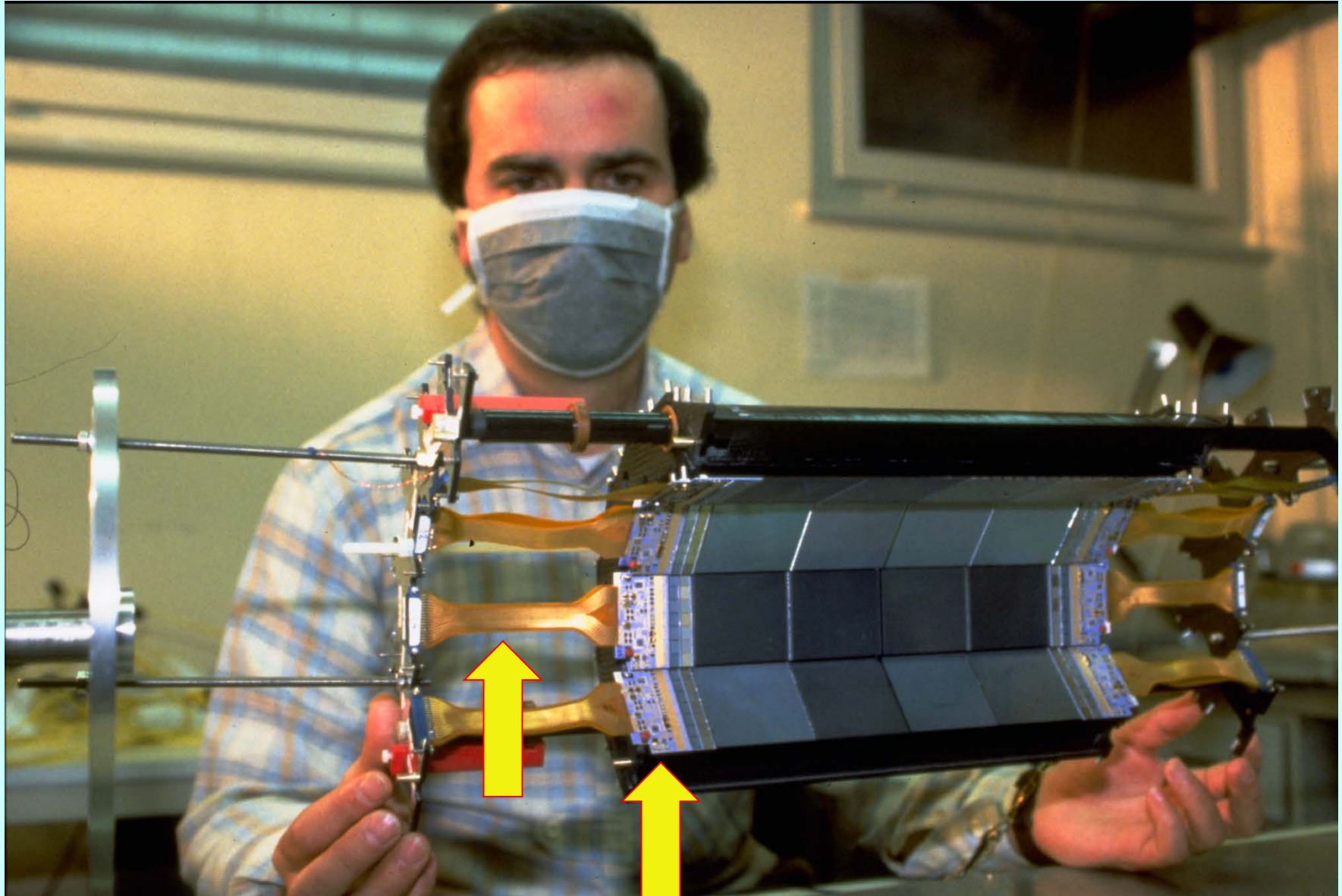
- Spatial measurement precision defined by strip dimensions

ultimately limited by charge diffusion

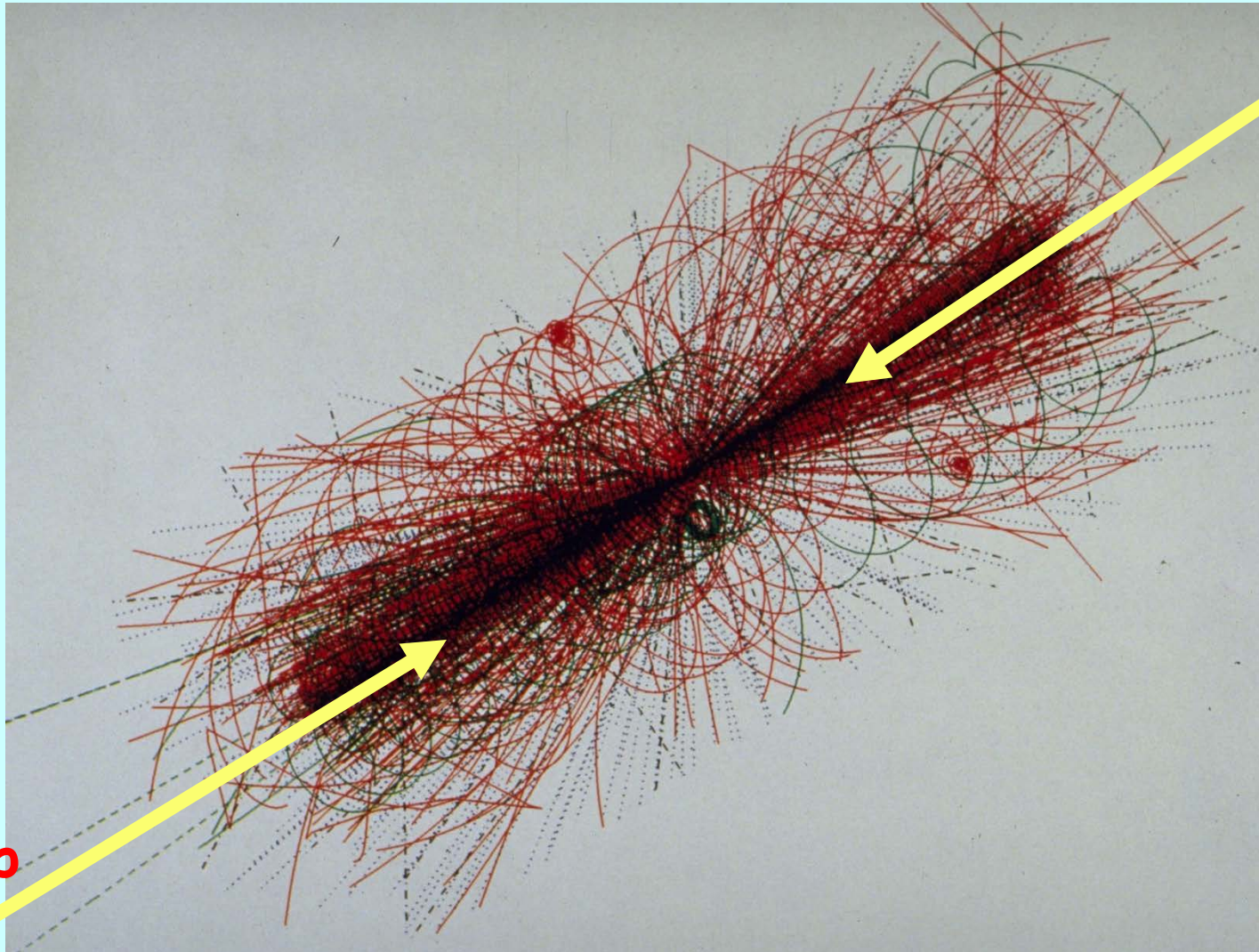
$$\sigma \sim 5-10 \mu\text{m}$$



# Vertex detector ~1990



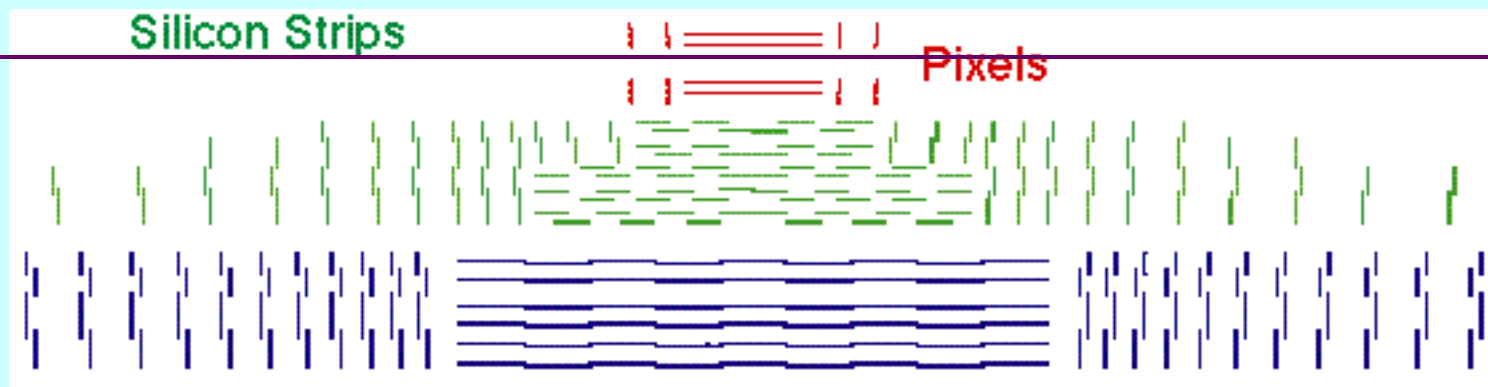
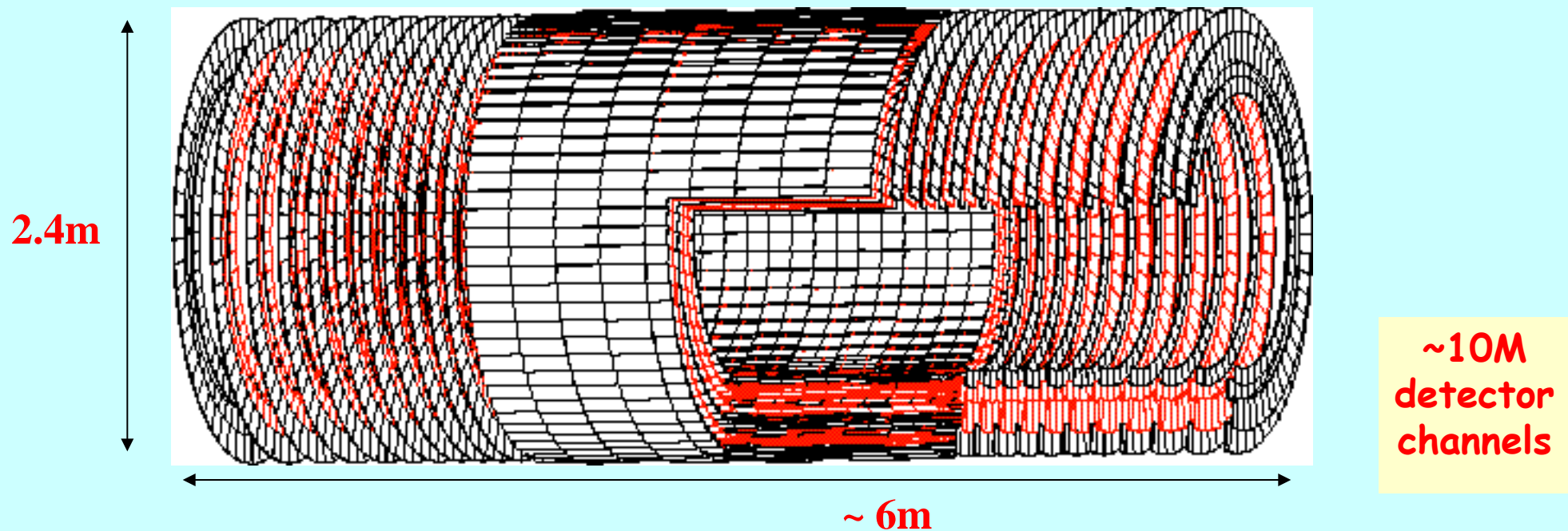
# Interactions in CMS



