

A heuristic for Euclidean and rectilinear Steiner problems

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Abstract: In this paper we present a heuristic for Euclidean and rectilinear Steiner problems. This heuristic is based upon finding optimal Steiner solutions for connected subgraphs of the minimal spanning tree of the entire vertex set. Computational results are given for randomly generated problems involving up to 10000 vertices.

Keywords: Euclidean, rectilinear, Steiner, heuristic

1. Introduction

Let i and j be any two points in the Euclidean plane and let their coordinates be (x_i, y_i) and (x_j, y_j) , respectively. Define the cost of the edge connecting i and j together to be equal to the Euclidean distance $[(x_i - x_j)^2 + (y_i - y_j)^2]^{1/2}$ between i and j . Then if V is a set of n points (vertices) in the plane, the Euclidean Steiner problem is the problem of connecting together the vertices in V so as to minimise the total cost of the edges used. It is well-known that the solution to this problem will be the minimal spanning tree (MST) on some set of vertices $V \cup S$ where S is called the set of Steiner vertices.

The rectilinear Steiner problem is the same as the Euclidean Steiner problem except that the cost of the edge connecting any two points i and j together is given by the rectilinear distance $|x_i - x_j| + |y_i - y_j|$.

Both of these problems are NP-complete [8,9]. They have received a fair amount of attention in the literature and many algorithms, both heuristic and optimal, have been proposed.

Since a comprehensive survey of work relating to Euclidean and rectilinear Steiner problems has recently been given by Hwang and Richards [18] we shall not give a complete literature survey here but instead concentrate upon heuristic algo-

rithms and upon work which reports computational experience. The reader interested in a more complete description of the work that has been done on Euclidean and rectilinear Steiner problems is referred to [18].

1.1. Euclidean problems

Optimal solution algorithms for the Euclidean Steiner problem have been presented by Boyce and Seery [4], Cockayne and Schiller [7], Winter [34] and Cockayne and Hewgill [6].

These algorithms work by examining 'topologies' (a topology being a set of vertices and their associated edges) corresponding to full Steiner trees (FST's). An FST is a Steiner tree containing $s + 2$ original vertices, each with degree one, and s Steiner vertices, each with degree three. Pictures of FST's for $s = 0, 1, \dots, 7$ can be found in Gilbert and Pollak [10]. Optimal solution algorithms can only solve problems involving up to 30 vertices.

Chang [5] presented an early heuristic algorithm based upon inserting vertices into the MST in order to reduce the cost of the tree. This is a natural approach and has been used in many algorithms (e.g. Korhonen [19] and Smith and Liebman [31]). Smith, Lee and Liebman [30] presented an algorithm based upon Voronoi dia-

grams and Delaunay triangulations. Lundy [22] presented an algorithm based upon simulated annealing.

1.2. Rectilinear problems

The only optimal algorithm for the rectilinear Steiner problem that has been presented in the literature is due to Yang and Wing [35,37,38]. This algorithm can only solve very small problems involving up to 10 vertices.

Yang and Wing [35–38] also presented a heuristic algorithm based upon branch and bound. Lee, Bose and Hwang [21] presented an algorithm similar to the Prim [24] algorithm for the MST (see also Hwang [15]). Smith and Liebman [31] and Smith, Lee and Liebman [29] presented algorithms for the rectilinear problem similar to their algorithms [30,31] for the Euclidean problem.

Servit [26] investigated the performance of eight simple heuristics on example problems drawn from the design of printed circuit boards. Hsu, Pan and Kubitz [14] presented algorithms based upon the Prim [24] and Kruskal [20] algorithms for the MST. Basart and Huguet [1] presented an algorithm based upon dividing the rectilinear plane into two. Richards [25] presented an efficient implementation of an algorithm due to Hanan [11]. Ho, Vijayan and Wong [13] presented an algorithm based upon transforming the rectilinear MST into a rectilinear Steiner tree.

2. Heuristic

In this section we present the heuristic algorithm we have developed and discuss its application to Euclidean and rectilinear Steiner problems.