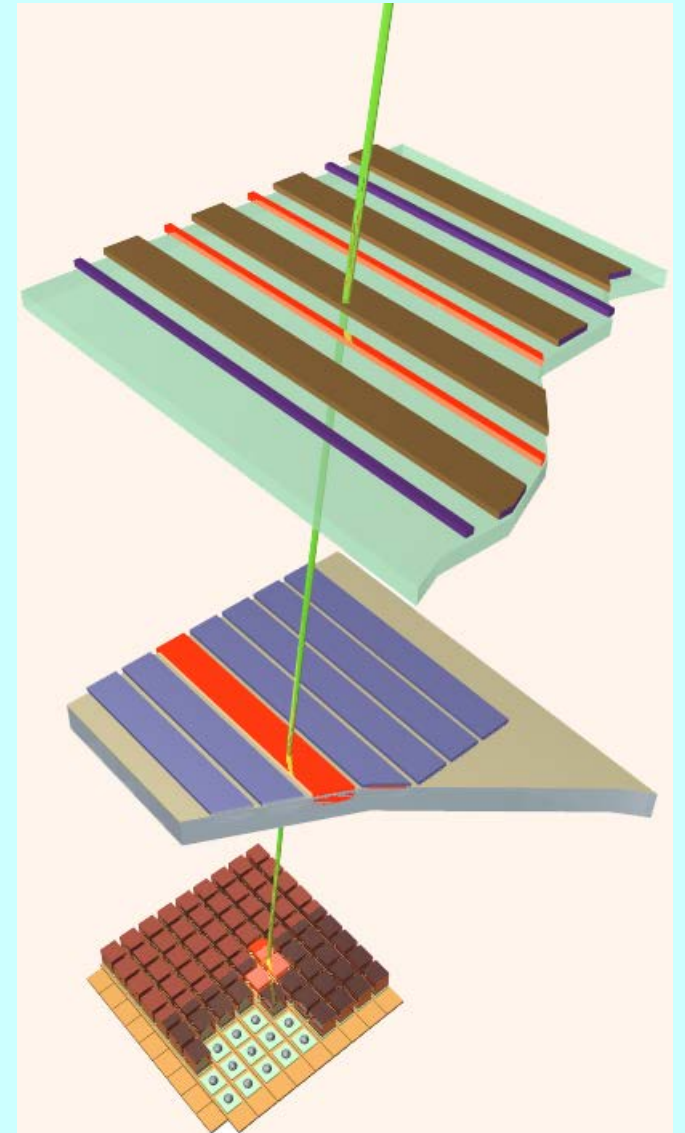
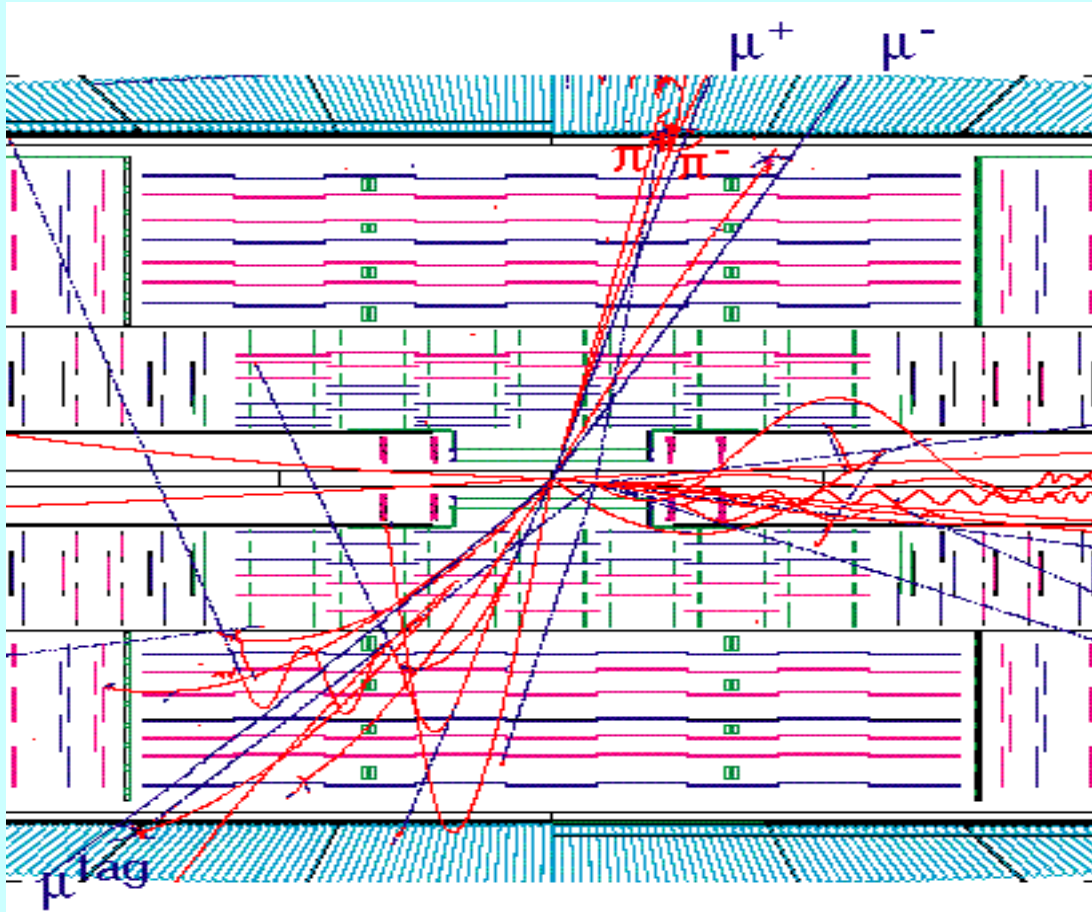
7 TeV p

7 TeV p

# Microstrip tracker system



# Event in the tracker



# Silicon detector modules

- **Constraints on tracker**

minimal material

high spatial precision

sensitive detectors requiring

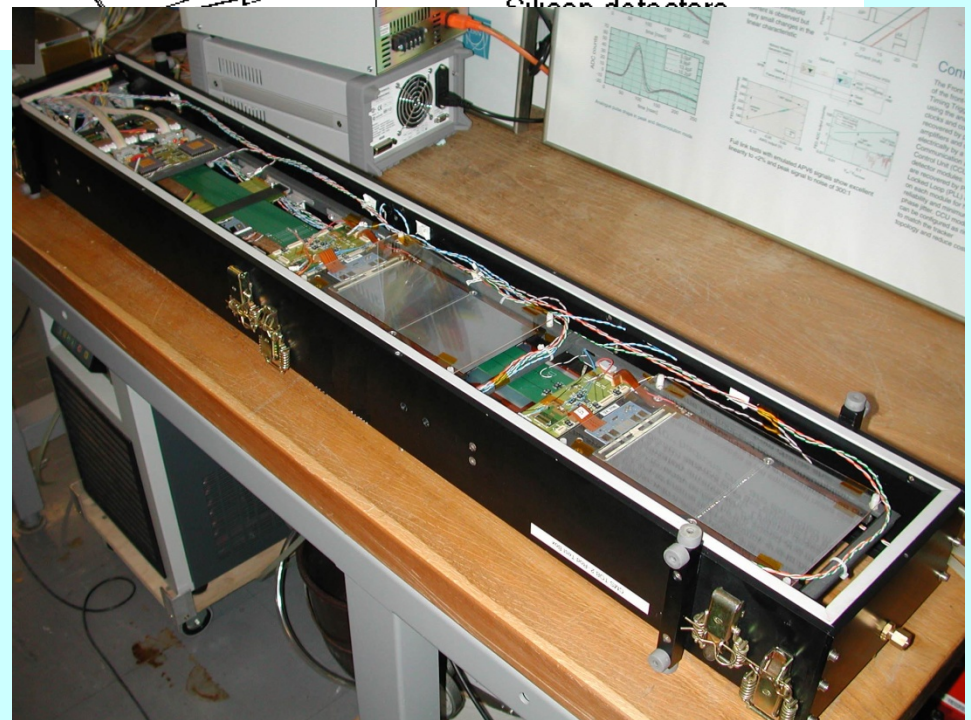
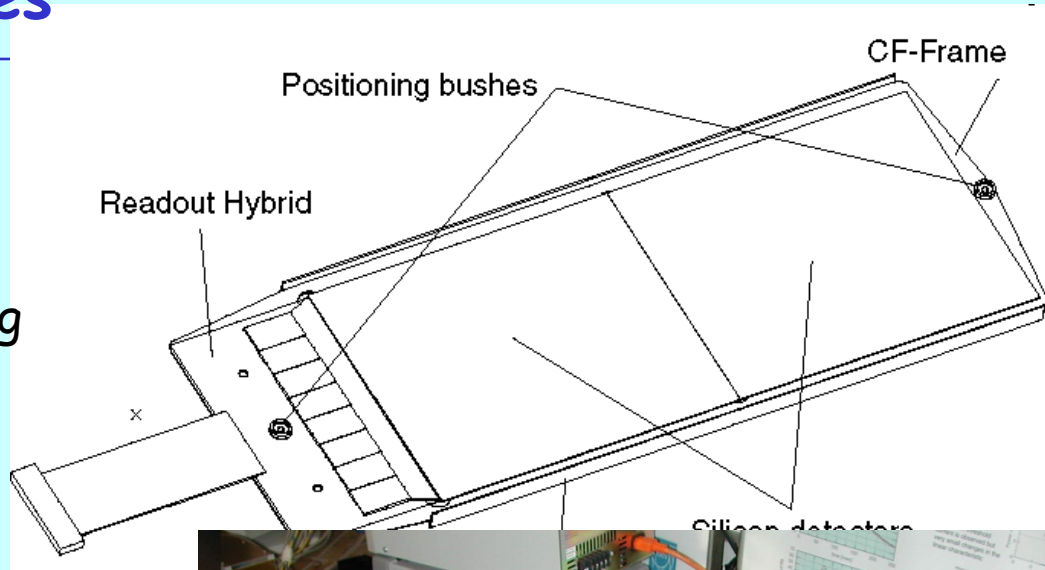
low noise readout

power dissipation  $\sim 50\text{kW}$

in 4T magnetic field

radiation hard

Budget



- **Requirements**

large number of channels

limited energy resolution

limited dynamic range

# Radiation environment

- Particle fluxes

Charged and neutral particles from interactions  $\sim 1/r^2$

Neutrons from calorimeter

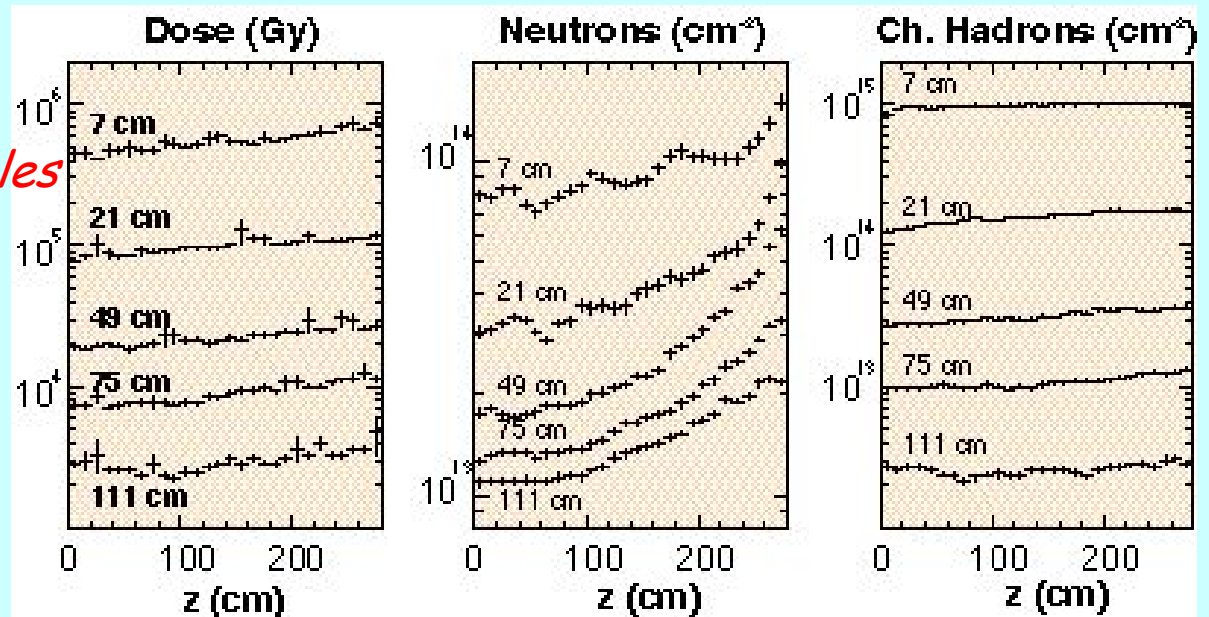
*nuclear backplash + thermalisation  $\approx$  more uniform gas*

*only  $E > 100\text{keV}$  damaging*

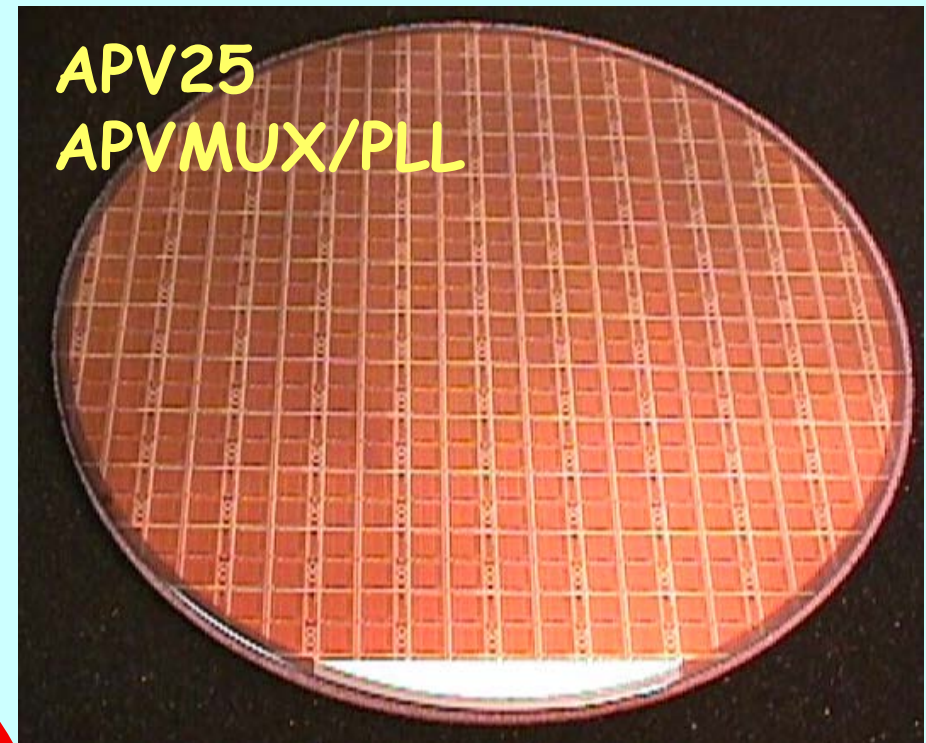
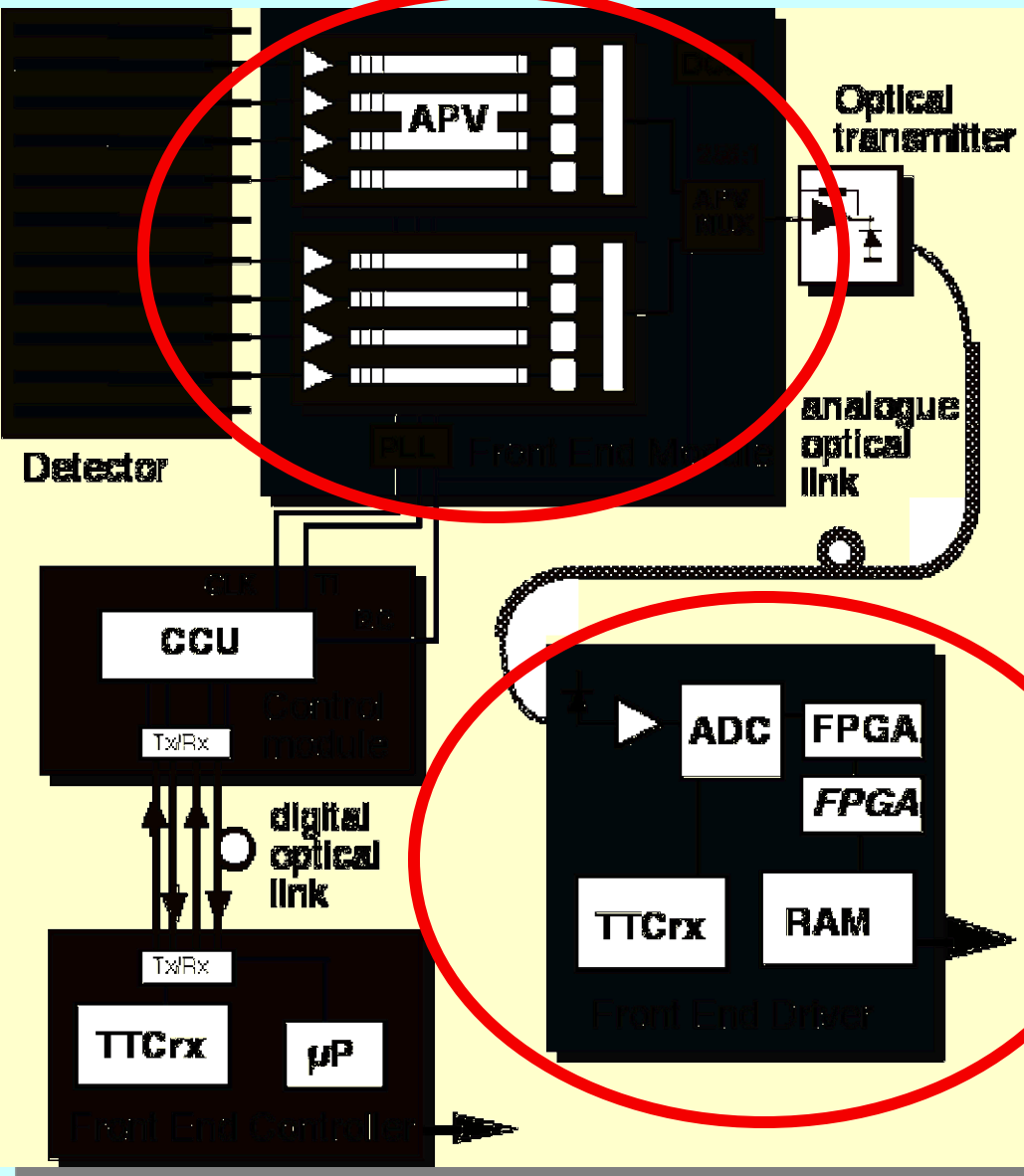
- Dose energy deposit per unit volume

*Gray = 1Joule/kg = 100rad*

*mostly due to charged particles*



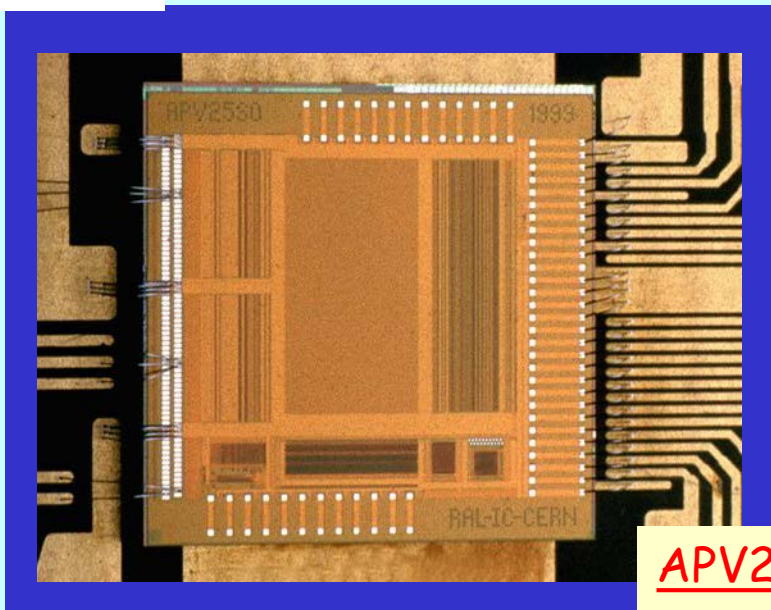
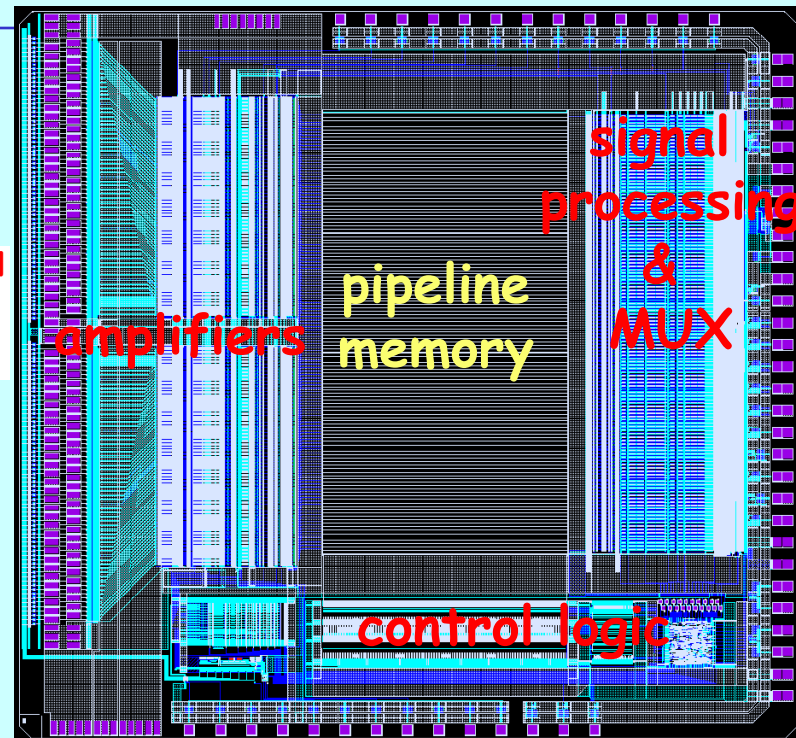
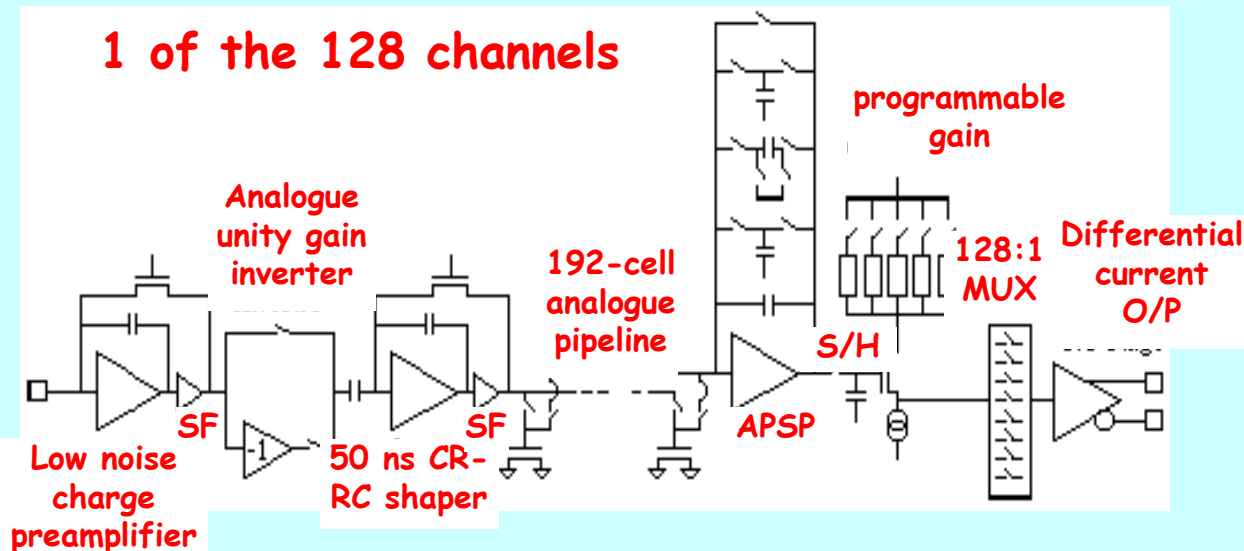
# Imperial College contributions to Tracker



- FED**
- Hardware development
  - Hardware construction
  - Beam tests & studies
  - Preparation for physics



## 1 of the 128 channels



APV25-S0 (Oct 1999)

APV25-S1 (Aug 2000)

Chip Size 7.1 x 8.1 mm

Final

# Irradiations of 0.25 $\mu\text{m}$ technology

- Extensive studies

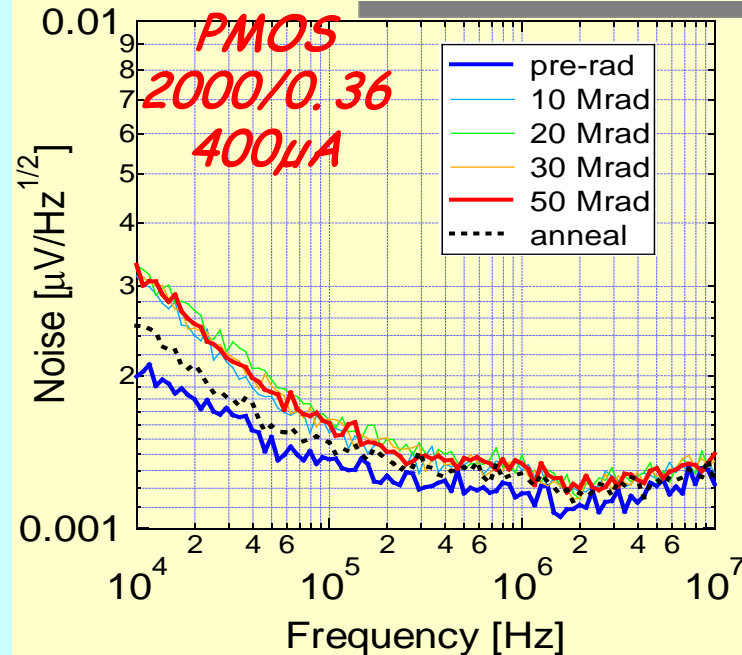
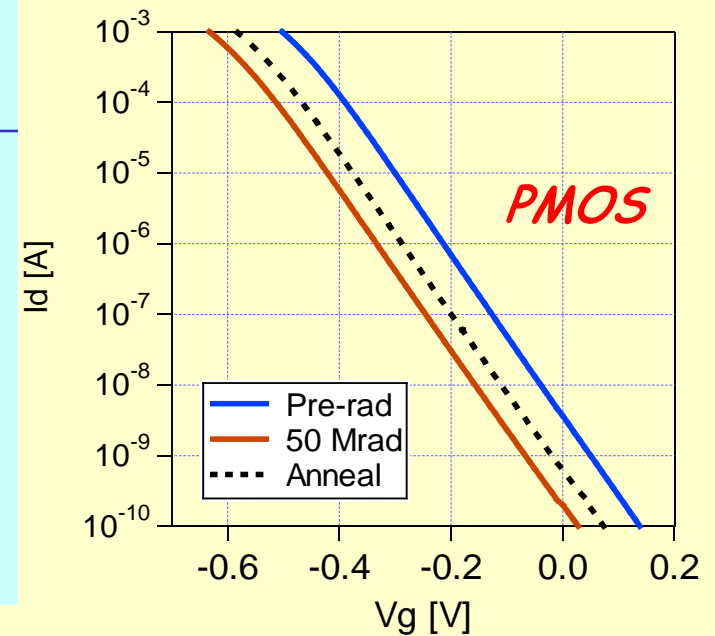
CMS tracker data from IC, Padova, CERN  
*ALL POSITIVE and well beyond LHC range*

- CMOS hard against bulk damage

Qualify chips from wafers  
with ionising sources

- Typical irradiation conditions

50kV X-ray source  
Dose rate  $\sim 0.5\text{Mrad}/\text{Hour}$   
*to 10, 20, 30 & 50Mrad*



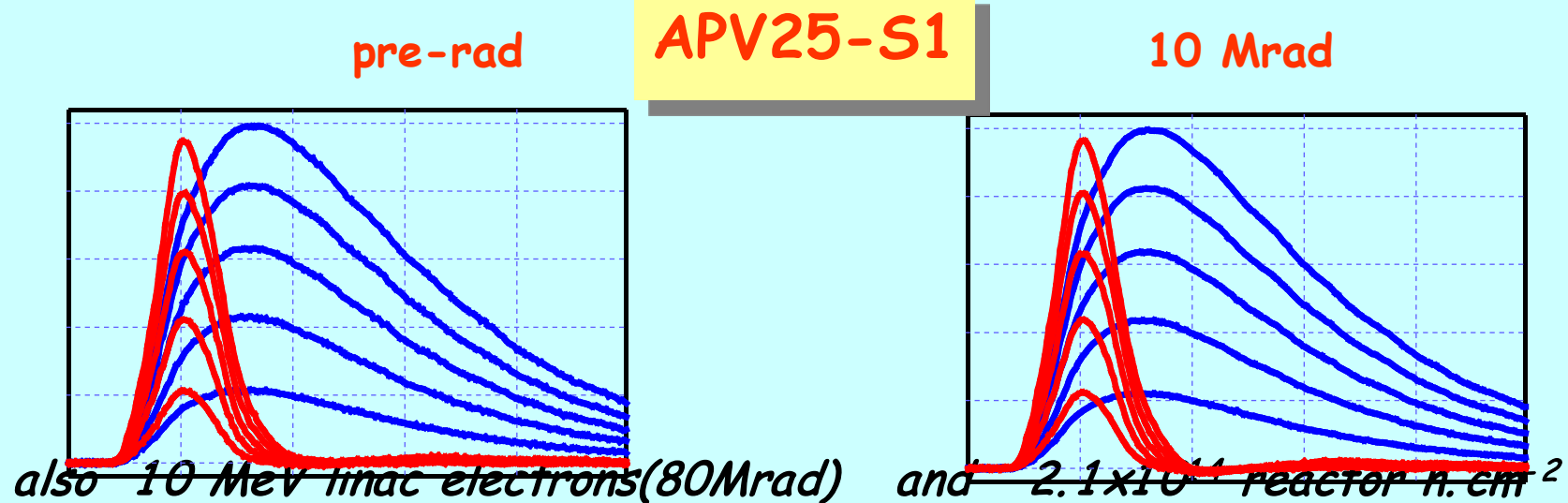
# APV25 irradiations (IC & Padova)