Essentially the heuristic considers all connected subgraphs of the MST of V which contain four vertices, finds the optimal Steiner tree for each such subgraph and adds to V selected Steiner vertices from these subgraphs. The details are as follows:

(1) Let $T(K)$ represent the cost of connecting a set K of vertices together via their MST and let $T_S(K)$ represent the cost of connecting the same set of vertices together via their *optimal* Steiner

tree. Let V_0 be the initial vertex set and let t be an iteration counter. Set $V = V_0$ and $t = 0$.

(2) Find the MST of V . This can be easily accomplished, e.g. using [24] or using [27,28] for Euclidean problems and [16] for rectilinear problems. Update the iteration counter using $t = t + 1$.

(3) Define:

$$L = \{[p, q, r, s] \mid p, q, r, s \in V; p, q, r, s \text{ all distinct vertices and } [p, q, r, s] \text{ constitutes a connected subgraph with respect to the MST of } V\}.$$

The set L is easily found since it is trivial to show that to enumerate L we need only consider those vertex sets $[p, q, r, s]$ for which we have either:

(a) a path in the MST consisting of edges $p - q, q - r$ and $r - s$; or

(b) a ‘star’ configuration in the MST centred on p and consisting of edges $p - q, p - r$ and $p - s$.

(4) For all vertex sets $K \in L$ calculate the reduction in cost (if any) which occurs when the vertices in K are connected via their optimal Steiner tree. This reduction is given by $R(K) = T(K) - T_S(K)$ where $R(K) \geq 0$.

(5) If $\max[R(K) \mid K \in L] = 0$, then we have no vertex set that we can use to reduce the cost of the MST of V , so stop where, if V_F is the final vertex set, the cost of connecting together the vertices in V_0 has been reduced (in t iterations) from $T(V_0)$ to $T(V_F)$ via introduction of the Steiner vertices in $V_F - V_0$.

(6) Let M be a set of vertices where initially, at each iteration t , M is empty. Sort the vertex sets $K \in L$ into descending $R(K)$ order and run down this list where, for each such K , if $|M \cap K| = 0$ and $R(K) > 0$, we:

(a) add the Steiner vertices associated with $T_S(K)$ to V ; and

(b) set $M = M \cup K$ (i.e. add the vertices in K to M).

Informally we are adding Steiner vertices associated with the maximum reduction we can find provided that we have no possibility of ‘interfering’ with previously added Steiner vertices.

(7) Find the MST of V and let E be the set of edges associated with this MST. If there exist any vertices $i \in V - V_0$ which have degree ≤ 2 with respect to E , then remove these vertices from V (since plainly we reduce, or leave unchanged, the

cost of the MST by so doing) and adjust E accordingly.

(8) For each vertex $i \in V - V_0$ which has degree three with respect to E , move this vertex to its optimal Steiner location (which is easily found) and repeat this step until there is no further reduction in the cost of the tree based on the edge set E .

(9) If the problem is a Euclidean one then we use the algorithm of Hwang [17] for constructing full Steiner trees (FST's) in an attempt to improve the solution. This can be done as follows:

Find the MST of V and for each subtree (vertex set V^* , cost C^*) of this MST which has a topology appropriate to a FST:

(a) apply the algorithm of Hwang [17] to find the FST for the subtree;

(b) if the cost of this FST is less than C^* , move the vertices in $V^* - V_0$ to the locations given by the FST;

(c) if the cost of this FST is greater than C^* , then improve the current solution (if possible) by removing from V the vertex i corresponding to

$$T(V^* - \{i\}) = \min [T(V^* - \{j\}) \mid j \in V^* - V_0,$$

$$T(V^* - \{j\}) \leq C^*],$$

i.e. remove the vertex that leads to the lowest-cost subtree after removal.

(10) Go to step (2).

In applying the above heuristic to Euclidean and rectilinear Steiner problems, the only difference lies in the calculation of the optimal Steiner tree $T_S(K)$ where $K = [p, q, r, s]$ consists of four distinct vertices. We consider each problem type in turn.