- IC x-ray source

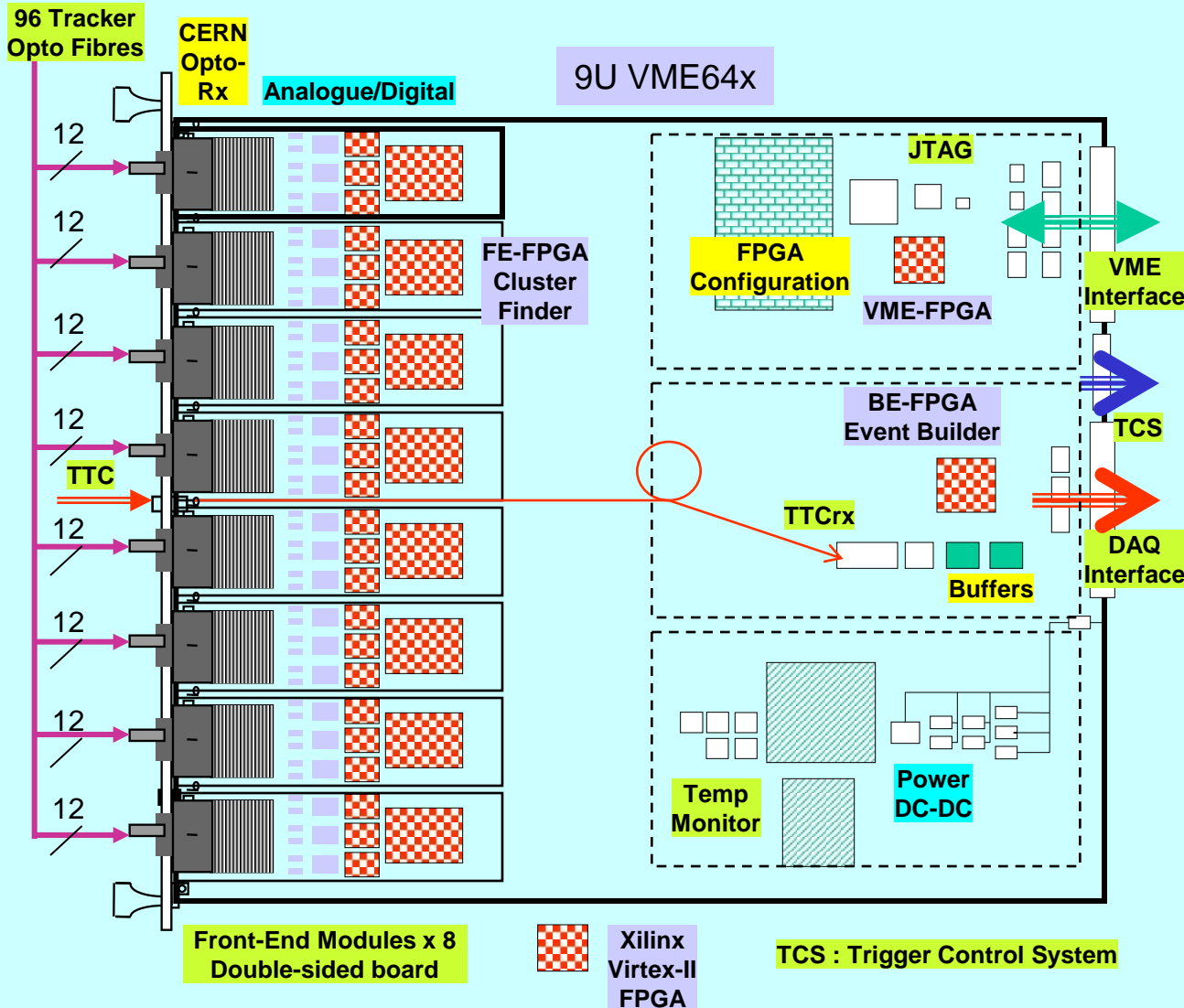
Normal operational bias during irradiation

clocked & triggered

Post irradiation noise change insignificant



# CMS Silicon Strip Tracker Front End Driver



## Data Rates

9U VME64x Form Factor

Modularity matched Opto Links

Analogue: 96 ADC channels (10-bit @ 40 MHz )

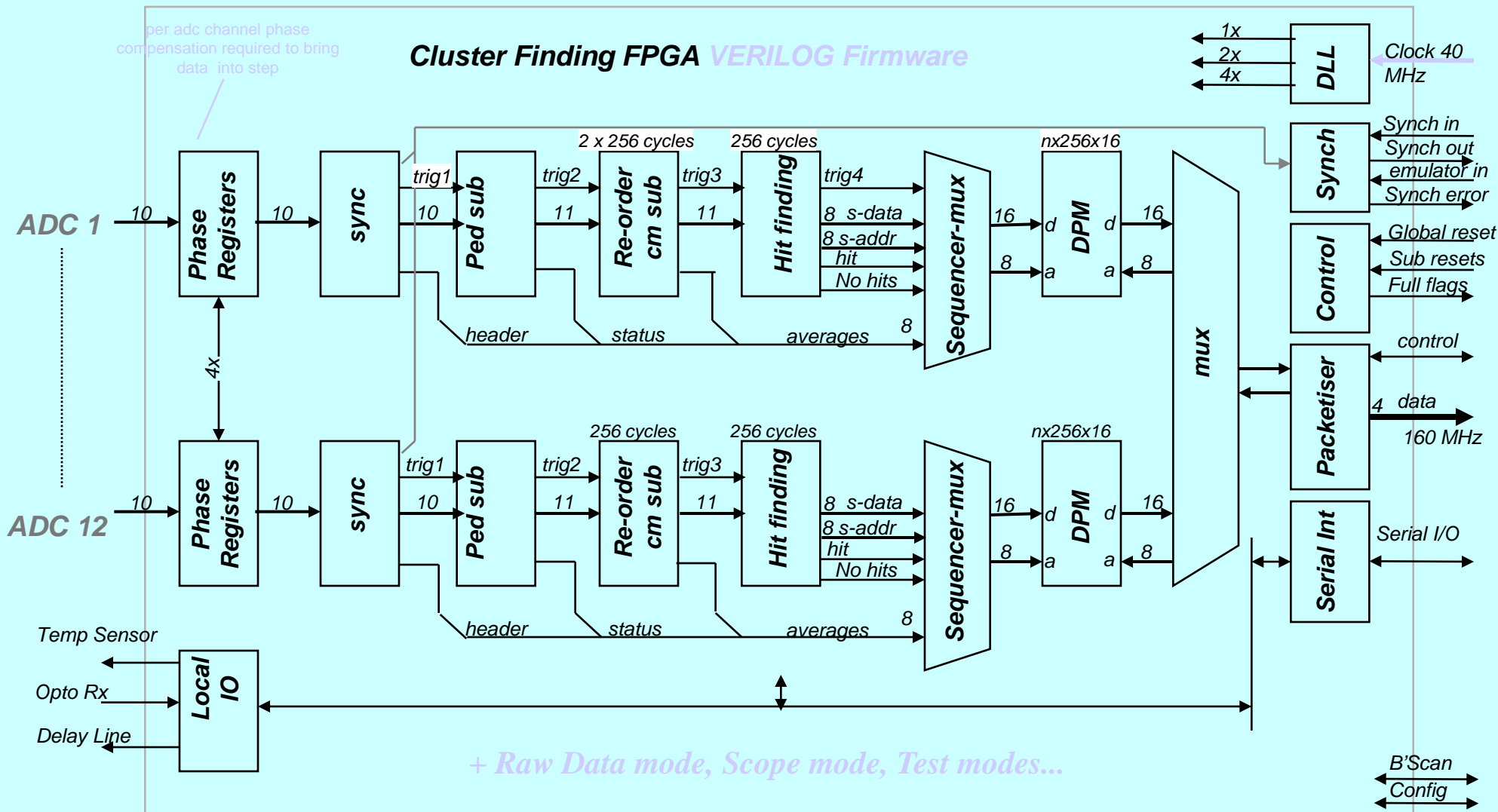
@ L1 Trigger : processes 25K MUXed silicon strips / FED

Raw Input: 3 Gbytes/sec\*  
after Zero Suppression...

DAQ Output: ~ 200 MBytes/sec

~440 FEDs required for entire SST Readout System

\*(@ L1 max rate = 100 kHz)



# The CMS Tracking Strategy

- Rely on "few" measurement layers, each able to provide robust (clean) and precise coordinate determination

2-3 Silicon Pixel  
10 - 14 Silicon Strip Layers

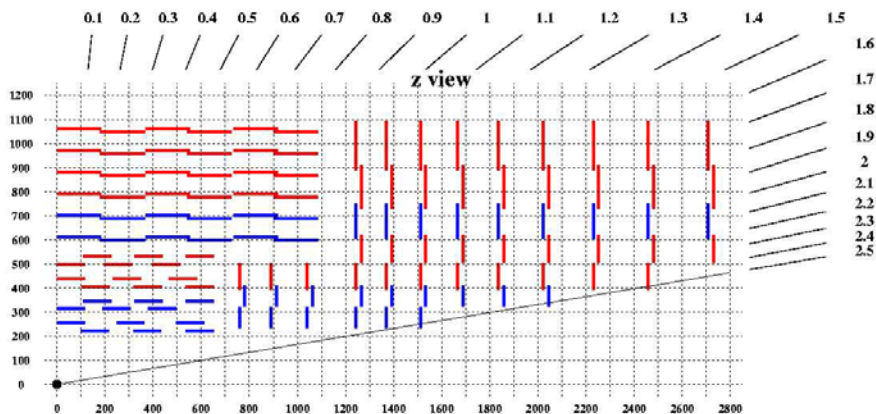


Number of hits by tracks:  
Total number of hits  
Double-side hits  
Double-side hits in thin detectors  
Double-side hits in thick detectors

Radius  $\sim 110\text{cm}$ , Length/2  $\sim 270\text{cm}$

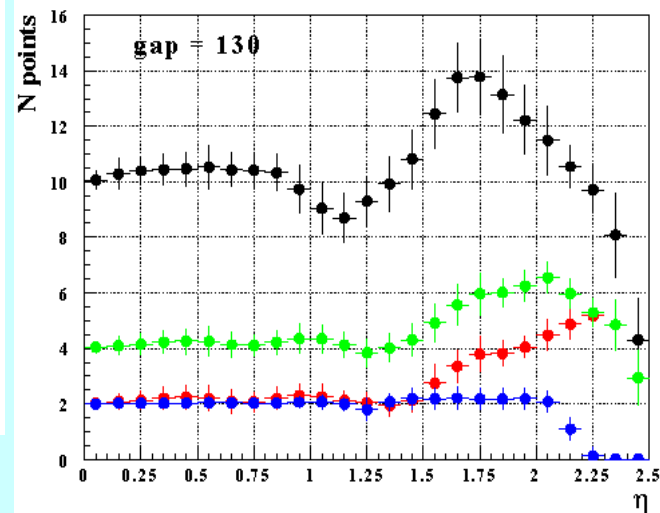
6 layers  
TOB

4 layers  
TIB

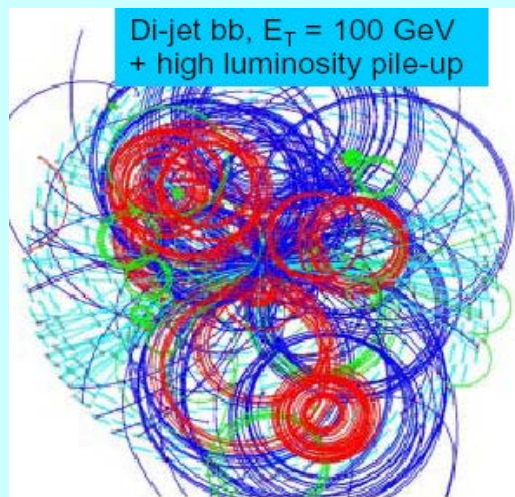


3 disks TID

9 disks TEC

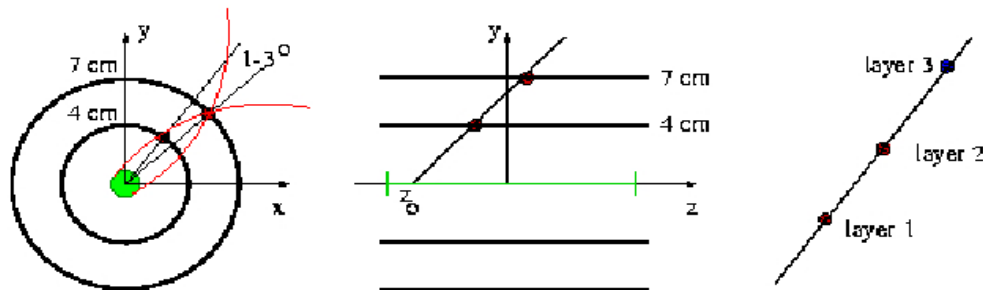


# Vertex Reconstruction



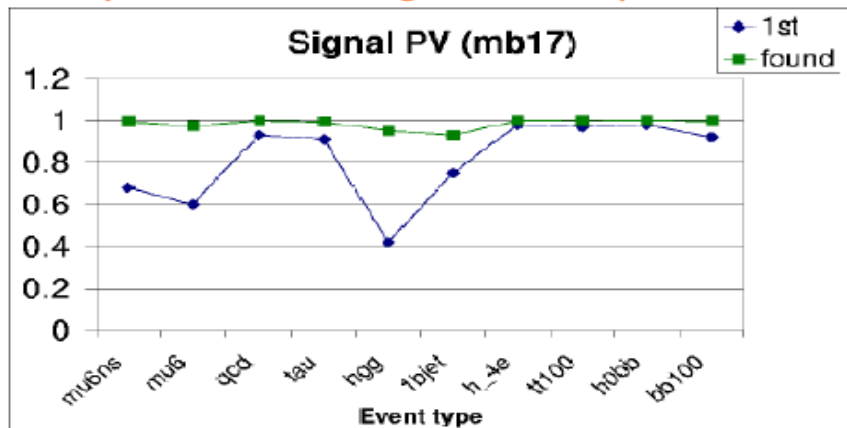
Primary vertices: use pixels!