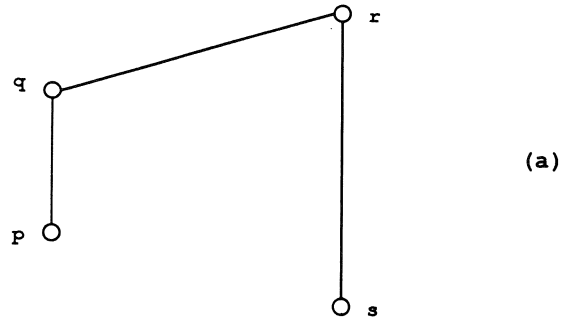
2.1. Euclidean problems

It is well-known (e.g. see [10]) that for Euclidean problems any Steiner vertices in the optimal Steiner tree must have (vertex) degree three with 120 degrees between the edges incident at the Steiner vertex. Then $T_S(K)$ is either:

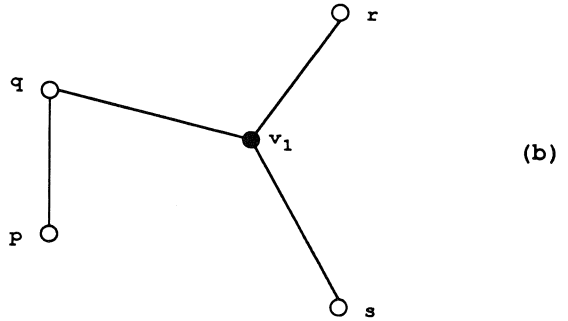
(a) the MST of the vertex set K ; or

(b) one of the four cases where we have three vertices from K joined via a single Steiner vertex (v_1 , say) and the remaining vertex (i , say) connected to its nearest vertex in $K - \{i\}$; or

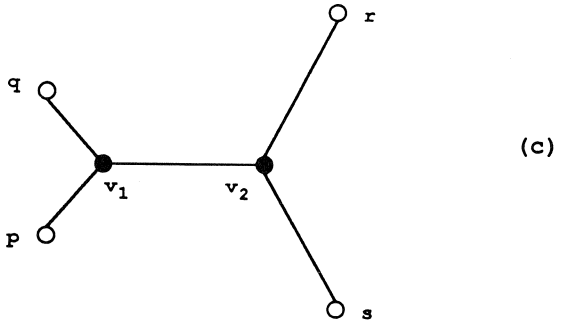
(c) one of the two cases where, ordering the vertices in K such that the four edges $p - q$,



(a)



(b)



(c)

Figure 1

$q - r$, $r - s$ and $s - p$ constitute a quadrilateral whose interior angles add up to 360 degrees, we have p, q, r and s connected via two Steiner vertices (v_1 and v_2 , say).

Figure 1 illustrates this diagrammatically. For a proof of the fact that (a), (b) and (c) above are sufficient to define the *optimal* Steiner tree for four vertices, see Gilbert and Pollak [10]. Note here that, depending upon the position of p, q, r and s , some of the cases in (b) and (c) above may be redundant (i.e. it may not be possible to find Steiner vertices).

In order to locate the single Steiner vertex associated with three vertices some simple formulae are used (e.g. see Thompson [33]). To locate the two Steiner vertices associated with four vertices some simple geometry is used (e.g. see Melzak [23]).

2.2. Rectilinear problems

For rectilinear problems $T_S(K)$ can be calculated directly using the inner-rectangle construction given by Hanan [12]. However the difficulty with this construction, from our point of view, is that it does not uniquely define the position of the Steiner vertices. This contrasts with the situation when we are connecting three vertices together where the position of the Steiner vertex is uniquely defined (see Hanan [12]). Hence, for this reason, we prefer to regard $T_S(K)$ as being either:

- (a) the MST of the vertex set K ; or
- (b) one of the four cases where we have three vertices from K joined via a single Steiner vertex (v_1 , say) and the remaining vertex (i , say) connected to its nearest vertex in $K - \{i\} + \{v_1\}$; or
- (c) defined via the inner-rectangle construction of Hanan [12].

The advantage of this approach is that we can adopt (a) or (b) above (thereby uniquely defining the position of the Steiner vertex (if any)) if the value for $T_S(K)$ calculated from (a) or (b) above is equal to the value for $T_S(K)$ calculated from (c) above.

The location of the single Steiner vertex associated with three vertices i , j and k is (median (x_i, x_j, x_k) , median (y_i, y_j, y_k)) (see Hanan [12]). In the event that $T_S(K)$ was defined from the inner-rectangle construction ((c) above) we took as Steiner vertices those corners of the inner rectangle which had at least one vertex of K transferred to them (see Hanan [12]).