## Simple algorithm using pixel detector



1. Match hit pairs from 1<sup>st</sup> two layers (barrel & endcaps) in R- $\phi$  and z-R  
 • constraints from minimal  $p_T$ , maximal  $d_0$
2. Valid pairs are matched with hit in 3<sup>rd</sup> layer  $\rightarrow$  track candidates
3. Establish primary vertex candidates where  $\geq 3$  tracks cross the z-axis
4. Identify most likely "signal" vertex from  $\Sigma p_T$  and number of tracks
5. Erase tracks not pointing to signal vertex

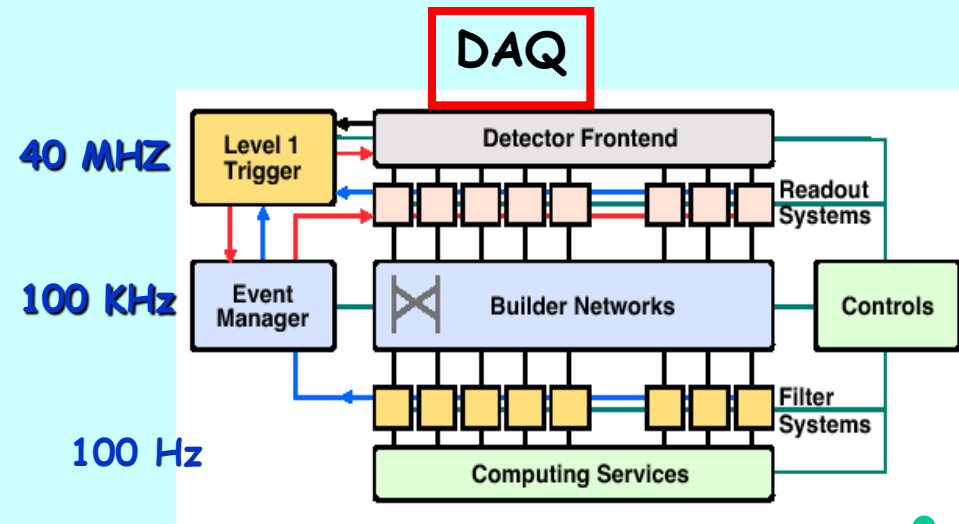
## Primary vertex finding efficiency



**mu6** - di-jets,  $\geq 1$  muon  $p_T > 6$  GeV  
**qcd** - di-jets, jet  $E_T = 60$  GeV  
**tau** -  $h(500) \rightarrow \tau\tau$ , hadronic  $\tau$  decays  
**hgg** -  $H(120) \rightarrow 2 \gamma$   
**h\_4e** -  $H(250) \rightarrow 4$  electrons  
**h0bb** -  $H(100) \rightarrow bb_{\text{bar}}$   
**bb100** - bb jets,  $E_T = 100$  GeV  
**tt100** - tt jets,  $E_T = 100$  GeV  
**1bjet** - tracks from 1 jet from bb100  
 These results: 3 barrel pixel layers, high luminosity

At high luminosity, the trigger primary vertex is found in >95% of the events

# High Level Trigger & Tracker



In **High Level trigger** reconstruction only **0.1%** of the events should survive.

**“How can I kill these events using the least CPU time?”**

This can be interpreted as:

- o The fastest (most approx.) reconstruction
- o The minimal amount of precise reconstruction
- o A mixture of the two

HLT Track finding

Events rejected at HLT are irrecoverably lost!

Same SW would be use in HLT and off-line :

- algorithms should be high quality
- algorithms should be fast enough



## References (updated by P Hobson)

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- N. Ellis & T. Virdee. Experimental Challenges in High Luminosity Collider Physics. *Ann. Rev. Nucl. Part. Sci* 44 (1994) 609-653.
- G.Hall *Modern charged particle detectors* Contemporary Physics 33 (1992) 1-14 & refs therein
  - G.Hall *Semiconductor particle tracking detectors* Reports on Progress in Physics.57 (1994) 481-531
  - A. Schwarz 1993 *Heavy Flavour Physics at Colliders with silicon strip vertex detectors*. Physics Reports 238 (1994) 1-133.
  - C. Damerell *Vertex detectors: The state of the art and future prospects*. Rutherford Appleton Laboratory report RAL-P-95-008 *A pdf version is available on the CERN library Web site.(Search preprints)*
  - *The CMS experiment at the CERN LHC*, Journal of Instrumentation, **3** (2008) S08004
  - *Performance studies of the CMS Strip Tracker before installation* , Journal of Instrumentation, **4** (2009) P06009
  - *Stand-alone cosmic muon reconstruction before installation of the CMS silicon strip tracker* , Journal of Instrumentation, **4** (2009) P05004

# How does it perform at the LHC?



## CMS Tracking Performance Results from Early LHC Operation

The CMS Collaboration\*

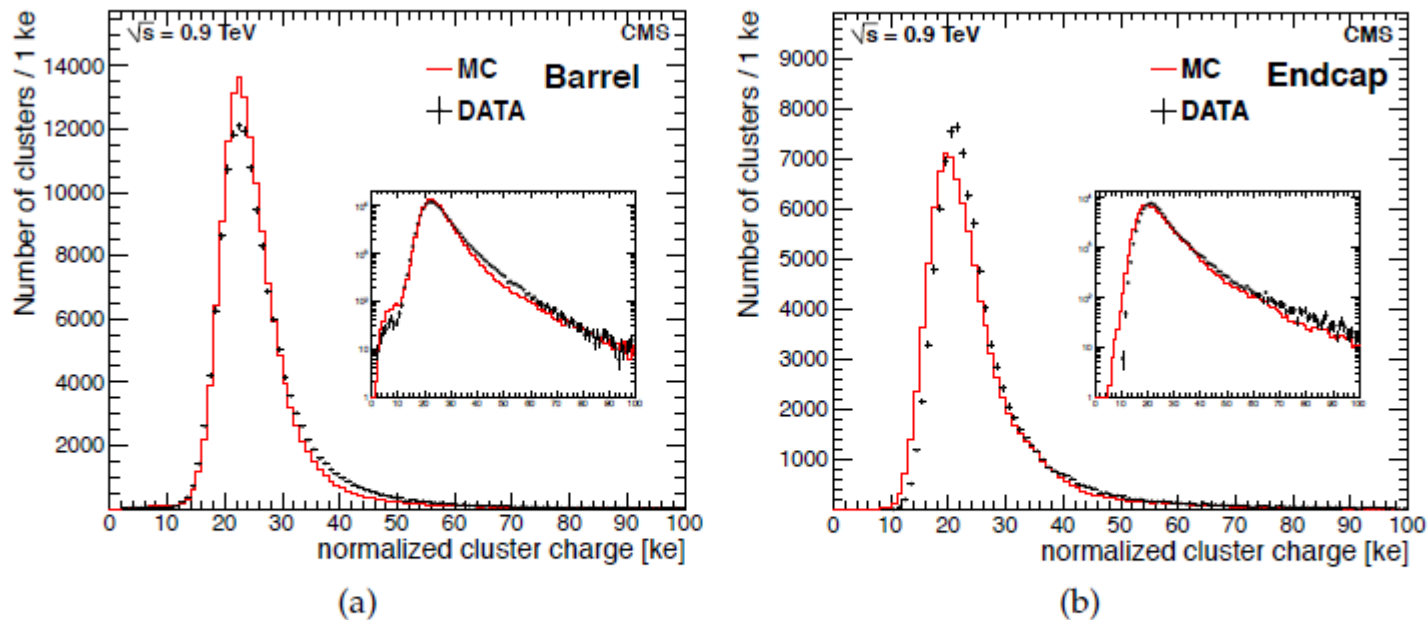


Figure 4: The normalized cluster charge measured in the (a) barrel and (b) endcap pixel detectors for the sample of 0.9 TeV minimum bias events. The insets show the same distributions on semi-log scales.

## How does it perform at the LHC?

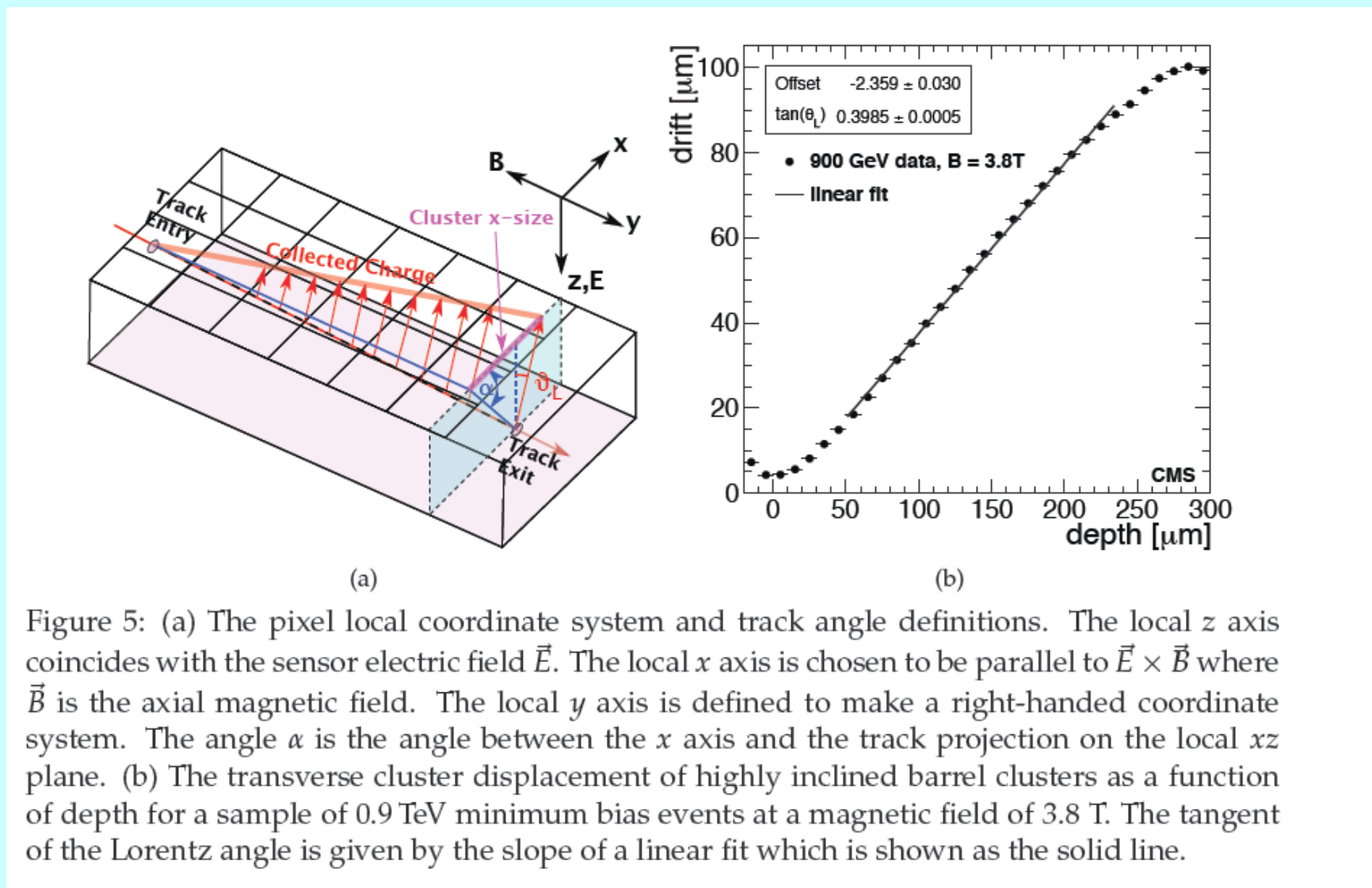


Figure 5: (a) The pixel local coordinate system and track angle definitions. The local  $z$  axis coincides with the sensor electric field  $\vec{E}$ . The local  $x$  axis is chosen to be parallel to  $\vec{E} \times \vec{B}$  where  $\vec{B}$  is the axial magnetic field. The local  $y$  axis is defined to make a right-handed coordinate system. The angle  $\alpha$  is the angle between the  $x$  axis and the track projection on the local  $xz$  plane. (b) The transverse cluster displacement of highly inclined barrel clusters as a function of depth for a sample of 0.9 TeV minimum bias events at a magnetic field of 3.8 T. The tangent of the Lorentz angle is given by the slope of a linear fit which is shown as the solid line.

## How does it perform at the LHC?

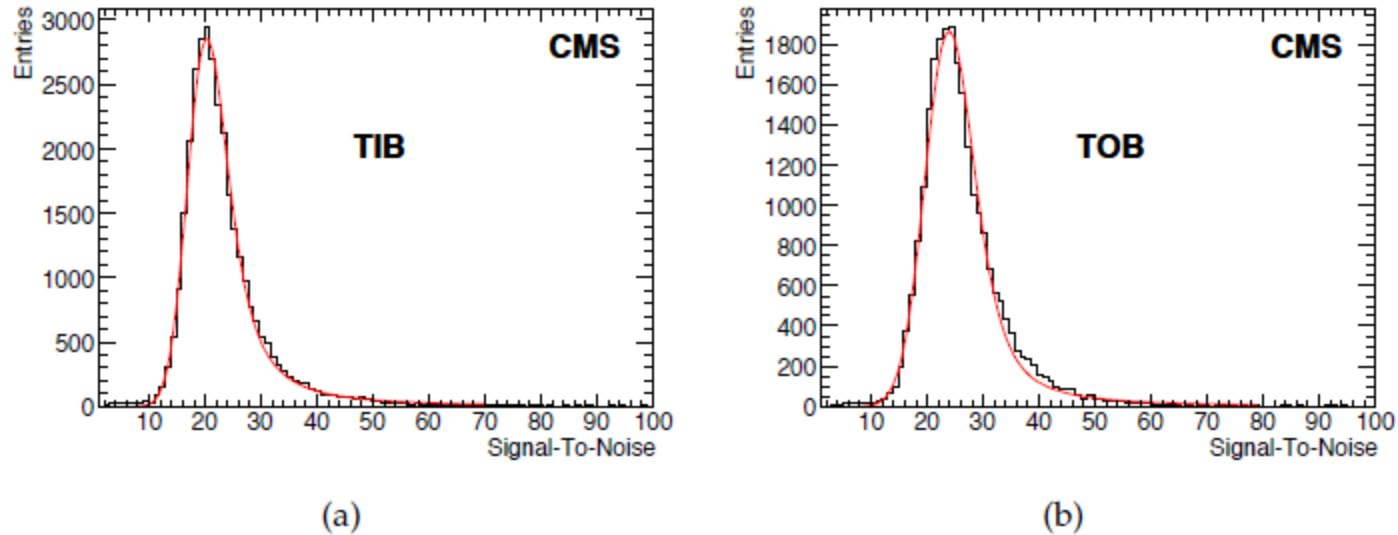


Figure 7: Signal-to-Noise distributions in deconvolution mode for (a) (thin sensor) TIB and (b) (thick sensor) TOB modules. The curves are results of the fits to a Landau distribution convoluted with a Gaussian distribution.