3. Computational results

The heuristic presented in this paper was programmed in FORTRAN and run on a Cray X-MP/28 using the CF77 compiling system (with maximum optimisation) for a number of randomly generated problems. These problems consisted of n points randomly distributed in a unit

square where, for each value of n , 15 test problems were generated. Note here that all of the test problems considered in this paper are publicly available via electronic mail from OR-Library [3].

Each test problem was solved both as a Euclidean problem and as a rectilinear problem. The results are shown in Table 1. In that table we give, for each value of n , the minimum, mean, maximum and standard deviation for:

- (a) the number of iterations;
- (b) the percentage reduction achieved $[100(T(V_0) - T(V_F))/T(V_0)]$;
- (c) the number of Steiner vertices $[|V_F| - n]$; and
- (d) the total time (in Cray X-MP/28 seconds).

Note here that a least-squares linear regression using the results shown in Table 1 indicates that the average computer time required to solve a problem on the Cray X-MP/28 is $O(n^{1.317})$ for Euclidean problems and $O(n^{1.253})$ for rectilinear problems.

In Tables 2 and 3 we compare the average percentage reduction and the average computer time for the heuristic presented in this paper with the results presented by other workers (for varying values of n). It is clear from those tables that the heuristic presented in this paper gives larger percentage reductions (better-quality solutions) in most instances.

Recently Richards [25] reported solving rectilinear problems of size $n = 10000$ with an average percentage reduction ranging from 3.95 to 3.99%. In order to compare the heuristic presented in this paper with this result we generated and solved one problem of size $n = 10000$. The percentage reduction achieved was 9.981% in 6 iterations, involved 4438 Steiner vertices and was obtained in 291.305 Cray X-MP/28 seconds. When this problem was solved as a Euclidean problem the percentage reduction achieved was 3.000% in 15 iterations, involved 4005 Steiner vertices and was obtained in 2728.923 Cray X-MP/28 seconds.

In order to compare the heuristic presented in this paper with some optimal solutions we solved the 46 test problems given by Soukup and Chow [32]. For a number of these test problems the Euclidean optimal solution is known from previous work [32]. In order to generate rectilinear optimal solutions for these test problems each rectilinear test problem was converted into an

Table 1
Computational results

Problem type	n	Number of iterations			Percentage reduction			Number of Steiner vertices			Total time (Cray X-MP/28 seconds)						
		min	mean	max	sd	min	mean	max	sd	min	mean	max	sd				
Euclidean	10	2	3.000	4	0.655	0.477	3.138	6.168	1.863	2	3.400	6	1.183	0.018	0.044	0.091	0.023
	20	3	3.600	5	0.737	1.389	3.015	4.737	1.008	6	7.667	10	1.447	0.076	0.114	0.191	0.033
	30	3	3.533	5	0.640	2.059	2.868	4.752	0.721	9	11.667	16	2.160	0.112	0.171	0.239	0.048
	40	3	5.133	21	4.642	1.669	3.024	4.174	0.631	13	15.733	18	1.668	0.157	0.335	1.394	0.318
	50	3	4.067	7	0.961	2.138	2.841	3.620	0.400	16	19.333	22	2.093	0.197	0.326	0.594	0.010
	60	3	4.533	11	1.885	2.347	2.946	3.576	0.404	18	23.733	28	2.685	0.259	0.478	1.326	0.246
	70	3	4.133	6	0.990	2.142	2.844	3.592	0.363	22	26.467	31	2.973	0.302	0.480	0.733	0.143
	80	3	4.467	9	1.407	1.973	2.817	4.288	0.623	28	31.667	35	2.193	0.359	0.665	1.694	0.318
	90	3	4.733	8	1.335	1.989	2.935	3.687	0.454	32	36.733	41	2.120	0.406	0.812	1.476	0.272
	100	4	5.333	9	1.543	2.286	2.952	3.467	0.370	32	39.333	47	3.519	0.675	0.979	1.650	0.322
	250	4	5.867	15	2.642	2.611	2.950	3.279	0.206	92	98.467	106	3.292	1.936	2.877	7.738	1.376
	500	5	6.400	9	1.298	2.668	3.052	3.316	0.169	190	200.533	209	5.963	5.017	6.855	9.842	1.508
	1000	5	8.067	14	2.840	2.807	3.017	3.283	0.128	383	401.400	416	8.951	11.754	21.174	35.212	7.105
	Rectilinear	10	3	3.467	5	0.640	4.377	9.947	17.147	3.772	2	3.867	5	0.834	0.010	0.016	0.030
20		3	3.733	5	0.594	6.098	10.590	14.218	2.114	6	8.467	10	1.246	0.024	0.032	0.046	0.005
30		3	4.067	5	0.704	7.448	10.250	15.095	1.957	10	12.667	15	1.447	0.030	0.048	0.066	0.011
40		4	4.133	5	0.352	7.237	9.556	12.783	1.715	15	17.533	21	1.642	0.059	0.067	0.087	0.010
50		3	4.133	5	0.640	7.585	9.522	11.929	1.351	17	21.467	25	2.295	0.058	0.084	0.110	0.016
60		4	4.267	5	0.458	8.302	10.146	12.893	1.273	23	26.400	30	1.993	0.090	0.117	0.160	0.020
70		4	4.067	5	0.258	7.383	9.779	12.063	1.100	26	30.133	38	2.997	0.108	0.125	0.149	0.015
80		4	4.467	5	0.516	7.967	9.831	12.044	1.151	31	35.800	40	2.336	0.141	0.180	0.225	0.025
90		4	4.400	5	0.507	7.910	10.128	11.941	1.037	37	39.333	44	2.350	0.160	0.195	0.266	0.031
100		4	4.267	5	0.458	8.337	10.139	11.904	1.125	38	43.800	51	3.364	0.170	0.218	0.281	0.033
250		4	4.733	6	0.594	9.099	9.964	10.708	0.431	100	111.400	119	5.705	0.486	0.676	0.960	0.134
500		4	5.133	6	0.516	9.091	9.879	10.856	0.482	207	219.533	234	6.906	1.260	1.619	2.125	0.220
1000		5	5.600	7	0.632	9.492	9.888	10.615	0.338	426	440.600	455	8.509	4.070	5.071	6.371	0.631

Table 2
Algorithm comparison for Euclidean problems^a

<i>n</i>	Heuristic		Chang [5]		Korhonen [19]		Smith and Liebman [31]		Smith, Lee and Liebman [30]	
	Average percentage reduction	Average computer time Cray X-MP/28 seconds	Average percentage reduction	Average computer time IBM 360/65 seconds	Average percentage reduction	Average computer time Burroughs B6700 seconds	Average percentage reduction	Average computer time DEC-10 seconds	Average percentage reduction	Average computer time DEC-10 seconds
10	3.138	0.044	2.200	0.922	1.50	0.327	2.873	0.458	3.173	0.293
20	3.015	0.114	3.012	10.561	2.25	0.945	1.749	2.145	2.333	0.569
30	2.868	0.171	3.087	54.906	2.51	1.706	2.214	5.790	2.769	0.799
40	3.024	0.335			2.54	2.280	1.380	12.790	2.663	1.089
50	2.841	0.326			2.39	2.757			2.568	1.375
Number of test problems	15		20		5		15		15	

^a Lundy [22] has presented his results in a way that makes direct comparison with the above work impossible.

Table 3
Algorithm comparison for rectilinear problems^b

<i>n</i>	Heuristic		Lee, Bose and Hwang [21] ^a		Smith and Liebman [31]		Smith, Lee and Liebman [29]		Basart and Huguet [1]	
	Average percentage reduction	Average computer time Cray X-MP/28 seconds	Average percentage reduction	Average computer time PDP-10 seconds	Average percentage reduction	Average computer time DEC-10 seconds	Average percentage reduction	Average computer time DEC-10 seconds	Average percentage reduction	Average computer time
10	9.947	0.016	7-8	0.4	7.100	0.746	8.316	0.256	9.4	?
20	10.590	0.032	8-10	1.6	7.621	5.454	7.650	0.519	6.5	?
30	10.250	0.048	8-10	3.0	7.978	16.309	8.306	0.839		
40	9.556	0.067			5.887	34.835	8.641	1.051		
Number of test problems	15		50		15	15	15		5	

^a For Lee, Bose and Hwang [21] a range for the *median* percentage reduction is given.