## How does it perform at the LHC?

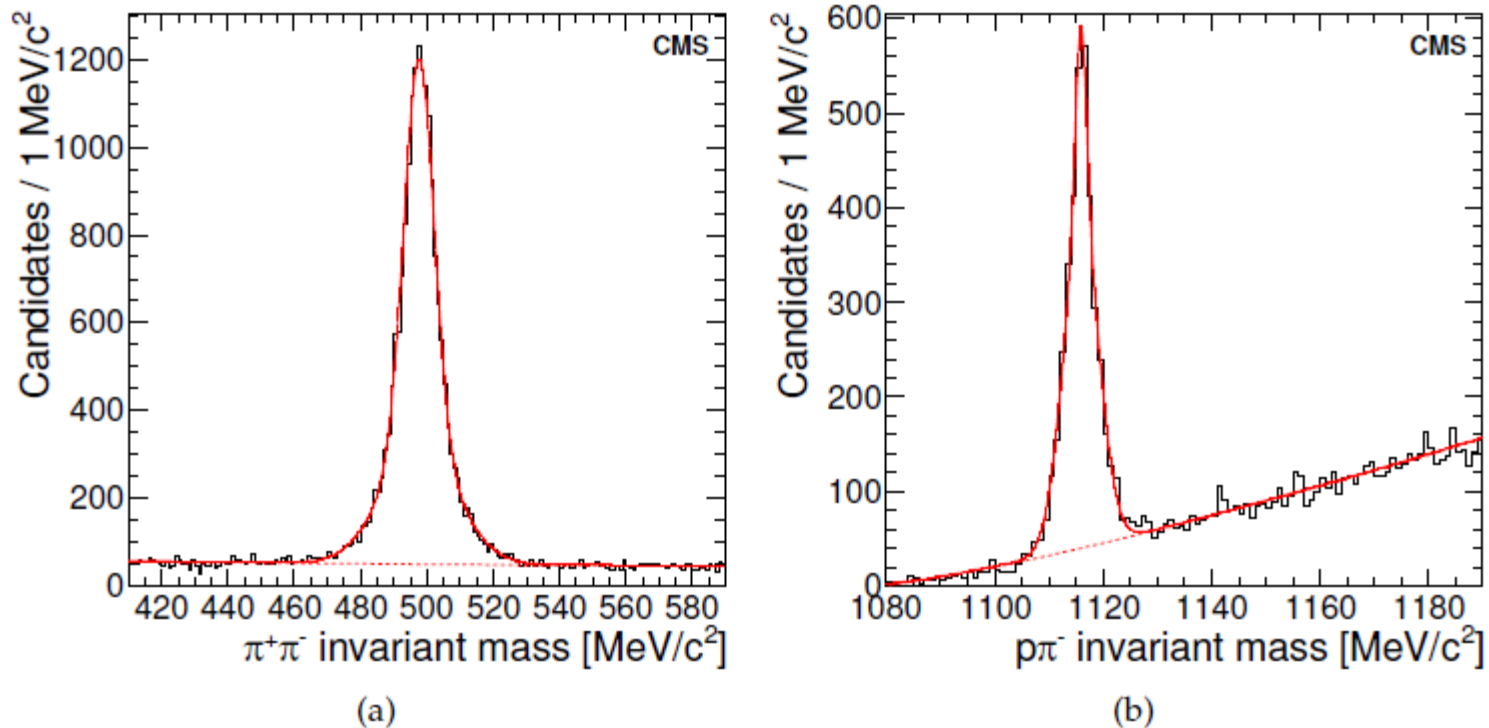
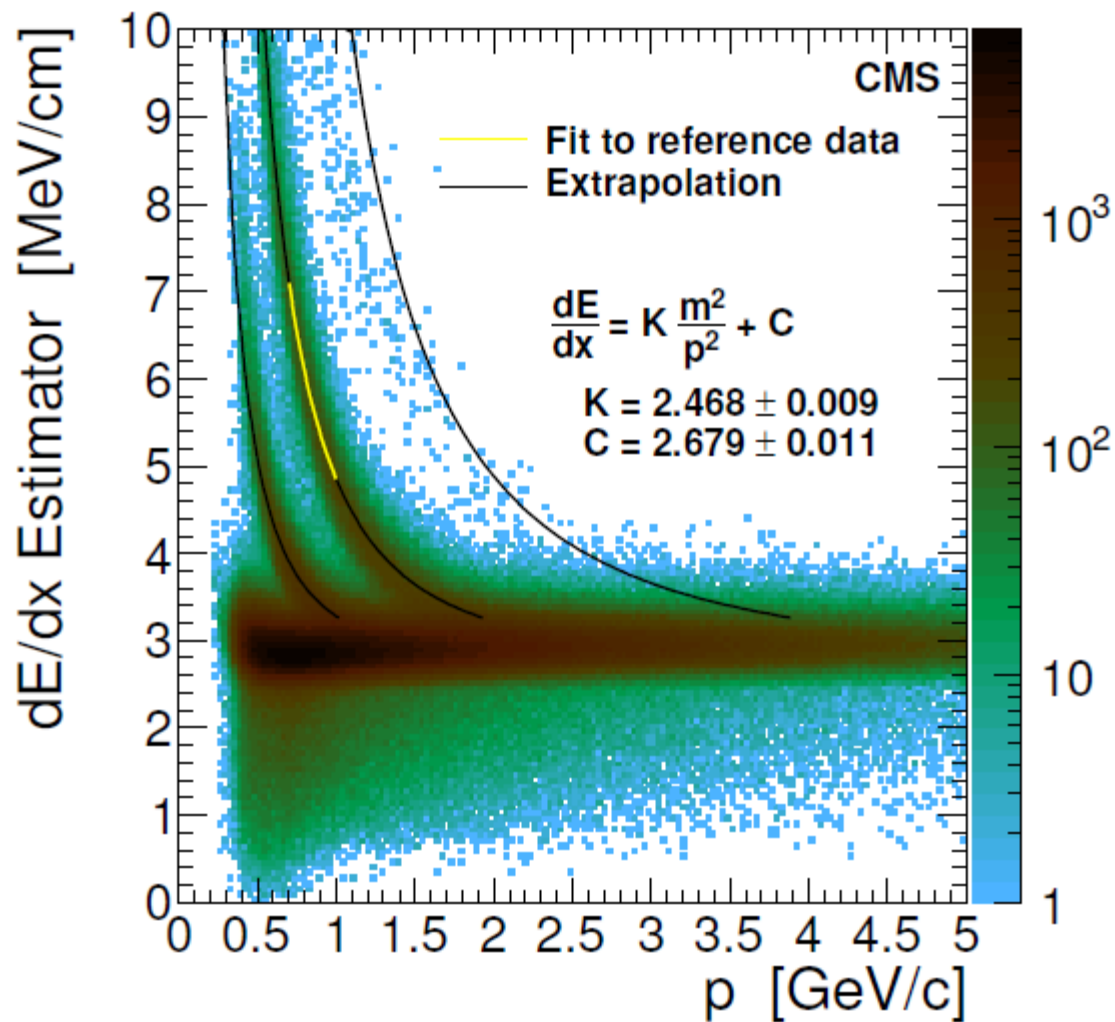


Figure 11: The invariant mass distributions of (a)  $\pi^+\pi^-$  with a fit to the  $K_S^0$  and (b)  $p\pi^-$  with a fit to the  $\Lambda^0$ .

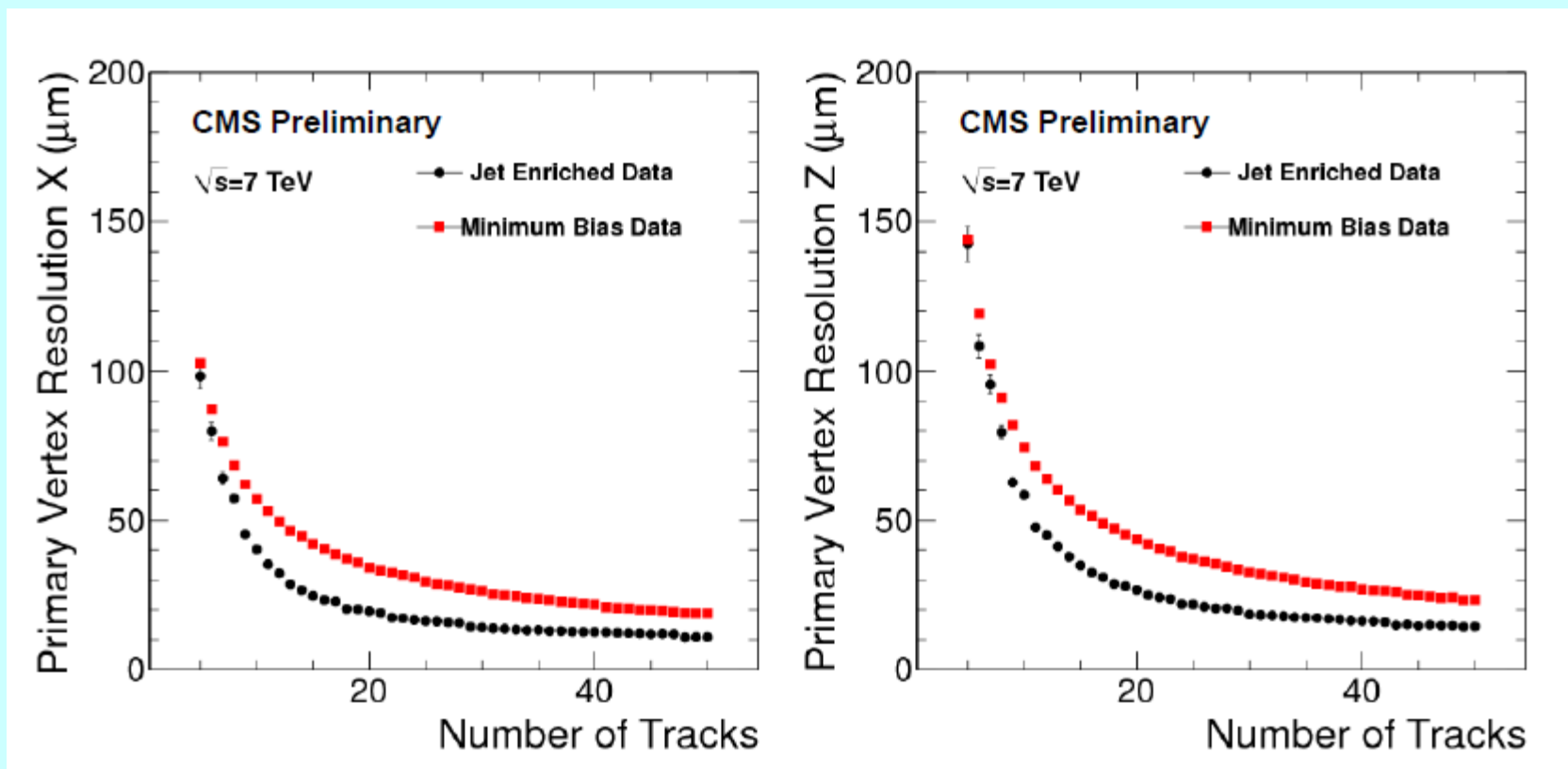
## How does it perform at the LHC?