^b For Yang and Wing [35-38], Hwang [15] and Hsu, Pan and Kubitz [14] the computational results given are insufficient to enable a comparison to be made with the work presented above. Servit [26] has presented his results in a way that makes direct comparison with the above work impossible. Ho, Vijayan and Wong [13] report that the average percentage reduction for their algorithm is approximately constant at 9.1% for all values of *n* between 5 and 100. Richards [25] reports an average percentage reduction for *n* = 100 ranging from 4.02 to 5.93%.

Table 4
Computational results – Soukup and Chow [32] test problems^a

Problem number	n	Euclidean		Rectilinear	
		Heuristic solution	Optimal solution	Heuristic solution	Optimal solution
1	5	1.66440	– ^b	1.87	–
2	6	1.50050	–	1.68	1.64
3	7	2.07767	–	2.36	–
4	8	2.13879	–	2.54	–
5	6	2.04405	–	2.29	2.26
6	12	2.22239	2.1842	2.48	2.42
7	12	2.20529	n/k ^c	2.54	2.48
8	12	2.17779	–	2.42	2.36
9	7	1.58783	n/k	1.72	1.64
10	6	1.64728	1.5988	1.84	1.77
11	6	1.27411	–	1.44	–
12	9	1.64853	n/k	1.80	–
13	9	1.27338	n/k	1.50	–
14	12	2.20492	–	2.60	–
15	14	1.23041	–	1.48	n/k
16	3	1.16678	–	1.60	–
17	10	1.64279	n/k	2.01	2.00
18	62	3.85130	n/k	4.06	n/k
19	14	1.72225	n/k	1.90	n/k
20	3	1.03962	–	1.12	–
21	5	1.81818	n/k	2.16	1.92
22	4	0.50329	–	0.63	–
23	4	0.51303	–	0.65	–
24	4	0.25282	–	0.30	–
25	3	0.19897	–	0.23	–
26	3	0.12435	–	0.15	–
27	4	1.17817	–	1.33	–
28	4	0.20442	–	0.24	–
29	3	1.46598	–	2.00	–
30	12	1.03323	n/k	1.10	–
31	14	2.34009	n/k	2.60	n/k
32	19	2.85677	n/k	3.23	n/k
33	18	2.22953	n/k	2.69	n/k
34	19	2.13813	n/k	2.54	n/k
35	18	1.35545	n/k	1.54	n/k
36	4	0.87891	–	0.90	–
37	8	0.76603	n/k	0.90	–
38	14	1.43501	1.4248	1.66	n/k
39	14	1.43125	–	1.66	n/k
40	10	1.41803	n/k	1.62	1.55
41	20	1.97672	n/k	2.24	n/k
42	15	1.31535	n/k	1.53	–
43	16	2.36719	n/k	2.66	n/k
44	17	2.19744	n/k	2.61	n/k
45	19	1.93584	n/k	2.26	n/k
46	16	1.42209	n/k	1.50	–

^a The average computation time needed to produce the heuristic results was 0.060 Cray X-MP/28 seconds for Euclidean problems and 0.012 Cray X-MP/28 seconds for rectilinear problems.

^b – means that the optimal solution is the same as the heuristic solution.

^c n/k means that the optimal solution is not known.

equivalent Steiner problem on a graph (see Hanan [12]) and this was then solved using the algorithm given by Beasley [2]. The results are shown in Table 4.

Examining Table 4 it is clear that, as we would expect, when $n \leq 4$ the heuristic presented in this paper always finds the optimal solution (for Euclidean or rectilinear problems).

Of the 13 Euclidean problems with $n \geq 5$ for which the optimal solution is known, the heuristic presented in this paper finds the optimal solution in all but 3 instances.

Of the 21 rectilinear problems with $n \geq 5$ for which the optimal solution is known, the heuristic presented in this paper finds the optimal solution in all but 10 instances.

4. Conclusions

In this paper we have presented a heuristic for Euclidean and rectilinear Steiner problems based upon finding optimal Steiner solutions for connected subgraphs of the minimal spanning tree of the entire vertex set. Computational results indicated that this heuristic gives better-quality solutions than other heuristics.

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