**Kaons,  
Protons,  
Deuterons**

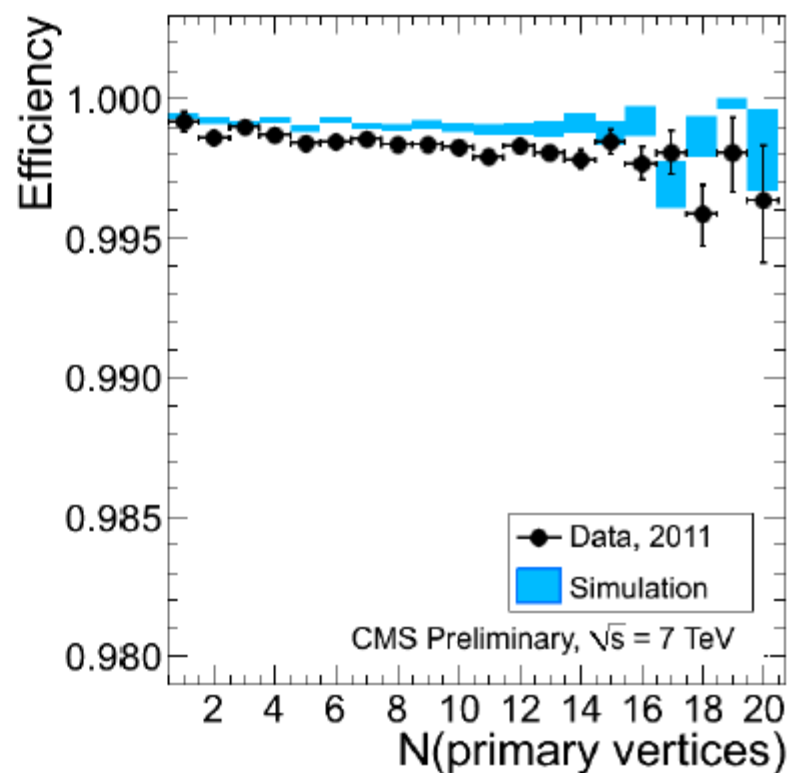
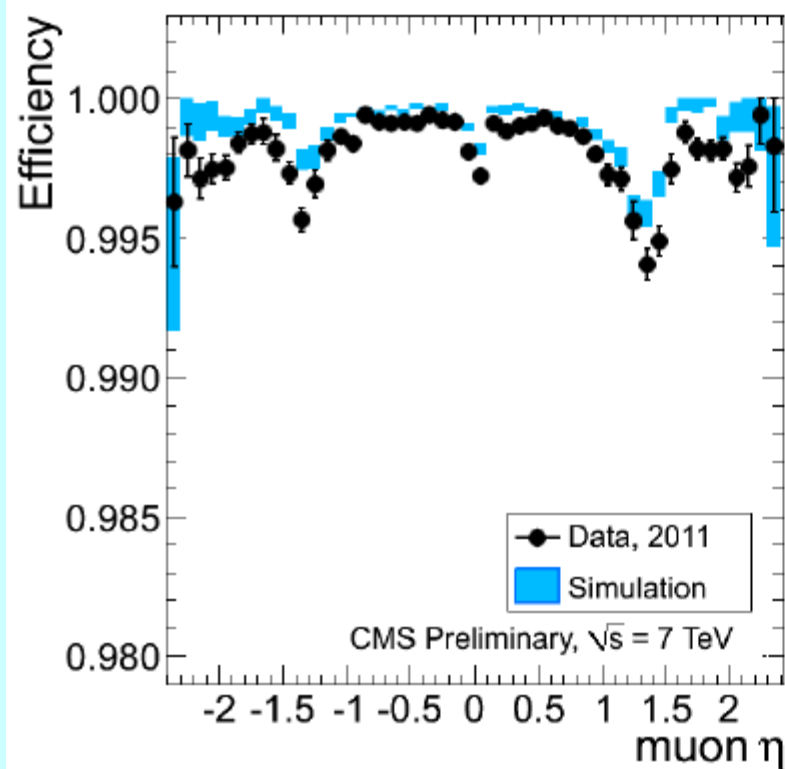
# Published results

- VERTEX 2012



# Efficiency

## $Z \rightarrow \mu\mu$ tag and probe

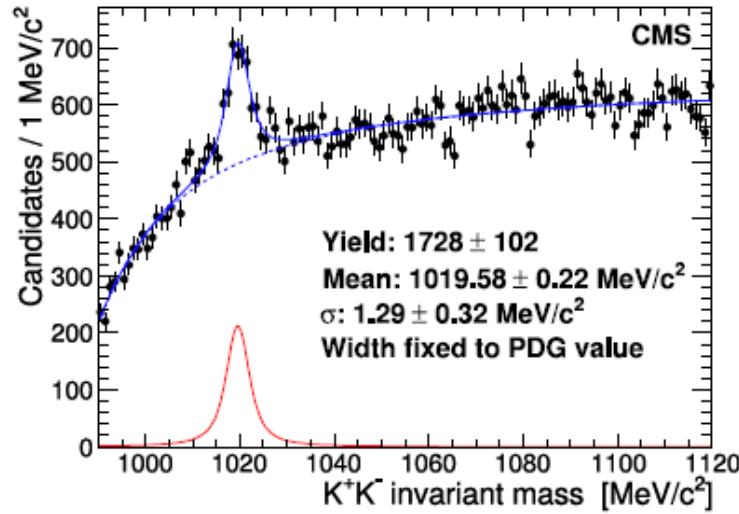




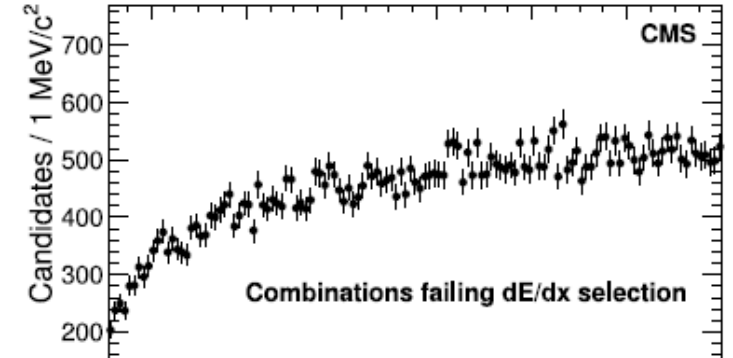
# dE/dx

- Using dE/dx data to fit the KK invariant mass distribution to detect the  $\phi(1020)$ .

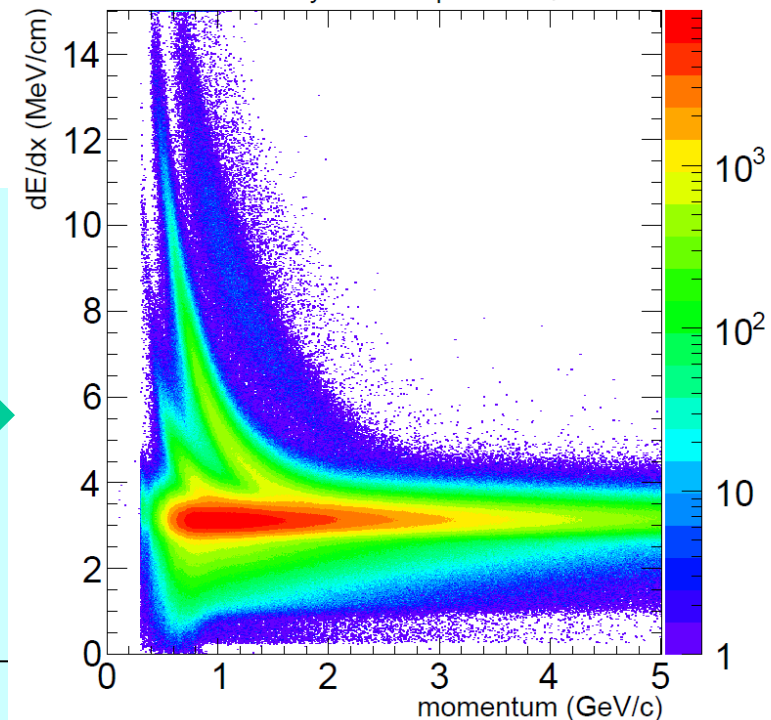
Fig. 15  $K^+K^-$  invariant mass distribution, with (a) both kaons satisfying the  $dE/dx$  requirement and with (b) at least one particle failing that requirement. In (a) a fit to the  $\phi(1020)$  hypothesis is shown



(a)



CMS Preliminary - 2.74  $\text{pb}^{-1}$  -  $\sqrt{s} = 13 \text{ TeV}$

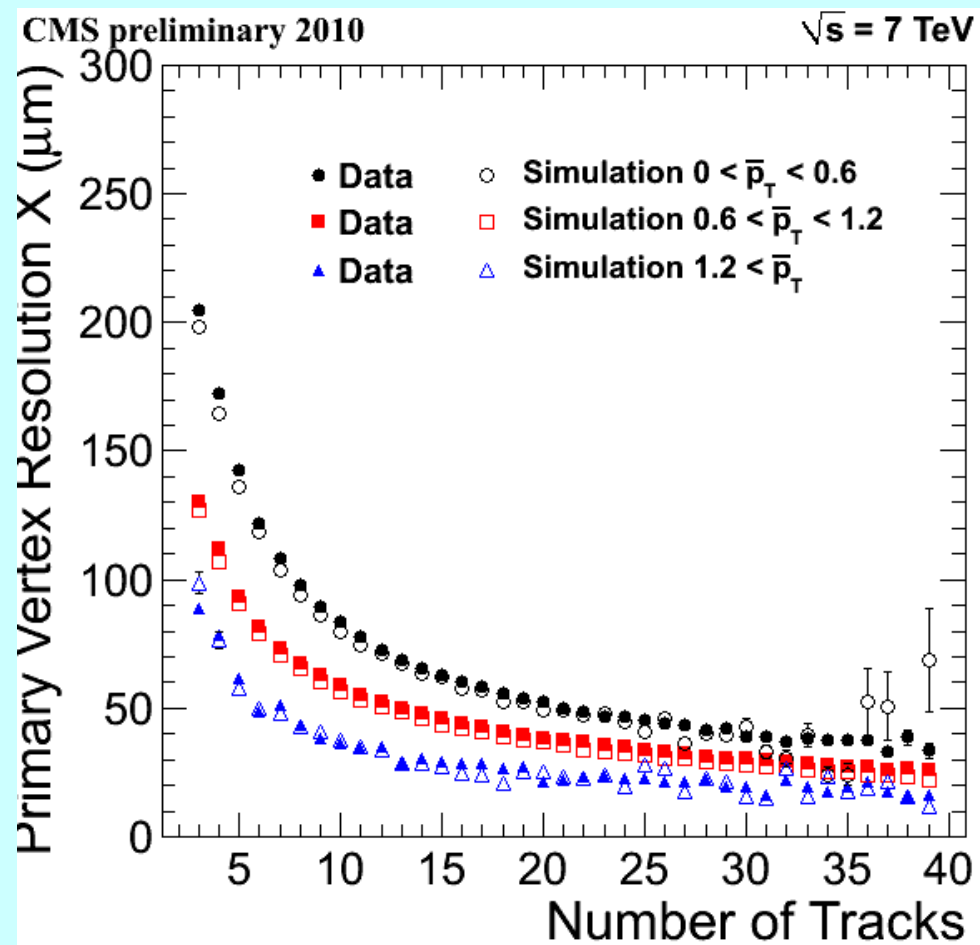
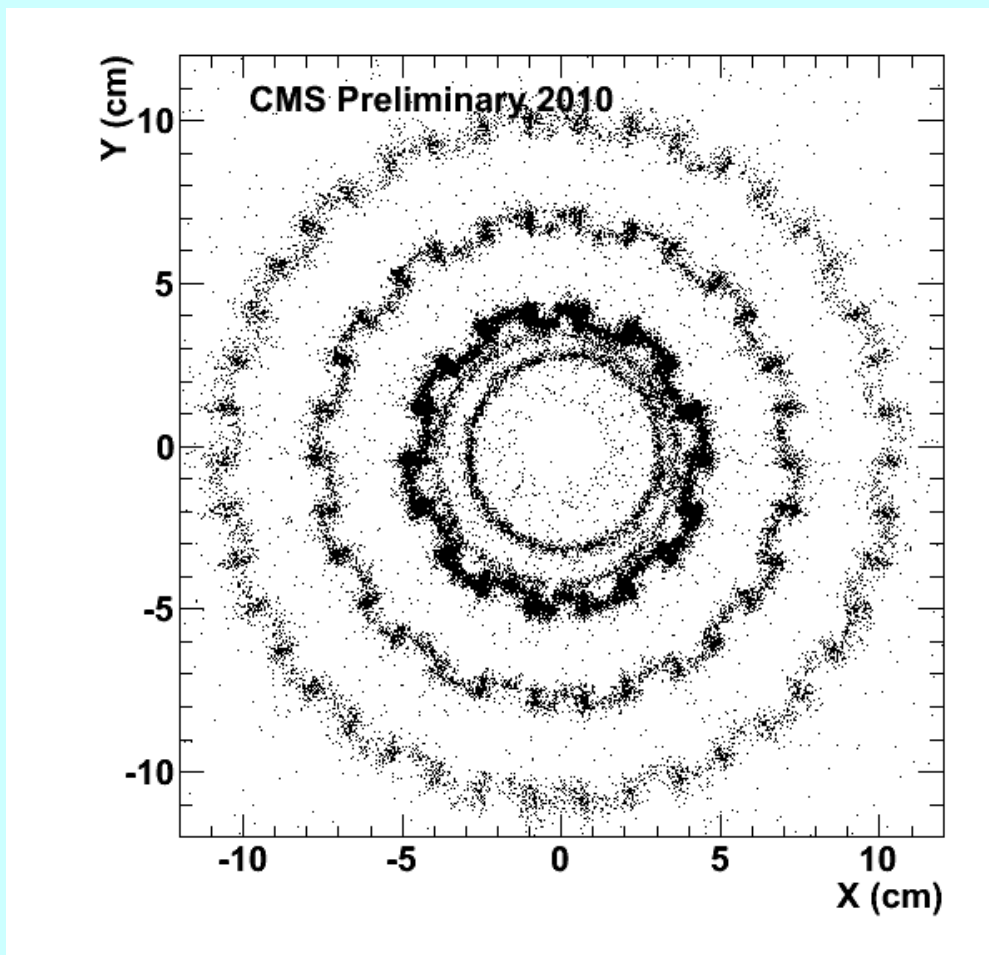


13 TeV data

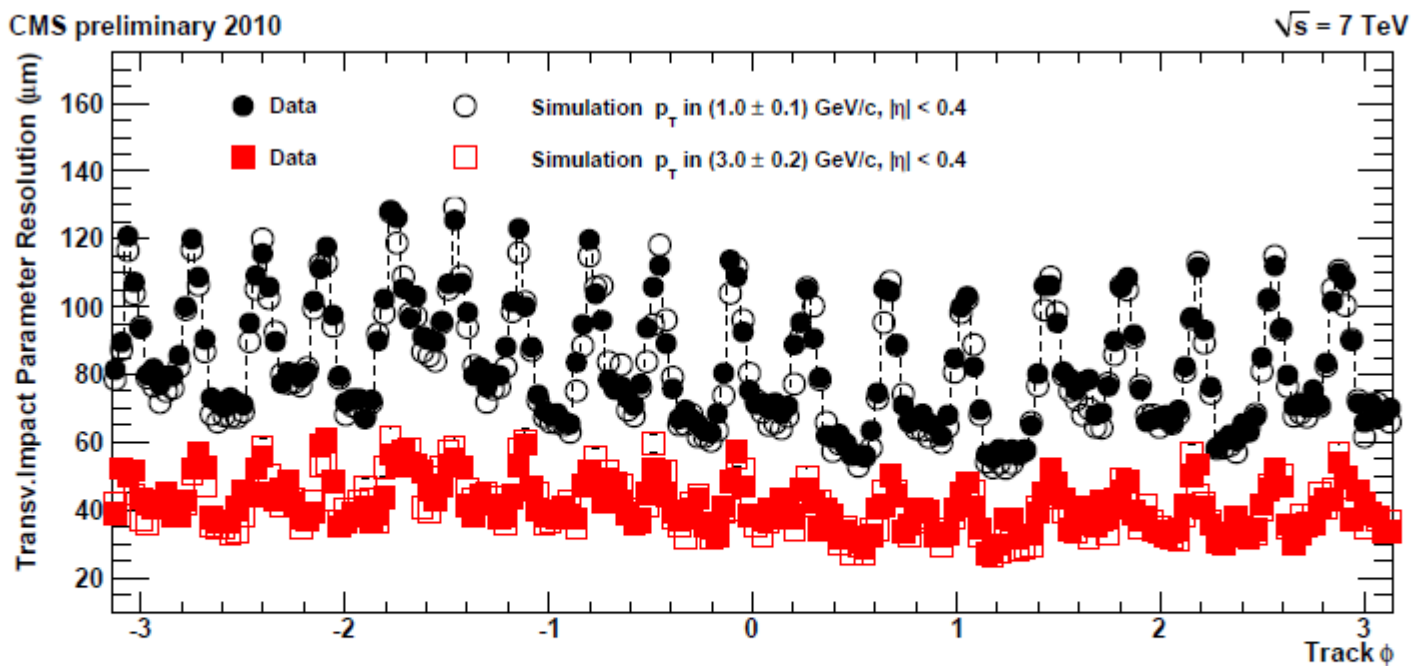


# Photon conversions in the pixel layers

- Reconstructed photon conversions (photon "radiography")

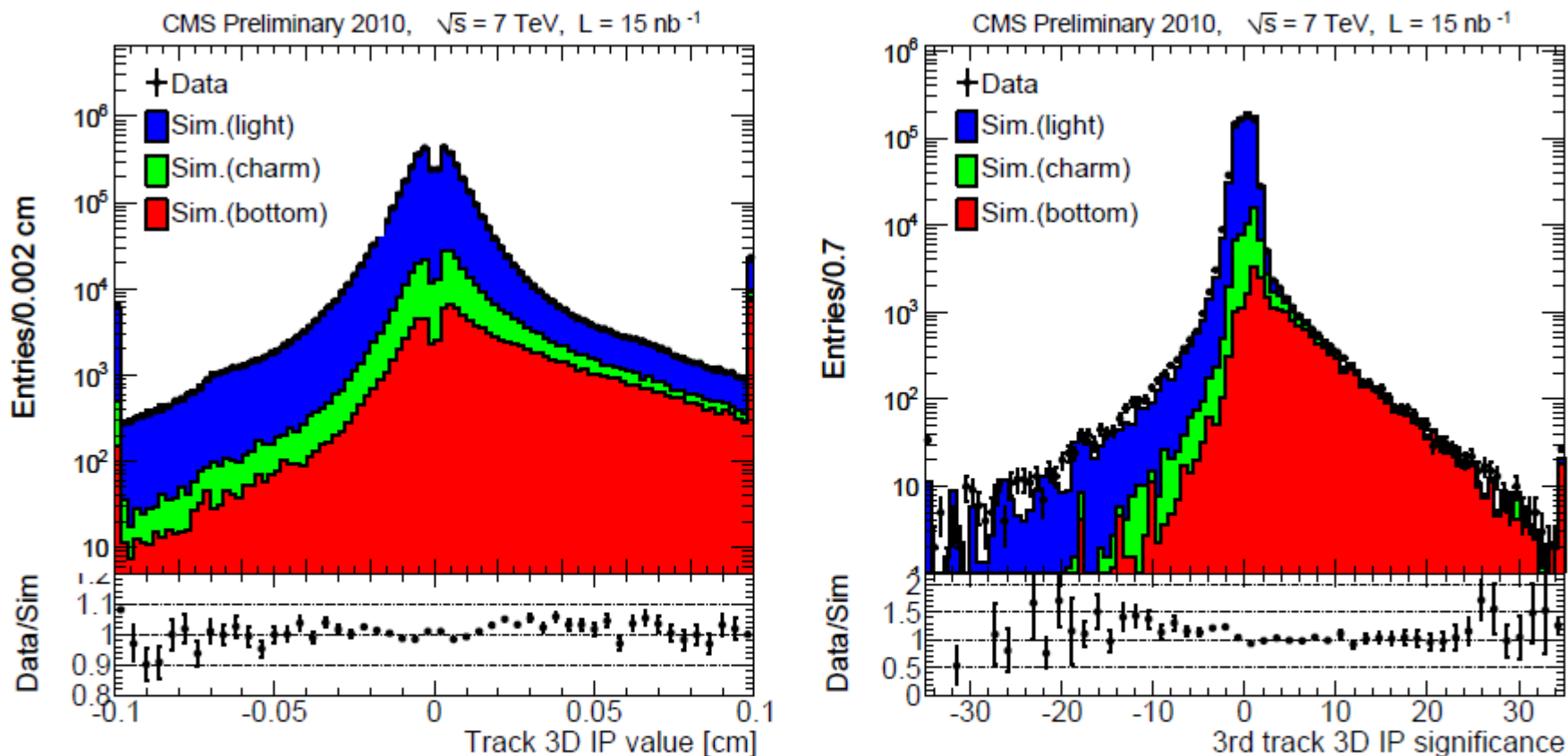


# Finding the cooling pipes!



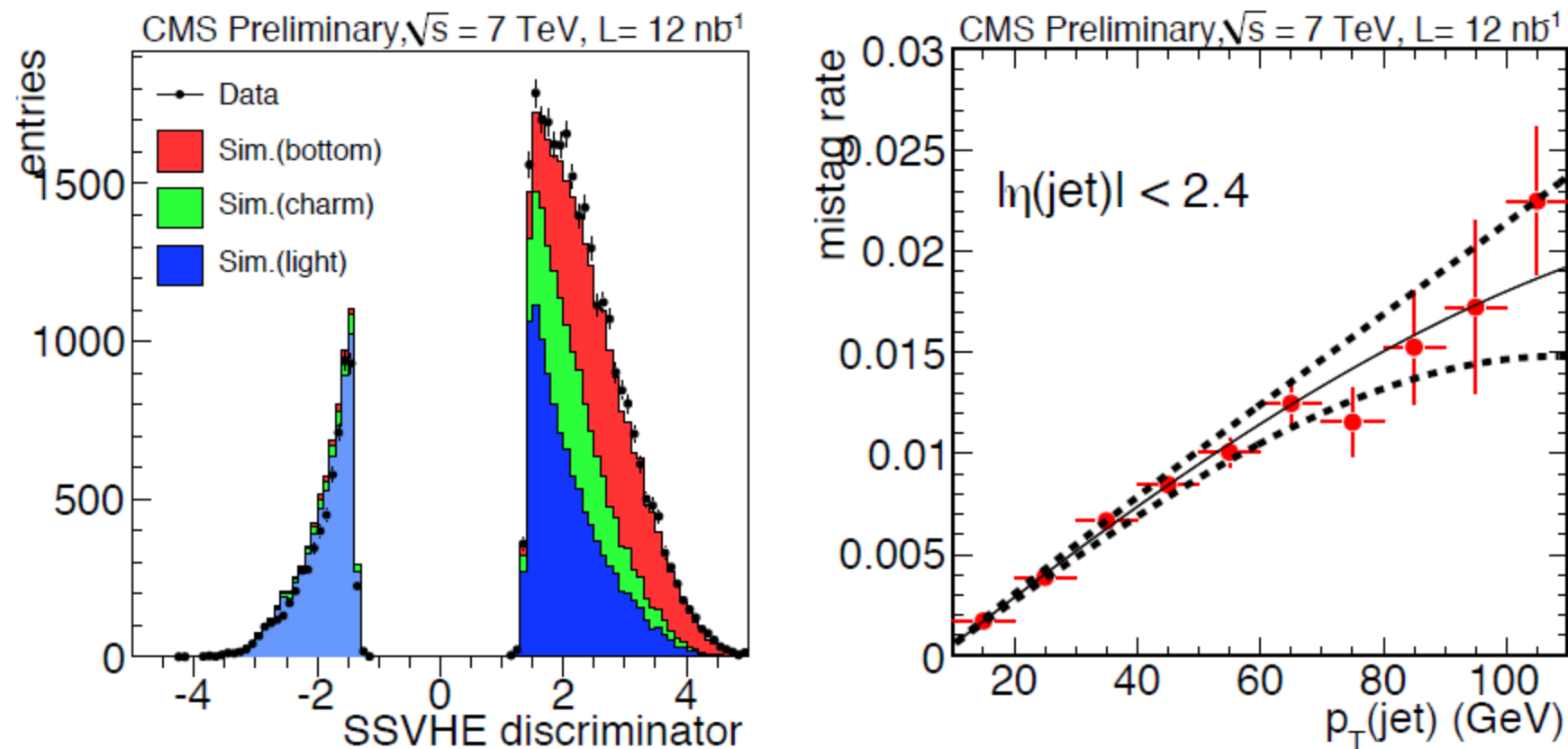
**Figure 2:** Resolution of the transverse impact parameter depending on the azimuthal angle  $\phi$  for two different track  $p_T$  ranges. The “oscillating” structure is due to the cooling pipes of the inner layer of the pixel detector.

# IP within jets



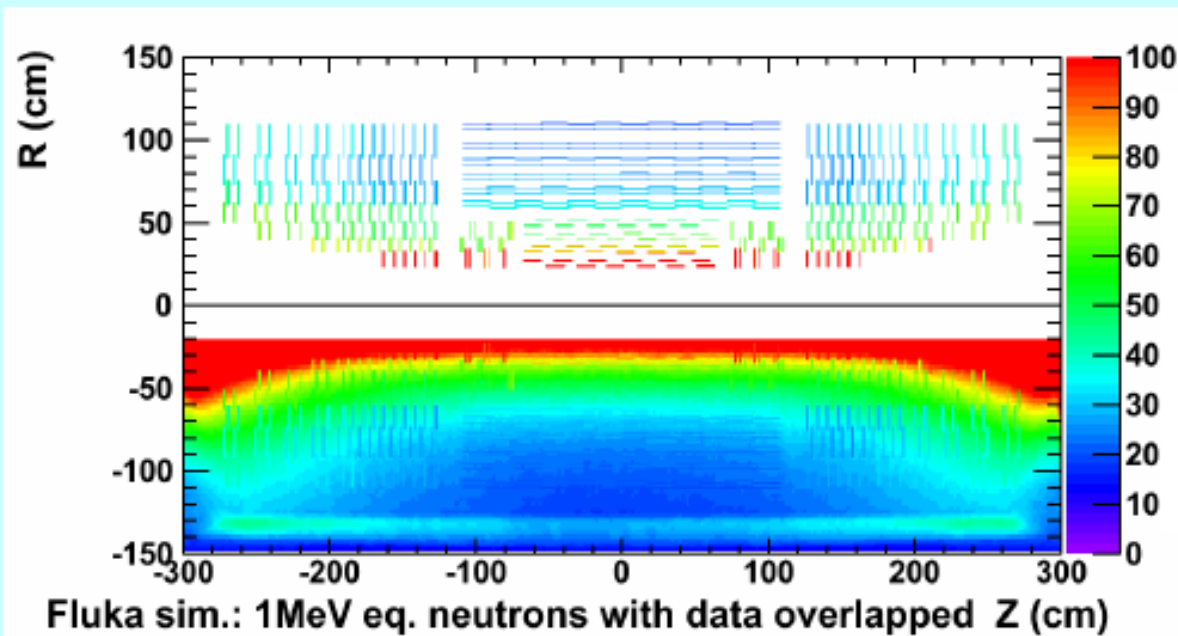
**Figure 3:** Left: impact parameter value of all selected tracks in a jet. Right: impact parameter significance of the third track in a jet (ordered by IP significance). The Monte Carlo simulation of light, charm and b-jets is shown in blue, green and red, while the data are represented as black markers.[8]

# Secondary vertex b-tagging



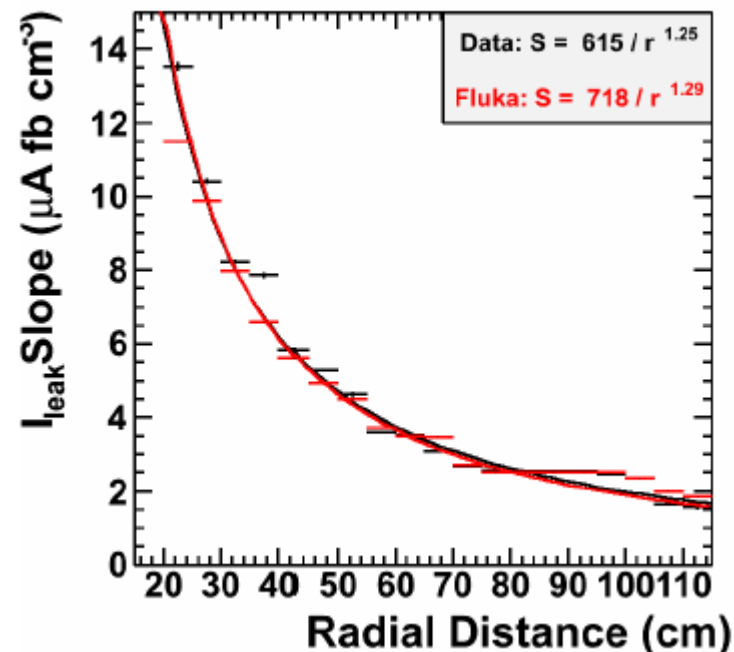
**Figure 5:** Left: discriminator of the secondary vertex b-tagging algorithm for negative and positive tags. Right: light flavour mistag rate as measured by the negative tag method depending on transverse jet momentum  $p_t$  for the secondary vertex b-tagging algorithm.

# Leakage Currents in Strips



Leakage current (top) and simulated 1 MeV neutron equivalent dose (bottom)

## Leakage current vs radius



## Even more from CMS ...

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From *JINST* **9** (2014) P1009

<https://cms-results.web.cern.ch/cms-results/public-results/publications/TRK-11-001/index.html>

Tracker performance plots (public)

<https://twiki.cern.ch/twiki/bin/view/CMSPublic/DPGResultsTRK>